

Denise Lim, Partner, Risk Assurance, Subject Matter Lead on Individual Accountability and Conduct, PwC Singapore

Denise is a Partner with PwC Singapore working in the Financial Services Industry Practice. She has over 15 years of experience working with multiple financial services clients, particularly Private Banks and Banking and Capital Markets, with specialised Compliance and Advisory skills. She focuses on fraud risk and market conduct (including Individual Accountability and Conduct), AML (KYC)/CTF and tax related financial crime matters (including FATCA and Automatic Exchange of Information/Common Reporting Standards).

Denise actively speaks at industry forums on AML/CTF as well as tax evasion related topics and is an active participant in industry working groups. She is an experienced team and project management leader who regularly leads large teams involving multiple international locations and has a great network in Australia and Asia. She spent 8 years in the PwC Australia firm and 2 years in the PwC Japan firm, working with leading banking and capital markets clients, both domestically and globally (specifically US, UK and Asia market). Denise is a member of the Institute of Chartered Accountants Australia and a Singapore Chartered Accountant and is also a certified member of the Association of Certified Anti-Money Laundering Specialists (ACAMS).

Hoan Thanh Nguyen, Director, Financial Services Assurance, PwC Singapore

Hoan Nguyen is a director in our Financial Services Assurance practice of PwC Singapore. He has over 12 years of experience providing a broad range of services to traditional and alternative asset managers in Singapore, including real estate, private equity and hedge. Hoan has a deep understanding of the processes and operations of fund managers, as well as a variety of fund structures, strategies employed and the key local regulatory obligations that apply to licensed and registered fund managers in Singapore.

Over the course of his career he has been involved with the audit, internal audit, controls attestations, compliance reviews and regulatory advisory engagements for asset managers. He has also worked with both local and global/regional managers. Hoan leads a team within Financial Services Assurance as a subject matter expert on the execution of our Regulation 13 Internal Audit engagements for fund managers in Singapore. Accordingly, Hoan is able to add value through his sharing of leading market practices in matters ranging from operations and finance to compliance. He is also able to provide broader advice across a fund manager's corporate function as well as its funds covering a wide breadth of subject matter from accounting and reporting to regulatory compliance.
