

# Members Directory 2012





THE INVESTMENT MANAGEMENT  
ASSOCIATION OF SINGAPORE

# Members Directory 2012

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## Overview

The Investment Management Association of Singapore (IMAS) was formed on 22 September 1997. The idea of an investment body was first mooted in November 1995 by the then Finance Minister Dr Richard Hu.

It is a representative body of investment managers spearheading the development and growth of the industry in Singapore.

By fostering high standards of professionalism and promoting exemplary practice among members, the association seeks to set the benchmark for the investment and fund management industry in Singapore.

It also serves as a forum for members in discussions as well as a collective voice where representation is needed on behalf of the investment management industry, facilitating training for its members, and contributing towards investor education.

## Mission and Objectives

### Mission

As a representative body of investment managers, IMAS will spearhead the development and growth of the industry in Singapore, by fostering high standards of professionalism amongst practitioners and creating public awareness of, and interest in the industry.

### Objectives

- To promote professionalism and exemplary practice among members in their conduct of the investment management business.
- To provide a forum for members to discuss issues or matters relating to the investment management industry.
- To represent members collectively in discussions with, or assist any member to make any representation or recommendation to, any government, government representative, supervisory authority, whether local or foreign, which are concerned with the investment management industry.
- To promote the education of the investing public on investments and the investment management industry.
- To improve professionalism and standards of research and fund management expertise in Singapore.
- To promote the investment and fund management industry.







## Aberdeen Asset Management Asia Limited

### Company Profile

Aberdeen Asset Management Asia Limited ('Aberdeen Asia') is part of the UK-listed Aberdeen Asset Management PLC. We have been investing in Asia for over twenty years and since 1992 as the regional headquarters of the Aberdeen Asset Management Group in Singapore. We have dedicated teams managing regional equities, fixed income and property. Assets are invested in a variety of country and regional funds, as well as specialist products, for leading institutions, other asset managers and retail investors. As well as Singapore, we have offices in seven other countries across Asia.

**Staff Strength (in Singapore)** 167 (as of end October 2011)

### Assets under Management

1) **Managed by Group (Worldwide)** USD 284.4 billion (end October 2011)

2) **Managed in Singapore** USD 71.8 billion\* (end October 2011)

*\* Figure includes USD 1.0 billion advised in Singapore*

### Products/Services

1) **Provided by Group (Worldwide)**

Equities, Fixed Income, Property, Alternative investment strategies

2) **Provided in Singapore**

Equities, Fixed Income, Property

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# Absolute Asia Asset Management Limited

## Company Profile

Absolute Asia Asset Management was established in 1998 in Singapore and is wholly owned by Natixis Global Asset Management (NGAM). Absolute Asia AM holds a CMS-Fund Management license in Singapore. Specializing in Asia Pacific ex Japan equities, its unique approach to investment has won recognition and awards from consultants and rating agencies.

Natixis Global Associates Asia (name registration no. 5310272FD), a business development unit of Natixis Global Associates, is the distribution arm of NGAM, providing a single point of access to the diverse expertise of NGAM's affiliates worldwide, and takes a consultative approach, tailoring this expertise to the needs of our clients with a full spectrum of equity, fixed-income and alternative strategies and products.

**Staff Strength (in Singapore)** 22

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 773 billion (as at 30 June 2011)
- 2) **Managed in Singapore** USD 814.08 million (as at October 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

NGAM's affiliates include well known players in the respective core investment fields of Fixed Income (Loomis Sayles), Equities (Hansberger, Harris Associates L.P., Absolute Asia AM, Vaughn Nelson Investment Management), & money market (Riech and Tang Funds). In the field of alternatives, the firm has affiliates in Real Estate (AEW) & hedge fund of funds (Aurora Investment LLC).

Natixis Global Associates Asia serves as the point of access to these affiliates.

### 2) Provided in Singapore

Absolute Asia Asset management Limited provides investment management and sub advisory services to clients worldwide. Our clientele includes insurance companies, pension funds, private banks, fund of funds and family offices. We manage a variety of different long only Asia Pacific ex Japan equities strategies with investment objectives ranging from relative return to absolute return. The funds that we manage or sub-advise include Lux SICAV funds, French FCP, Cayman and Singapore domiciled funds.

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## Acadian Asset Management (Singapore) Pte Ltd

### Company Profile

Acadian Asset Management LLC is a US Securities and Exchange Commission-registered and Boston-headquartered investment management firm that, along with its wholly owned Singapore and UK affiliates, specializes in the active investment management of global and international strategies. As of November 30, 2011, the combined entities managed in excess of USD 42 billion on behalf of pension funds, endowments, foundations, governments and other institutions worldwide.

Started in 1999, Acadian Asset Management (Singapore) Pte Ltd is licensed with the Monetary Authority of Singapore.

**Staff Strength (in Singapore)** 13

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 42 billion (as of 30 November 2011)
- 2) **Managed in Singapore** USD 590 million (as of 30 November 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Products offered by both Acadian Asset Management LLC and Acadian Asset Management (Singapore) Pte Ltd include:

Long-Only Equity – a range of regional and style approaches including Global, EAFE, Europe, U.S. Japan, Emerging Markets, Frontier Markets and Small-Cap

Beta One and Beta Zero Equity – a range of approaches including Global, U.S., Europe and Japan

Managed Volatility – portfolios designed to match the market return with lower than market volatility

Emerging Markets Debt – an approach offering exposure to fixed income instruments in a range of emerging markets

#### 2) **Provided in Singapore**

See “Products/ Services Provided by Group”

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## Aditya Birla Sun Life Asset Management Company Pte. Ltd

### Company Profile

Aditya Birla Sun Life Asset Management Company Pte. Ltd (ABSLAMC) is a wholly owned subsidiary of Birla Sun Life Asset Management Company Limited (BSLAMC), which is a part of the Aditya Birla Financial Services Group (ABFSG).

ABFSG has a significant presence in India across various verticals, including life insurance, insurance broking, mutual funds, private equity, security based lending, factoring, insurance advisory services, retail broking and distribution of third party products. BSLAMC is a joint venture between the Aditya Birla Group and Sun Life Financial Inc, a leading global insurance organization from Canada. The Aditya Birla Group is one of India's leading conglomerates with over USD 35 billion in revenue.

BSLAMC is the 4th largest asset management company in India with approximately USD 13 billion of assets under management. An impressive mix of reach through a large distribution network, wide range of product offerings across equity, debt, balanced as well as structured asset classes and strong investment performance has helped the company garner over 2.4 million investor scheme accounts. Known for its consistent investment performance across asset classes, BSLAMC has received recognitions from various institutes of international repute such as Lipper, Outlook Money and The Asset Magazine, Hong Kong. BSLAMC has subsidiaries in Singapore, Dubai and Mauritius.

ABSLAMC Singapore holds a Capital Market Service (Fund Management) license issued by the Monetary Authority of Singapore (MAS) under the Securities and Futures Act (SFA). It is also an exempt financial adviser under the Financial Advisers Act (FAA).

**Staff Strength (in Singapore)**                      Not Stated

### Assets under Management

- 1) **Managed by Group (Worldwide)**                      Approximately USD 13 billion
- 2) **Managed in Singapore**                                      Not Stated

## **Products/Services**

### **1) Provided by Group (Worldwide)**

Asset Management and Advisory Services to investors both within and outside India for a wide range of products including Indian debt, equity, hybrid, structured products and real estate funds.

### **2) Provided in Singapore**

Access to parent's products and services in addition to actively managed debt, equity and asset allocation strategies and customised client solutions.

## **Business Contact/Client Services**

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OCBC Centre  
Singapore 049513

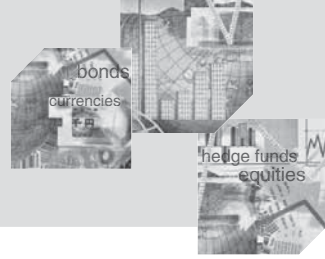
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## Aegis Portfolio Managers Pte Ltd

### Company Profile

Aegis Portfolio Managers Pte Ltd is part of the Aegis Group Companies based in Singapore which specializes in listed and unlisted equity investments in Asia. The focus is primarily in companies which are owner-operated in emerging growth industries; particularly information communication technologies, environmental green technologies, pharmaceutical & healthcare, and consumer sectors. Aegis takes a long term bottom-up value investing style and a multi-manager portfolio management approach. Aegis offers portfolio mandates with no fixed annual management fees, only performance fees with high water marks – since the year 2000. Aegis is a manager-owned investing organization.

**Staff Strength (in Singapore)** 8

### Assets under Management

- 1) **Managed by Group (Worldwide)** Unavailable
- 2) **Managed in Singapore** Unavailable

### Products/Services

- 1) **Provided by Group (Worldwide)** Family Office Accounts, Offshore Funds and Individually Managed Portfolios
- 2) **Provided in Singapore** Family Office Accounts, Offshore Funds and Individually Managed Portfolios

### Business Contact/Client Services

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## **Management**

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## AGF Asset Management Asia Limited

### Company Profile

Established in 1996, AGF Asset Management Asia Limited provides fund management services to institutions and retail investment funds.

The firm is a wholly-owned subsidiary of AGF Management Limited, a premier Canadian-based investment solutions firm with industry-leading financial performance, talent and client relationships. To serve the needs of a broad range of diversified clients worldwide, the firm consists of two distinct businesses: AGF Investments and AGF Trust. AGF Investment's team of seasoned investment professionals manage our diversified family of award-winning investment products featuring investment strategies that span the globe within balanced, fixed income, equity and specialty asset categories. AGF today serves more than one million investors and manages approximately USD 46.5 billion in assets under management (AUM) with offices in Canada, the United States, Europe and Asia. AGF's expert investment management teams around the world provide excellence in money management to both the institutional and retail markets.

AGF Asset Management Asia Limited focuses on Asian equities and practices a "growth at reasonable price" investment philosophy.

**Staff Strength (in Singapore)**                      6

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 46.5 billion (as at 31 October 2011)
- 2) **Funds advised in Singapore**        USD 2.4 billion (as at 31 October 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Wide spectrum of products by asset class and investment style
- 2) **Provided AGF Asset Management Asia Limited**  
Asia ex-Japan equities (including a China Fund)

**Business Contact/Client Services**

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Singapore 049712

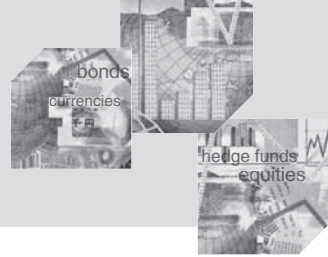
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Director – Investment Management – Chu Beng Liang  
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Finance & Admin Manager – Margaret Lui  
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## AIA Singapore Private Limited

### Company Profile

AIA Singapore has been serving our customers since 1931. We have a strong presence here with five buildings located at Robinson Road, Alexandra, Changi, Tampines and Tanjong Pagar.

Growing with the community for the past 80 over years, AIA Singapore's focus is to lead the industry in driving to help bridge the underinsurance gap of Singaporeans and their families. Committed to fulfilling the protection and savings needs of generations of Singaporean families, AIA Singapore aims to provide personalized services and innovative financial solutions, so as to ensure a sound financial future for our valued customers and their families.

Always anticipating customers' needs, AIA Singapore endeavours to delight each and every customer by always striving to generate more value for them. With a dedicated and motivated team of AIA Financial Services Consultants, we are unified by a desire to provide the highest level of service and can look forward to a brighter and more prosperous tomorrow.

**Staff Strength (in Singapore)**                      663

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 99,133 million (as at 31 May 2011)
- 2) **Managed in Singapore**                      USD 22,019 million (as at 31 May 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Life insurance, retirement planning, accident and health insurance as well as wealth management solutions

#### 2) **Provided in Singapore**

Life insurance, retirement planning, accident and health insurance as well as wealth management solutions.

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## AllianceBernstein (Singapore) Ltd

### Company Profile

AllianceBernstein L.P. (AllianceBernstein) is a research-driven organization that is global in scope and client-focused in its mission. It delivers to client around the world preeminent investment services in key asset classes : Bernstein Value Equities, Alliance Growth Equities, AllianceBernstein Blend Strategies, AllianceBernstein Fixed Income and AllianceBernstein Alternative Investments. Our depth of research, worldwide presence and breadth of services allow us to offer a full array of investment products, both global and local, in every major market. Our broad spectrum of clients ranges from retail investors, to high-net-worth individuals and families, to major institutions and corporations.

AllianceBernstein (Singapore) Ltd. is an indirect wholly-owned subsidiary of AllianceBernstein.

**Staff Strength (in Singapore)** 37

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 424 billion (as of 31 October 2011)
- 2) **Managed in Singapore** Not Stated

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Bernstein Value Equities, Alliance Growth Equities, AllianceBernstein Blend Strategies, AllianceBernstein Fixed Income and AllianceBernstein Alternative Investments.

#### 2) **Provided in Singapore**

Not Stated

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Chief Executive Officer – Mr Suchet Padhye

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# Allianz Global Investors Singapore Limited

## Company Profile

Allianz Global Investors Singapore is part of Allianz Global Investors ("AllianzGI"), the asset management division of the Allianz Group. Allianz Global Investors Singapore has managed collective investment schemes and discretionary funds in Singapore since 1999.

Allianz Global Investors, the asset management subsidiary of Allianz SE, has more than USD 2.45 trillion (as of 30 June 2011) of assets under management for its clients worldwide. The Allianz Global Investors investment managers – PIMCO, RCM and Allianz Global Investors Capital LLC – offer their own distinctive philosophy and culture, and provide clients with a comprehensive and constantly evolving range of investment styles and products. Its 4,900 employees around the globe, including more than 1000 investment professionals, are committed to helping clients achieve their goals by combining global expertise and local market knowledge with innovative solutions and world-class professional service. In Asia Pacific, Allianz Global Investors has been managing discretionary funds for over 21 years.

**Staff Strength (in Singapore)** 23

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 2.45 trillion (as at 30 June 2011)
- 2) **Managed in Singapore** SGD 2.40 billion (as at 30 June 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

All major asset classes and investment styles, covering all major financial regions, and delivering solutions to private and institutional investors worldwide.

### 2) Provided in Singapore

Discretionary management & advisory services on the following investment solutions: Global Balanced, Asia Balanced, Asia Equity, Asia Fixed Income, Asian REITs, Fund of Funds & Money Market Funds.

Distribution agent of mutual funds managed by AllianzGI investment platforms.

## Business Contact/Client Services

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# Alpha Investment Partners Limited

## Company Profile

Alpha Investment Partners Limited (Alpha) is an Asian real estate investment manager headquartered in Singapore with offices in Seoul, Tokyo, Shanghai and Taipei.

Alpha is a unit of Keppel Land Limited, staffed by a team of veterans from the Government of Singapore Investment Corporation Pte Ltd, Keppel Land Limited and the Prudential Insurance Company of America.

Equipped with a strong business network, our team of Asian professionals aims to achieve superior investment performance by capitalizing on unique and pertinent insights into the region's property markets. The corporate culture at Alpha is shaped by empowerment and trust. In addition to the expertise we possess in the area of investment, we established the highest standards of corporate governance, reporting and compliance to meet investors' expectations.

**Staff Strength (in Singapore)** 28

## Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 7.3 billion in 6 funds when fully invested.  
(5 closed, 1 open)

## Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable

### 2) **Provided in Singapore**

#### **Asia No.1 Property Fund**

The Asia No.1 Property Fund is a property fund with a pan-Asia strategy that targets a return of 7 to 9%. This close-ended fund has successfully invested in a number of Asia cities including Bangkok, Beijing, Hong Kong, Tokyo, Singapore and Seoul. The fund is fully committed and has also begun to realize some of its investments.

#### **Alpha Asia Marco Trends Fund**

The Alpha Asia Macro Trends Fund (AAMTF) is a closed-end fund with a fund size of USD1.18 billion. The Fund has identified specific macro trends in Asia's developed and emerging markets and targets investments from core plus to opportunistic. Its portfolio of assets will involve development projects and

standing investments emphasizing on rental growth, cash flow, repositioning of assets, conversion of use of assets and value adding through property management. The Fund was closed in August 2008 and is in the active stage of evaluating opportunities for investments.

#### **Alpha Asia Macro Trends Fund II**

The Alpha Asia Macro Trends Fund II (AAMTF II) is the follow-on fund of AAMTF with a capital commitment of close to US\$0.5billion as of Dec 2011. AAMTF II carries a similar investment mandate as AAMTF and focuses on executing proven profitable real estate strategies that leverage prominent macro trends in Asia.

#### **Alpha Core-Plus Real Estate Fund**

The Alpha Core-Plus Real Estate Fund is a direct property fund that targets the mature markets of Japan, Singapore, Hong Kong and South Korea. The fund emphasizes a balance between income and appreciation returns. Alpha Core-Plus Real Estate Fund is closed. The investments made by the fund are benefiting from the economic growth of these Asian countries and are experiencing good occupancy and rental growth.

#### **Alpha Real Estate Securities Fund**

The Alpha Real Estate Securities Fund is an open-ended fund that invests in listed REITs and real estate securities globally. Established more than three years ago, the fund has consistently outperformed its target return.

#### **AIB Alpha Japan Fund**

The AIB Alpha Japan Fund is a single country direct property fund that invests in Japan only. This close-ended fund was raised together with AIB Private Banking, one of Ireland's largest banks.

### **Business Contact/Client Services**

Business contact: 230 Victoria Street #12-08  
Bugis Junction Towers  
Singapore 188024

Contact persons: Ms Christina Tan Hua Mui – Managing Director and Co-Head  
Tel: (65) 6433 7699  
Email: christina.tan@alphaipartners.com

Mr Desmond Tang Kok Peng – Managing Director and Co-Head  
Tel: (65) 6433 7696  
Email: desmond.tang@alphaipartners.com

Website: [www.alphaipartners.com](http://www.alphaipartners.com)

### **Management**

Managing Director and Co-Head – Ms Christina Tan Hua Mui  
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Email: christina.tan@alphaipartners.com

Managing Director and Co-Head – Mr Desmond Tang Kok Peng  
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Chief Financial Officer – Ms Ang Sock Cheng  
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Email: angsc@alphaipartners.com

Chief Investment Officer – Mr Alvin Mah Chee Hong  
DID: (65) 6433 7655  
Email: alvin.mah@alphaipartners.com

Executive Director, Research and Portfolio Management – Mr Young Lok Kuan  
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Email: lokkuan.young@alphaipartners.com

Executive Director, Asset Management – Mr Goh York Lin  
DID: (65) 6433 7682  
Email: yorklin.goh@alphaipartners.com



## **Amansa Capital Pte. Ltd.**

### **Company Profile**

Amansa Capital Pte. Ltd. is a fund management company based in Singapore.

The company's founder is Akash Prakash, who has over 15 years of experience as an investment manager across multiple regions and with a deep India expertise. We cater to investors looking for absolute returns over a long term time horizon. Our mission is to use our knowledge, expertise and corporate network to unearth quality, long term investment opportunities that help our investors make the most of their capital. Whilst we have a mandate to invest in Asia and other markets around the world, we place a heavy emphasis on investment opportunities in India.

Amansa Capital has an international institutional investor base and acts as the Investment Manager to a number of funds, including the flagship Amansa Investments Ltd. The funds have a strong absolute return orientation and invest across the market cap spectrum through a consolidated portfolio that is constructed through fundamental bottom up stock selection.

Our stock selection is focused on companies that are not covered by sell side research, and are thus not on the radar of international investors. We deploy a very rigorous research process when selecting investee companies and use our extensive network and connectivity to get an independent view on investee companies.

**Staff Strength (in Singapore)**                      6

### **Assets under Management**

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Disclosed

### **Products/Services**

- 1) **Provided by Group (Worldwide)**      Not Applicable
- 2) **Provided in Singapore**                      Fund Management

**Business Contact/Client Services**

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The Central  
Singapore 059818

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Website: [www.amasacapital.com](http://www.amasacapital.com)



## Amundi Singapore Limited

### Company Profile

Amundi is a leading global asset manager with USD 917 billion of assets under management. With a worldwide presence in over 30 countries and investment centres located in major financial markets in Europe, Asia and the US, the Group has proven investment expertise in managing a comprehensive array of asset classes and extensive experience in serving our clients' needs. Established in 1989, Amundi Singapore is one of the Group's investment centres. With resources on the ground and over 20 years of experience in Southeast Asia, Amundi Singapore is well-resourced and well-equipped to serve our institutional clients and distribution partners in the region.

**Staff Strength (in Singapore)** 52

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 917 billion
- 2) **Managed in Singapore** Not stated

### Products/Services

#### 1) Provided by Group (Worldwide)

- 1) Fixed Income : Global, European, Credit, Asian and Emerging Market
- 2) Money Market : Global and European
- 3) Currencies : Global, Asian and Emerging Market
- 4) Equities : Global, European, Asian and Emerging Markets
- 5) Balanced Portfolio : Global Balanced
- 6) Absolute Return : Fixed Income, Volatility
- 7) Specialized Funds : Structured products, Funds of Hedge Funds and Private Equities, ETFs, SRI, Quantitative, Indexed Funds as well as Multi-management Products

#### 2) Provided in Singapore

- 1) Global and Asian Fixed Income
- 2) Global and Asian Currencies
- 3) Asian Equities
- 4) Balanced
- 5) Shariah Investment Strategies

### Business Contact/Client Services

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Capital Tower  
Singapore 068912



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Website: [www.amundi.com/sgp](http://www.amundi.com/sgp)

### **Management**

CEO – Ms Jenny Sofian  
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COO – Mr Winston Thng  
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## APS Asset Management Pte Ltd

### Company Profile

Established in 1995, APS Asset Management Pte Ltd (APS) is a Singapore-based boutique fund management firm. The principal activity of APS is to provide fund management, specialising in Asia Pacific equity investments.

The firm is registered as a fund manager with the Monetary Authority of Singapore and investment advisor with the U.S. Securities and Exchange Commission.

We aim to provide high quality, value-added portfolio management services to investors. Our clients are mainly large financial institutions such as pension funds and foundations as well as financial intermediaries including fund of funds, private wealth management and family offices based in North America, Europe and Asia Pacific.

**Staff Strength (in Singapore)** 40

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 1.6 billion (as at December 2011)
- 2) **Managed in Singapore** USD 1.6 billion (as at December 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Fund Management and investment advisory
- 2) **Provided in Singapore**  
Fund Management and investment advisory

### Business Contact/Client Services

Business contact: 3 Anson Road #23-01  
Springleaf Tower  
Singapore 079909

Contact person: Client Services Team  
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Fax: (65) 6333 8900  
Email: [cs@aps.com.sg](mailto:cs@aps.com.sg)  
Website: [www.aps.com.sg](http://www.aps.com.sg)

### Management

CEO – Lim Meng Tat  
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## Aquarius Investment Advisors Pte Ltd

### Company Profile

We are an investment management firm focused on Indian investment opportunities for international investors. We have been active in the area of private equity since 1997 and have made a range of investments. Our services include

- Private investment in public equities (PIPEs)
- Private equity fund management
- Equity and debt market access
- Structured finance & corporate finance advisory
- Fund management
- Strategic advisory

**Staff Strength (in Singapore)**                      8

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 235.94 million
- 2) **Managed in Singapore**                      USD 235.94 million

### Products/Services

- 1) **Provided by Group (Worldwide)**    Equity and Fixed Income
- 2) **Provided in Singapore**                      Fund Management to accredited investors

### Business Contact/Client Services

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Singapore Land Tower  
Singapore 048623

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## **Management**

Managing Director – A S Thiyagarajan

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AVP – Usha Sreedhar

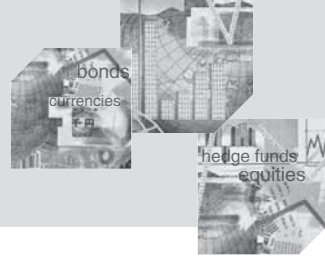
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Manager – Kala Krishnamoorthy

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## Arisaig Partners (Asia) Pte Ltd

### Company Profile

Arisaig Partners is an independent, investment management company founded in October 1996. The firm's focus is exclusively on listed, consumer staples businesses in Asia (ex-Japan), Africa and Latin America. The head office is in Singapore, where our dealers, admin and finance staff are based. In addition we run research offices in Hong Kong, Mumbai, Cape Town (where the Africa research team is based), Rio de Janeiro (where the Latin America research team is based) and the United Kingdom. Arisaig Partners has 35 employees including 17 investment professionals.

**Staff Strength (in Singapore)** 17

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 2.5 billion (as at 31 December 2011)
- 2) **Managed in Singapore** USD 390 million (as at 31 December 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Investment Management and Advisory

Long-only equity funds investing in listed, dominant consumer focused companies.

- Arisaig Asia Consumer Fund
- Arisaig Africa Consumer Fund
- Arisaig Latin America Fund

- 2) **Provided in Singapore**  
See Above

### Business Contact/Client Services

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Website: [www.arisaig-partners.com](http://www.arisaig-partners.com)

## **Management**

Founding Partner/Director – Lindsay Cooper

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Director – Chua Chee Seng

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Director – Suren Senanayake

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## ARN Investment Partners Pte Ltd

### Company Profile

ARN Investment Partners is a boutique investment management company based in Singapore. Our business focus is primarily on Absolute Return. We offer Long-only and Long/Short products focusing on Asia ex Japan equities. ARN is management-owned and has been in operation since May 1997. ARN is licensed by the Monetary Authority of Singapore and holds a Capital Markets Services licence.

**Staff Strength (in Singapore)** Not Stated

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Stated
- 2) **Managed in Singapore** Not Stated

### Products/Services

#### 1) **Provided by Group (Worldwide)**

- ARN Newly Industrialised Economies Fund (Luxembourg UCITS III)
- ARN Asian Enterprise Fund (Cayman Long/Short Fund)

#### 2) **Provided in Singapore**

Not Stated

### Business Contact/Client Services

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Commerce Point  
Singapore 048693

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Email: christopher.wong@arn.com.sg  
Website: www.arn.com.sg

### Management

Managing Director – Christopher Wong  
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Email: Christopher.wong@arn.com.sg



## Aviva Investors Asia Pte Limited

### Company Profile

Aviva Investors\* is a global asset management business dedicated to building and providing focused investment solutions for clients which include local government organisations, pension funds, wholesale and retail banks, insurance companies, charities and private wealth managers. We have over 1,400 people in 16 countries focused on the Aviva Investors business. With assets under management in excess of USD 432 billion (as at 30 June 2011) across a range of real estate, equity, fixed income, money market and alternative funds, our clients benefit not only from our unique access to, and experience of, local markets but also from the availability of considerable global resources. Aviva Investors Asia Pte Limited (AIAPL) is the Asian regional hub of Aviva Investors. AIAPL is licensed by the Monetary Authority of Singapore as a Capital Markets Services (CMS) Licensee under the Securities and Futures Act (Cap. 289), to provide fund management activities.

*\* Aviva Investors Global Services Limited (AIGSL) is a UK-based company registered FSA No. 119178 at No.1 Poultry, London EC2R 8EJ. AIGSL trades as Aviva Investors.*

**Staff Strength (in Singapore)**                      51 (as at 31 October 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 432 billion (as at 30 June 2011)
- 2) **Managed in Singapore**                      USD 4.5 billion (as at 30 June 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Aviva Investors is fully committed to first-rate management across a range of asset classes, namely, fixed income, real estate, equity, and global investment solutions.

#### 2) **Provided in Singapore**

As Above



**Business Contact/Client Services**

Business contact: 6 Temasek Boulevard #32-08  
Suntec Tower Four  
Singapore 038986

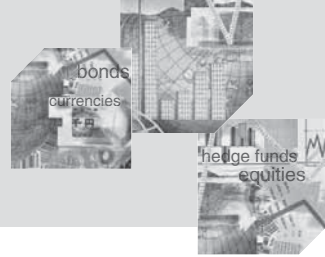
Contact person: Patrick Chong – Head of Financial Institutions Business  
Development, Asia Pacific  
Tel: (65) 6491 0560  
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**Management**

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Chief Executive, Asia Pacific & Global Business Development – Erich GERTH  
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Chief Executive, Asia Pacific Real Estate – Ian HALLY  
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## AXA Life Singapore

### Company Profile

The AXA Group is a global leader in wealth management and financial protection. The Group supports its clients, both individuals and businesses, at every stage in their lives by providing products and services to meet their needs, including insurance, personal protection, savings and estate planning.

The Group, of European origin, has grown under the AXA brand since 1985. It expanded to include operations in the United States in 1992, and Australia and Asia in 1995. Operating across five continents and in over 50 countries, the group has more than 214,000 employees and agents and 95 million customers worldwide.

In Singapore, the vision for AXA Life Singapore is to be recognised as a preferred partner in wealth management and financial protection and as at the best provider of quality financial advice to families in Singapore.

**Staff Strength (in Singapore)** 180

### Assets under Management

- 1) **Managed by Group (Worldwide)** 1,104 billion Euros (as at 31 December 2010)
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Protection, health, savings, investment, wealth management, retirement planning
- 2) **Provided in Singapore**  
Protection, health, savings, investment, wealth management, retirement planning

### Business Contact/Client Services

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AXA Tower  
Singapore 068811

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## **Management**

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Chief Financial Officer – Chen Xia Ling

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Chief Marketing Officer – Kwek Perroy Li Choo

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Email: lichoo.kwek@axa.com.sg

Chief Business Officer – Patrick Peck

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Chief Operations Officer – Stefanie Tay

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Email: stefanie.tay@axa.com.sg

Chief Agency Officer – Nick Osborne

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Email: nick.osborne@axa.com.sg



## AXA Rosenberg Investment Management Asia Pacific Ltd

### Company Profile

The firm was founded in 1985, in Orinda, California by a small team of colleagues who were confident that investment insights could be gained by combining statistical methods with detailed knowledge of company fundamentals. Then comprised of Rosenberg Institutional Equity Management and its affiliated companies, the firm opened offices in Tokyo, London and Singapore in the years that followed. The original Rosenberg group joined with AXA Investment Managers in 1999, becoming AXA Rosenberg. In 2010, AXA Investment Managers purchased the remaining founders' interests in the firm. Today the company has a global presence in major financial markets and manages individual country, regional, and global strategies for public and private pension funds, foundations, and other institutional investors in the Americas, Europe, Asia and Japan.

**Staff Strength (in Singapore)** 25

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 22 billion (as of October 2011)
- 2) **Managed in Singapore** USD 2 billion

### Products/Services

#### 1) **Provided by Group (Worldwide)**

The Group offers the following equity strategies: Equity Broad Market/Large Cap; Mid and Small Cap; Long/Short; Enhanced Index

#### 2) **Provided in Singapore**

As Above

### Business Contact/Client Services

Business contact: One George Street #14-02/03  
Singapore 049145

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Email: [kchen@axarosenberg.com](mailto:kchen@axarosenberg.com)  
Website: [www.axarosenberg.com](http://www.axarosenberg.com)

## **Management**

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Email: [kchen@axarosenberg.com](mailto:kchen@axarosenberg.com)

COO Asia Pacific – James YOUNG

DID: 852 2285 2000

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CEO Asia Pacific – Jean-Pierre LEONI

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Email: [Jeanpierre.leoni@axa-im.com](mailto:Jeanpierre.leoni@axa-im.com)



## Barclays Bank PLC

### Company Profile

Barclays Capital Fund Solutions ("BCFS") is the asset management business of Barclays Capital and provides a broad range of services to institutional clients and distributors globally. BCFS manages GBP13.9 billion\* on behalf of clients globally with a dedicated team of over 100 investment professionals and operations specialists (as at 31 October 2011).

At Barclays, we believe the flexibility of applying a combination of fundamental and technical analysis to client portfolios provides the ability to generate excess returns in different market conditions. Our disciplined approach allows us to process multiple investment scenarios rapidly, going long or short a broad range of assets to generate diversified sources of uncorrelated returns. We also believe minimising downside volatility is key to delivering consistent returns so risk evaluation is managed dynamically by being embedded throughout the portfolio construction process.

Our core investment philosophy is applied through two methods:

- A managed quantitative approach derived from models built within BCFS. There is also discretionary oversight to manage sudden changes in market conditions.
- A fully discretionary approach which directly accesses the award winning research from analysts across the Barclays Group.

In addition, we are able to provide synthetic exposure to a range of thematic indices created within the Barclays Group for those clients requiring specific access to purely quantitative investment solutions.

*\*Asset under management includes over GBP9.6 billion in assets managed or sub-advised for other parts of the Barclays Group.*

**Staff Strength (in Singapore)** 19 (as at 31 October 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

As at 31 October 2011, BCFS manages GBP13.9 billion\* (approximately USD22.44 billion) on behalf of clients globally.

*\*Asset under management includes over GBP9.6 billion in assets managed or sub-advised for other parts of the Barclays Group.*

#### 2) Managed in Singapore

Not Stated

## **Products/Services**

### **1) Provided by Group (Worldwide)**

Proprietary investment strategies and management of funds across multiple asset classes: equities, fixed income, currencies, commodities.

### **2) Provided in Singapore**

Structuring and localised distribution of funds and proprietary investment strategies across multiple asset classes: equities, fixed income, currencies, commodities.

## **Business Contact/Client Services**

Business contact: One Raffles Quay  
Level 28 South Tower  
Singapore 048583

Contact person: Christopher Faddy – Director  
Tel: (65) 6308 3985  
Fax: (65) 6308 3207  
Email: [christopher.faddy@barcap.com](mailto:christopher.faddy@barcap.com)  
Website: [www.bcfs.barcap.com](http://www.bcfs.barcap.com)

## **Management**

Director – Mr Paul Hopkins  
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## BDG Singapore Private Ltd

### Company Profile

BDG Singapore Private Ltd (BDGS), a licensed Fund Manager, is part of UBI Banca Group. The presence of the UBI Banca Group in Singapore since 1998, now through BDGS, has enabled us to acquire in depth knowledge of Asian markets as well as the accreditation to conduct business in one of the most important financial markets in the world. BDGS positions itself in the Asian market as a niche player, offering Fund Management services to private and institutional Clients. Building on the reputation of the Group to which it belongs, BDGS offers services based on integrity in the process of selecting investments as well as a high standard of privacy. The strong 'Private-Boutique' culture, the significantly quality-oriented rather than product-oriented Client approach and the presence of skilled Relationship Managers make BDGS service more exclusive and customized.

**Staff Strength (in Singapore)** 15

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 108.6 billion
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

Traditional bank products: deposits, loans, asset management, bancassurance, investment banking, ect.

#### 2) Provided in Singapore

- a) *Pro Active Wealth Advisory*: a tailor made optimized portfolio made up of mutual funds and based on client risk profile, maximum volatility and market conditions. It aims at leveraging the global model portfolio strategy currently offered and managed by Italian parent company but also at developing an Asia-bias model portfolio in order to offer to our clients the opportunity to increase their exposure to the Asia market.
- b) *Discretionary Portfolio Management*: pre-defined benchmark portfolios with raising equity/bonds mix, based on a selection of the best instruments by asset class (funds and ETFs).
- c) *Family Business Advisory*: assistance in wealth transfer plans and asset protection for financial, real estate and corporate assets.



**Business Contact/Client Services**

Business contact: 47 Scotts Road #06-01/02  
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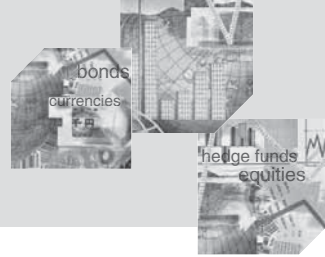
Contact person: Marco Bardelli – Chief Executive Officer  
Tel: (65) 6508 8018  
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Email: marco.bardelli@bdgs.sg  
Website: www.bdgs.sg

**Management**

Chief Investment Officer – Stephanie Lim  
DID: (65) 6508 8002  
Email: stephanie.lim@bdgs.sg

Chief Operating Officer – Carlo Passino  
DID: (65) 6508 8028  
Email: carlo.passino@bdgs.sg

Chief Compliance Officer – Jane Teo  
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## BlackRock (Singapore) Limited

### Company Profile

BlackRock is one of the world's leading providers of investment, advisory and risk management solutions.

BlackRock offers a range of solutions – from rigorous fundamental and quantitative active management approaches aimed at maximizing outperformance to highly efficient indexing strategies designed to gain broad exposure to the world's capital markets. Our clients can access our investment solutions through a variety of product structures, including individual and institutional separate accounts, mutual funds and other pooled investment vehicles, and the industry-leading iShares® ETFs.

The foundation of BlackRock's business is our belief that our clients' needs are of paramount importance. Our commitment to investment excellence is anchored in a shared culture that always places a client's interests first, from individual investors to the world's largest institutions. We act always as a fiduciary for our clients, never trading as a principal on our own behalf.

As of September 30, 2011, BlackRock's assets under management total US\$3.345 trillion across equity, fixed income, cash management, alternative investment, real estate and advisory strategies. Through BlackRock Solutions® – the natural evolution of our long-standing investment in developing sophisticated and highly integrated systems – we offer risk management, strategic advisory and enterprise investment system services to a broad base of clients with portfolios totaling approximately US\$10 trillion.

**Staff Strength (in Singapore)**                      39 (as of 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 3.345 trillion (as of 30 September 2011)
- 2) **Managed in Singapore**                      USD 2.911 billion (as of 30 September 2011)

## **Products/Services**

### **1) Provided by Group (Worldwide)**

BlackRock is a provider of global investment management services which include equity, fixed income, cash management, alternative investment, real estate, advisory strategies and Exchange-Traded Funds. BlackRock offers a wide range of investment solutions reflecting the varied needs and preferences of our clients, including rigorous fundamental and quantitative active management designed to maximize alpha, to highly efficient indexing strategies designed to gain efficient exposure to the world's capital markets. Through BlackRock Solutions® we offer risk management, strategic advisory and enterprise investment system services. BlackRock also provides transition management services.

### **2) Provided in Singapore**

All BlackRock group products and services (refer above).

## **Business Contact/Client Services**

Business contact: 20 Anson Road #18-01  
Twenty Anson  
Singapore 079912

Contact person: Mr Alasdair Riach – Managing Director  
Tel: (65) 6411 3000  
Fax: (65) 6411 3050  
Email: [alasdair.riach@blackrock.com](mailto:alasdair.riach@blackrock.com)  
Website: [www.blackrock.com](http://www.blackrock.com)

## **Management**

Managing Director – Alasdair Riach  
DID: (65) 6411 3000  
Email: [alasdair.riach@blackrock.com](mailto:alasdair.riach@blackrock.com)

Director – Legal & Compliance – Ann Tan  
DID: (65) 6411 3000  
Email: [ann.tan@blackrock.com](mailto:ann.tan@blackrock.com)



# BNP Paribas Investment Partners Singapore Limited

## Company Profile

BNP Paribas Investment Partners is the dedicated autonomous asset management business of the BNP Paribas Group. BNP Paribas Investment Partners offers the full range of investment management services to both institutional and retail clients around the world. Central to the way we work is the concept of partnership – both in terms of how we behave as a family of companies and our relationships with our clients. Over 800 investment professionals work across our network of some 60 investment centres, each specialising in a particular asset class or type of product. With total assets under management of EUR 510 billion\*, BNP Paribas Investment Partners is the 6th-largest asset manager in Europe and the 14th-largest in the world\*.

\* Source: BNP Paribas Investment Partners as at 30 September 2011

**Staff Strength (in Singapore)** 28

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 684 billion (as of September 2011)
- 2) **Managed in Singapore** USD 1.86 billion (as of September 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

- Multi-expertise investment capabilities: BNP Paribas Asset Management (BNPP AM), the largest Partner within our Group, manages the major asset classes with investment teams operating all over the world
- Specialist investment Partners: these focus on a particular asset class or field (mainly alternative investments and multi-management) and operate as boutique-like structures
- Local and regional solution providers: local asset managers covering a specific geographical region and/or client base.

### 2) Provided in Singapore

- Sharia-compliant portfolio management
- Asian fixed income

**Business Contact/Client Services**

Business contact: 20 Collyer Quay #08-01  
Tung Centre  
Singapore 049319

Contact person: Mr Roy DIAO  
Tel: (65) 6210 3976  
Fax: (65) 6210 3960  
Email: Sing\_enquiries@asia.bnpparibas.com  
Website: www.bnpparibas-ip.com.sg

**Management**

CEO – Roy Diao  
DID: (65) 6210 4401  
Email: roy.diao@asia.bnpparibas.com

Distribution Sales – Glen Lee  
DID: (65) 6210 3967  
Email: glen.lee@asia.bnpparibas.com

Institutional Sales – Daryl Ee  
DID: (65) 6210 3970  
Email: daryl.ee@asia.bnpparibas.com

Head of Asian Fixed Income – Adeline Ng  
DID: (65) 6210 3998  
Email: adeline.ng@fftw.com



## Brandywine Global Investment Management (Asia) Pte Ltd

### Company Profile

Founded in 1986, Brandywine Global Investment Management, LLC, offers an array of equity, fixed income, and balanced portfolios that invest in U.S., international, and global markets. As of 31 December 2011, Brandywine Global manages USD33 billion in assets. The firm is a wholly owned, independently operated subsidiary of Legg Mason, Inc. (NYSE: LM), and is headquartered in Philadelphia, with satellite offices in San Francisco, Singapore, and London.

*<sup>1</sup>Brandywine Global Investment Management (Europe) Limited is authorized and regulated by the Financial Services Authority (the "FSA").*

**Staff Strength (in Singapore)** 3

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 33,000.00 million
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

Products: Global/International Fixed Income, Large Cap Value Equity, Absolute Value Equity (Small, Mid, SMID, Micro), and Diversified Value Equity.

Services: Portfolio Management, Research, Trading, Client Service, Marketing, Operations.

#### 2) Provided in Singapore

Services: Client Service, Marketing, Research

### Business Contact/Client Services

Business contact: 6 Battery Road #29-01A  
Singapore 049909

Contact person: Mr John Tsao  
Tel: (65) 6536 6213  
Fax: (65) 6536 6929  
Email: john.tsao@brandywineglobal.com &  
singapore@brandywineglobal.com  
Website: www.brandywineglobal.com

## **Management**

Executive Director – John Tsao

DID: (65) 6536 6213

Email: [john.tsao@brandywineglobal.com](mailto:john.tsao@brandywineglobal.com)

Office Manager/Executive Assistant – Cleo Loh

DID: (65) 6536 6213

Email: [cleo.loh@brandywineglobal.com](mailto:cleo.loh@brandywineglobal.com)

Fixed Income Research Analyst – Carol Lye

DID: (65) 6536 6213

Email: [carol.lye@brandywineglobal.com](mailto:carol.lye@brandywineglobal.com)



## Broad Peak Investment Advisers Pte Ltd

### Company Profile

Broad Peak Master Fund is a value focused fund investing across Asia. At inception on 2 May 2007, the fund started with approximately USD 1.4 billion in assets under management. The central investment philosophy is to combine detailed fundamental analysis with an understanding of value creating catalysts. The firm will be focused on fairly concentrated investment positions with a long term time horizon.

The team of 15 investment professionals is headed by Hyder Ahmad, former Partner & Co-Head of Goldman Sach's Equity and Fixed Income Divisions in Asia. The other Managing Directors of the firm are Michael Moore, Chetan Shetty, Nitin Sibal, Mark Wyrill and Sandeep Gupta.

**Staff Strength (in Singapore)** 25

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 2.1 billion
- 2) **Managed in Singapore** Not Stated

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: 3 Temasek Ave #26-02  
Centennial Tower  
Singapore 039190

Contact person: Michael Moore  
Tel: (65) 6372 5505  
Fax: (65) 6372 5502  
Email: Michael.moore@broadpeakinv.com





## Capital International, Inc.

### Company Profile

Capital International, Inc. is part of The Capital Group Companies, one of the oldest and largest independent investment management firms in the world. The Capital Group Companies is privately owned and has been serving investors since 1931. Capital International, Inc. established a branch office in Singapore in 1989 and is responsible for providing investment services to institutions based in the Asia Pacific (ex Japan) region.

**Staff Strength (in Singapore)** 89 (as at 30 September 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

USD 108 billion (as at 30 September 2010) (Capital Group International, Inc.  
– institutional arm of The Capital Group Companies)

#### 2) Managed in Singapore

Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

The Capital Group Companies offers an extensive range of investment strategies delivered via segregated mandates and a number of pooled funds. Investment strategies offered would include a range of global equity, multiregional equity, regional equity, fixed income and objective-oriented mandates.

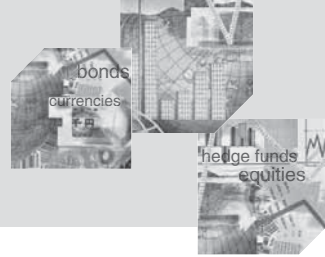
#### 2) Provided in Singapore

Not Applicable

### Business Contact/Client Services

Business contact: One Raffles Quay  
33rd Floor North Tower  
Singapore 048583

Contact person: Mr Mark P. Speciale  
– Relationship Manager & Senior Vice President  
Tel: (65) 6437 0641  
Fax: (65) 6535 1680  
Email: [mps@capgroup.com](mailto:mps@capgroup.com)  
Website: [www.capgroup.com](http://www.capgroup.com)



## CFA Singapore

### Company Profile

Established in September 1987, CFA Singapore (formerly known as the Singapore Society of Financial Analysts -SSFA) is a professional society that brings together practitioners in the investment and fund management industry in Singapore. Its principal objective is to promote and uphold professional standards and ethical practice in financial analysis and investment management in Singapore. CFA Singapore is the 8th largest Member Society of CFA Institute. With nearly 3,000 members, CFA Singapore is a founding member of the Investment Management Association of Singapore (IMAS).

**Staff Strength (in Singapore)** 7

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable
- 2) **Provided in Singapore**

The Society runs a whole host of programmes for members, CFA candidates and also the investment community, including Professional Development talks and seminars, Networking sessions, CFA information sessions and examination review classes, and Career Development talks.

### Business Contact/Client Services

Business contact: 10 Shenton Way #13-02  
MAS Building  
Singapore 079117

Contact person: Francis Er  
Tel: (65) 6227 8560  
Fax: (65) 6323 7657  
Email: [ed@cfasingapore.org](mailto:ed@cfasingapore.org)  
Website: [www.cfasingapore.org](http://www.cfasingapore.org)

## **Management**

President – Dan Schaefer, CFA

Email: [Dan.schaefer@thomsonreuters.com](mailto:Dan.schaefer@thomsonreuters.com)

Deputy President – Sharon Craggs, CFA

Email: [Sharon.craggs@sc.com](mailto:Sharon.craggs@sc.com)

Honorary Treasurer – Gary Ng, CFA

Email: [Gary.ng@cls.com](mailto:Gary.ng@cls.com)

Honorary Secretary – Elizabeth Chau

Email: [secretary@cfasingapore.org](mailto:secretary@cfasingapore.org)



## CHAN & GOH LLP, Advocates & Solicitors

### Company Profile

Our law firm specializes in providing legal services to the fund management industry (since 2000). We assist in the establishment and registration of Singapore-domiciled and offshore funds and collective investment schemes for both retail investors and accredited/institutional investors, including exchange traded funds (ETFs), hedge funds, private equity funds, wine funds, venture capital funds, business trusts and real estate investment trusts. We provide general advisory services, including licensing and compliance issues, and assist in drafting various corporate agreements. We work closely with the MAS on licensing issues and regulated funds and the SGX in relation to ETFs.

**Staff Strength (in Singapore)** 10

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Legal services (Singapore and Vietnam)
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: 50 Craig Road #03-01  
Singapore 089688

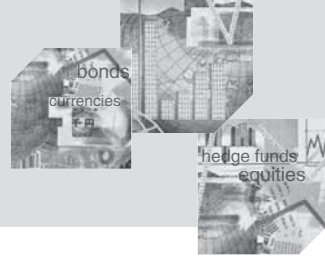
Contact persons: Colin Chan/Jocelyn Yeo  
Tel: (65) 6222 5752/9841 2791/9769 2574  
Fax: (65) 6222 4010  
Email: colin@changoh.com.sg/jocelyn@changoh.com.sg  
Website: www.changoh.com.sg

### Management

Managing Partner – Colin Chan  
DID: (65) 6222 5752  
Email: colin@changoh.com.sg

Partner – Vincent Chan  
DID: (65) 6222 5752  
Email: vincent@changoh.com.sg

Partner – Goh Boon Ming  
DID: (65) 6222 5752  
Email: boonming@changoh.com.sg



## Chartered Asset Management Pte Ltd

### Company Profile

Chartered Asset Management Pte Ltd ("CAM") was established in 1994 as a boutique fund management firm under Regulation 41(1)(e) of the Securities Industry Regulations of Singapore. CAM currently holds a Capital Markets Services Licence for fund management activities under the Securities and Futures Act 2001. Our clientele is strictly "Accredited investors as defined in the Securities and Futures Act (Cap 289).

CAM is headquartered in Singapore and has two wholly owned subsidiaries, Chartered Asset Management (Hong Kong) Pte Ltd and Chartered Asset Management Investment (Mauritius) Pte Ltd.

**Staff Strength (in Singapore)** 11

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 492,500,000 (as at 30 June 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable
- 2) **Provided in Singapore** Fund management and advisory services

### Business Contact/Client Services

Business contact: 51 Emerald Hill Road  
Singapore 229327

Contact person: Colin Lee  
Tel: (65) 6835 8863  
Fax: (65) 6835 8865  
Email: colinlee@cam.com.sg  
Website: www.cam.com.sg

### Management

Managing Director – Colin Lee  
DID: (65) 6835 8863  
Email: colinlee@cam.com.sg

Executive Director – Low Siew Kheng  
DID: (65) 6835 8860  
Email: siewkheng@cam.com.sg



## CIMB-Principal Asset Management (S) Pte Ltd

### Company Profile

CIMB-Principal Asset Management (S) Pte Ltd was incorporated in Singapore on 18 May 2006 and is wholly owned by CIMB-Principal Asset Management Berhad, which has been managing discretionary and mutual funds in Malaysia for more than 15 years.

CIMB-Principal Asset Management (S) Pte Ltd obtained its Capital Markets Services License in Fund Management on 24 April 2007 and is the Manager for the CIMB FTSE ASEAN 40 Exchange Traded Fund, Asia ex Japan equity funds (Conventional/Islamic) and Global fund-of-funds.

**Staff Strength (in Singapore)** 8

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 9.63 billion (as of October 2011)
- 2) **Managed in Singapore** USD 492 million (as of October 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

Equity funds, Syariah funds, Bond funds, Cash funds, Warrant funds, Exchange Traded Funds and other products

#### 2) Provided in Singapore

CIMB FTSE ASEAN 40 Exchange Traded Fund, Asia ex Japan equity funds (Conventional/Islamic), Global fund-of-funds

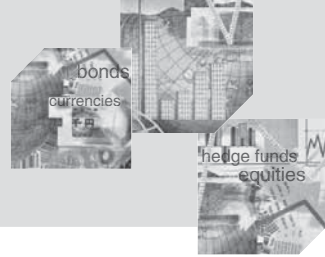
### Business Contact/Client Services

Business contact: 50 Raffles Place #22-03A  
Singapore Land Tower  
Singapore 048623

Contact person: Malani Achro  
Tel: (65) 6210 8490  
Fax: (65) 6210 8489  
Email: malani.achro@cimb.com  
Website: www.cimb-principal.com.sg

### Management

Chief Executive Officer – Ken Goh Zee Wei  
DID: (65) 6210 8488  
Email: ken.goh@cimb.com



## CIMB Securities (Singapore) Pte Ltd

### Company Profile

CIMB Group has had a presence in Singapore since 2005 following the acquisition of G. K. Goh Stockbrokers Pte. Ltd. - a reputable pan-Asian stockbroking franchise with business operations in Singapore, Indonesia, Thailand, Hong Kong, the United Kingdom and the United States. This led to the formation of CIMB Securities (Singapore), the Group's international capital markets arm. We have since expanded our services to the retail segment through CIMB Bank, a fully-licensed bank catering to Singaporean consumers.

**Staff Strength (in Singapore)** Not Applicable

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

- Consumer Banking
- Investment Banking
- Islamic Banking
- Asset Management
- Insurance

#### 2) Provided in Singapore

- Financial Advisory
- Corporate Finance
- Underwriting, management and distribution of initial public offerings
- Capital Markets
- Brokerage
- Investment Research
- Treasury and Derivatives
- Corporate Banking
- Global Loan Syndication / Structured Trade Finance
- Corporate and Capital Restructuring
- Wholesale Banknotes
- Retail Banking
- Business Banking
- Islamic Banking

**Business Contact/Client Services**

Business contact: 50 Raffles Place #01-01  
Singapore Land Tower  
Singapore 048623

Contact person: Richard Martin  
Tel: (65) 6210 8401  
Fax: (65) 6210 8455  
Email: richard.martin@cimb.com  
Website: www.cimbsecurities.com

**Management**

Head, Discretionary Investment Unit – Jeffrey Chong  
DID: (65) 6210 8461  
Email: Jeffrey.chong@cimb.com





## Citicorp Trustee (Singapore) Ltd

### Company Profile

Citicorp Trustee (Singapore) Limited (CTSL) is a dedicated legal vehicle which was established in 1997 to provide highly professional trustee services to Collective Investment Schemes (CIS) in Singapore.

CTSL is an indirect wholly-owned subsidiary of Citigroup Inc. CTSL currently acts as trustee to a number of funds, including CPF-approved funds, with assets under trusteeship of USD 3.0 billion as at 31 March 2011.

Citi's trustee services seek to ensure that the funds are being administered in compliance with the relevant laws and regulations and under sound control environment.

**Staff Strength (in Singapore)**                      Not Disclosed

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**  
[www.citigroup.com/transactionservices/home/securities\\_svcs/index.jsp](http://www.citigroup.com/transactionservices/home/securities_svcs/index.jsp)
- 2) **Provided in Singapore**  
As Above

### Business Contact/Client Services

Business contact: 8 Marina View #16-00  
Asia Square Tower 1  
Singapore 018960

Contact person: Joseph Tay  
Tel: (65) 6657 5063  
Fax: (65) 6657 5612



## City of London Investment Management (S) Private Limited

### Company Profile

City of London Investment Group PLC is a leading emerging market and natural resource asset management group, specializing in the provision of products and services predominantly to institutional clients who include some of the leading institutions and endowment funds in the United States and Europe. City of London operates its business from offices in London, US, Dubai and Singapore.

**Staff Strength (in Singapore)** 7

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 4.8 billion
- 2) **Managed in Singapore** USD 650 million

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Emerging Markets Equity, Natural Resource, Global Equity, Frontier Equity
- 2) **Provided in Singapore**  
Not Applicable

### Business Contact/Client Services

Business contact: 20 Collyer Quay #10-04  
Tung Centre  
Singapore 049319

Contact person: Glenn Lee - Managing Director Singapore Office  
Tel: (65) 6236 9137  
Fax: (65) 6532 3997  
Email: [glenn.lee@citlon.com.sg](mailto:glenn.lee@citlon.com.sg)  
Website: [www.citlon.co.uk](http://www.citlon.co.uk)

### Management

CEO – Barry Oliff

CFO – Doug Allison

Compliance – Kevin Toland



## Clifford Chance Pte Ltd

### Company Profile

Clifford Chance is one of the world's leading law firms helping clients achieve their goals by combining the highest global standards with local expertise. With 29 offices in 21 countries, the firm has unrivalled scale and depth of legal resources across the four key markets of Europe, Asia, the Americas and the Middle East, and focuses on the core areas of commercial activity: capital markets; corporate and M&A; finance and banking; real estate; tax; pensions and employment; litigation and dispute resolution.

In Asia, Clifford Chance has offices in Bangkok, Beijing, Hong Kong, Shanghai, Singapore and Tokyo. With over 400 lawyers in Asia alone, it is one of the largest international firms in the region, enjoying a market leading reputation across a number of practices. Clifford Chance was awarded the Qualifying Foreign Law Practice (QFLP) licence in 2008, allowing the firm to provide Singapore law advice across the existing areas of practice.

**Staff Strength (in Singapore)**                      Over 90

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**      Legal services
- 2) **Provided in Singapore**                      Legal services

### Business Contact/Client Services

Buisness contact: One George Street  
19th floor  
Singapore 049145

Contact person: Han Ming Ho – Partner & Head of Singapore Funds practice  
Tel: (65) 6410 2283  
Fax: (65) 6410 2288  
Email: hanming.ho@cliffordchance.com  
Website: www.cliffordchance.com

### Management

Managing Partner – Geraint Hughes  
DID: (65) 6410 2212  
Email: geraint.hughes@cliffordchance.com



## Daiwa Asset Management (Singapore) Ltd.

### Company Profile

Daiwa Asset Management (Singapore) Ltd. was incorporated in 1994. The company's immediate holding corporation is Daiwa Asset Management Co. Ltd., a company incorporated in Japan. The ultimate holding corporation is Daiwa Securities Group Inc., a company also incorporated in Japan.

Daiwa Asset Management is responsible for the Daiwa Securities Group's asset management operations. The company develops and manages investment trust products, and markets them through a multitude of sales channels, including not only Daiwa Securities and other retail securities companies but also major banks and post offices throughout Japan and the defined contribution pension funds of business corporations. The company uses the fund management know-how it has acquired over many years to help investors steadily build their assets and meet their investment goals.

Daiwa Asset Management is striving to become Asia's best asset management company. In May 2008, the company launched Singapore first's exchange traded fund (ETF) which complies with Islamic law (Shariah Compliant). This fund, the "Daiwa FTSE Shariah Japan 100", has been listed on the Singapore Stock Exchange (SGX). This is the very first ETF that has been listed on the Singapore Exchange by Daiwa Asset Management's local subsidiary, Daiwa Asset Management (Singapore) Ltd.

**Staff Strength (in Singapore)**                      16

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 123.70 billion (as at 30 September 2011)
- 2) **Managed in Singapore**                      USD 0.75 billion (as at 30 September 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Publicly Offered Investment Trust, Stock Investment Trusts, Bond Investment Trusts, Privately Offered Investment Trusts

#### 2) **Provided in Singapore**

Exchange Traded Fund (ETF)

**Business Contact/Client Services**

Business contact: 3 Phillip Street #16-04  
Commerce Point  
Singapore 048693

Contact person: Mr Yoshihiro Hasegawa  
Tel: (65) 6223 6712  
Fax: (65) 6223 8629  
Website: [www.daiwa-am.co.jp](http://www.daiwa-am.co.jp)

**Management**

Managing Director – Yoshihiro Hasegawa  
DID: (65) 6223 6712



## Daiwa SB Investments (Singapore) Ltd.

### Company Profile

Daiwa SB Investments (Singapore) Ltd, is a wholly owned subsidiary of Daiwa SB Investments Ltd. Tokyo, an asset management subsidiary of two major financial institutions in Japan namely Daiwa Securities Group and Sumitomo Mitsui Financial Group. We are the leading globally operating asset management company in Japan.

We provide professional asset management services such as active global equity and fixed income as well as regional equity and fixed income products to institutional investors worldwide including governments, central banks, corporations, pension funds and trust funds.

**Staff Strength (in Singapore)** 10

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 56 billion
- 2) **Managed in Singapore** Not Stated

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Equities - Global, Asia, Pacific Basin, Japanese, Europe, US, Emerging markets  
Fixed Income - Global Bond, Balanced Bond, Japanese Bonds Hedge funds of funds

#### 2) **Provided in Singapore**

Asian Equities - Singapore, Malaysia, Thailand, Indonesia, Philippines, India, Australia and New Zealand

### Business Contact/Client Services

Business contact: 152 Beach Road #06-01/02  
The Gateway East  
Singapore 189721

Contact person: Mr Eiichi Oikawa  
Tel: (65) 6297 6811  
Fax: (65) 6297 6822  
Email: oikawa@daiwasbi.com.sg  
Website: [www.daiwasbi.co.jp/english/index/html](http://www.daiwasbi.co.jp/english/index/html)

## **Management**

Managing Director – Mr Eiichi Oikawa

DID: (65) 6297 5688

Email: oikawa@daiwasbi.com.sg

Chief Portfolio Manager – Mr Steven Lim

DID: (65) 6297 5788

Email: steve\_lim@daiwasbi.com.sg



## Deutsche Asset Management (Asia) Limited

### Company Profile

With 2,414 employees (as of 30 June 2011) and approximately USD 721.6 billion (as of 31 October 2011) in assets under management globally, Deutsche Asset Management (DeAM), a part of the Deutsche Bank Group, is one of the world's leading investment management organizations, not just in size, but also in quality and breadth of investment products, performance and client service.

**Staff Strength (in Singapore)** 58 (as of 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 721.6 billion (as of 31 October 2011)
- 2) **Managed in Singapore** SGD 2,760 million (as of 31 October 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

DeAM operates four lines of business aligned under a global strategy.

1. DB Advisors provides a range of traditional and alternative investment solutions for institutional clients including pension funds, corporations, local government authorities and charities;
2. DIAM provides insurance clients with customized investment programs across multiple disciplines designed to address insurer-specific needs;
3. DWS provides innovative retail investment products, including mutual funds and structured funds, for individual investors and institutional clients worldwide; and
4. RREEF Alternative Investments provides a suite of products and strategies spanning the spectrum and reward, and include real estate, infrastructure, and private equity.

#### 2) Provided in Singapore

As Above

### Business Contact/Client Services

Business contact: One Raffles Quay #15-00  
South Tower  
Singapore 048583

Contact person: Andrew Kwek – Chief Executive Officer  
Tel: (65) 6423 5623  
Fax: (65) 6534 7401  
Email: Andrew.kwek@db.com  
Website: www.deam-singapore.com



## **Management**

CEO – Andrew Kwek

DID: (65) 6423 5623

Email: Andrew.kwek@db.com

COO – Daniel Baverstock

DID: (65) 6423 5423

Email: Daniel.baverstock@db.com

CIO – Scott Jaffray

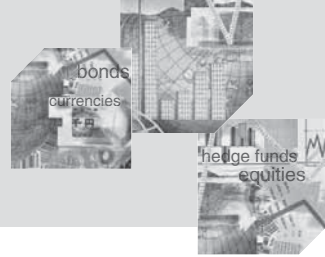
DID: (65) 6423 5487

Email: scott.jaffray@db.com

Head of Compliance – Emma Eun Jeong Jang

DID: (65) 6423 5448

Email: eun-jeong.jang@db.com



## Duxton Asset Management Pte Ltd

### Company Profile

Duxton Asset Management ("Duxton") is a specialized asset manager, with leading expertise in agricultural land and securities, viticulture and emerging markets. Duxton has approximately USD 550 MN in Assets Under Management ("AUM") through a number of investment and advisory mandates.

Duxton is a joint venture between Deutsche Asia Pacific Holdings Pte Ltd and Duxton Capital Pte Ltd., and holds a Capital Markets Services License issued by the Monetary Authority of Singapore to conduct fund management for accredited and/or institutional investors.

**Staff Strength (in Singapore)**                      22

### Assets under Management

Duxton has approximately USD 550 million of assets under management and advisory. This includes a segregated mandate by a large European pension fund, one of the most sophisticated global investors in the agricultural sector, to invest EUR 150 million of its assets into agriculture and food production related Assets.

- 1) **Managed by Group (Worldwide)**    USD 550 million
- 2) **Managed in Singapore**                      USD 550 million

### Products/Services

#### 1) **Provided by Group (Worldwide)**

##### Duxton Mandates

1. Duxton has been appointed by Deutsche Asset Management (Asia) Limited as the delegated investment manager for DWS Vietnam Fund and the DWS Global Agricultural Land Opportunities Fund
2. Duxton also runs a non-discretionary mandate with a large European pension fund to invest into agricultural and food production related assets.

##### Duxton Products

1. Duxton Agricultural Land Trust – focusing on Fund of Fund and other listed Agricultural Investments
2. Duxton Agricultural Land Fund – focusing on direct Agricultural Investments
3. Duxton Agricultural Commodity, Equity Fund – a UCITS fund domiciled in Luxembourg that focuses on a listed agriculture and commodity assets
4. Duxton Pagoda Fund – focusing on listed equities in Vietnam & ASEAN
5. Duxton Wine Fund – direct investment into premium wines

## 2) Provided in Singapore

As Above

### **Business Contact/Client Services**

Business contact: 1A Duxton Hill  
Singapore 089587

Contact person: Arush Chopra – Analyst  
Tel: (65) 6511 0359  
Fax: (65) 6220 7956  
Email: arush.chopra@duxtonAM.com  
Website: www.duxtonAM.com

### **Management**

Chief Executive Officer – Edouard Peter  
DID: (65) 6511 0351  
Email: ed.peter@duxtonAM.com

Managing Director/Chief Investment Officer – Desmond Sheehy  
DID: (65) 6511 0368  
Email: desmond.sheehy@duxtonAM.com

Chief Operating Officer/Chief Financial Officer – Stephen Duerden  
DID: (65) 6511 0363  
Email: stephen.duerden@duxtonAM.com



## Ernst & Young LLP

### Company Profile

Ernst & Young is a global professional firm, built on the knowledge of 144,000 people in 132 countries. Of these staff, about 10,000 are financial services industry professionals. On global accounts, we appreciate the primary responsibility to headquarters, while remaining very sensitive to local and divisional matters. On local accounts, we understand that our clients want to access the leading practice knowledge held within our global firm. To enable this access, where it is not already available locally, we utilise global teams and resources to meet local needs.

Worldwide, our people are united by our shared values and an unwavering commitment to quality. We make a difference by helping our people, clients and our wider communities achieve their potential.

**Staff Strength (in Singapore)**      More than 2,000 (100 partners)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**      Not Applicable

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Ernst & Young's Financial Services office is an industry leader in providing integrated, value-added services within assurance, tax and advisory areas. We focus on providing business solutions to more than 1,000 financial institutions worldwide. Our multi-disciplinary resources are located in all key global financial centres. Our combination of resources and expertise in key business areas has established the firm as a market leader in our three financial services segments: Banking and Capital Markets, Asset Management, and Insurance. This leadership is evidenced by our substantial client base and our continuing commitment to providing a full range of best practice, value-added services to the industry.

#### 2) **Provided in Singapore**

We are the largest professional service firm in Singapore with a history of over 121 years. Our client portfolio includes blue-chip multinational corporations, public listed companies and public sector organisations across various industries.

We currently have a broad asset management client base comprising an extensive portfolio of fund managers managing or advising global funds as well as start-up funds. We work closely with each client and provide extensive advice particularly to boutique fund managers striking out on their own. For many of them, we provide the necessary support in helping them through the initial stages of setting up, including liaising with the legal advisors and the regulators. We maintain a good relationship with the regulators and help achieve win-win solutions for our clients. Through our extensive experience and also exposure to the various challenges faced by our clients, we have gained an intimate understanding of the demands of the market place and the regulatory requirements.

We are also pleased to share that Ernst & Young recently won the award for “Best auditor, fund advice” at the 2010 Asian Investor Service Provider Awards. This is another testament to our experience and reputation in the fund industry.

#### **Business Contact/Client Services**

Contact person: Brian Thung  
Tel: (65) 6309 6227  
Fax: (65) 6532 7662  
Email: Brian.Thung@sg.ey.com  
Website: www.ey.com

#### **Management**

Country Managing Partner – Max Loh  
DID: (65) 6309 8828  
Email: Max.Loh@sg.ey.com

Head of Financial Services Office Singapore – Winston Ngan  
DID: (65) 6309 6918  
Email: Winston.Ngan@sg.ey.com



## Eastspring Investments (Singapore) Limited

### Company Profile

Eastspring Investments (Singapore) Limited is part of the specialist Asian asset management business of UK-based Prudential plc. Eastspring Investments (Singapore) Limited, which is part of Prudential Corporation Asia, was formerly known as Prudential Asset Management (Singapore) Limited. The new name took effect in February 2012 and reinforces the business' Asia investment focus.

Prudential's asset management business in Singapore was established in 1994 and offers a wide range of funds servicing retail and institutional clients. Eastspring Investments (Singapore) Limited serves as the hub of the regional asset management business and includes a team of more than 70 investment professionals who manage funds across a range of asset classes, including a Luxemburg-domiciled offshore product range.

**Staff Strength (in Singapore)** 271 (as at 30 November 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

Approximately USD 562 billion (as at 30 June 2011)

#### 2) Managed in Singapore

Approximately USD 40 billion (as at 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

Prudential plc is an international financial services group with operations spanning Asia, the US and the UK. The Group is structured around four main business units: Prudential Corporation Asia, Jackson National Life Insurance Company, Prudential UK and M&G.

- Prudential UK: a leading life and pensions provider offering a range of retail financial products.
- M&G: the Group's UK and European fund manager, responsible for £203 billion\* of investments on behalf of both internal and external clients.
- Prudential Corporation Asia: with operations in 13 Asia markets, is the leading Europe-based life insurer in terms of market coverage and number of top-three market positions, and a leading regional fund manager.
- Jackson National Life: a US life insurance business, and one of the market's largest to provide long-term savings and retirement products.

\*As at 30 June 2011

## 2) Provided in Singapore

Eastspring Investments (Singapore) Limited, formerly known as Prudential Asset Management (Singapore) Limited, manages discretionary funds since 1995. The company has approximately USD 51 billion in funds under management of which approximately USD 39 billion are discretionary funds managed in Singapore (as at 30 September 2011). Eastspring Investments (Singapore) Limited offers a wide range of retail unit trusts to meet the diverse needs of our investors and provides investment management and investment advisory services to institutional clients.

### **Business Contact/Client Services**

Business contact: 10 Marina Boulevard #32-01  
Marina Bay Financial Centre Tower 2  
Singapore 018983

General Line: (65) 6349 9100  
Website: [www.eastspringinvestments.com.sg](http://www.eastspringinvestments.com.sg)

Contact persons: Julian Christopher Vivian Pull – Chief Executive Officer  
Email: [ted.pull@eastspringinvestments.com](mailto:ted.pull@eastspringinvestments.com)

Beonca Yip – Regional Head of Retail, Fund Management, Asia  
Email: [beonca.yip@eastspringinvestments.com](mailto:beonca.yip@eastspringinvestments.com)

Dean Winterton – Head of Institutional Business  
Email: [dean.winterton@eastspringinvestments.com](mailto:dean.winterton@eastspringinvestments.com)

### **Management**

Chief Executive, Fund Management, Prudential Corporation Asia  
– Graham David Mason  
Email: [graham.mason@eastspringinvestments.com](mailto:graham.mason@eastspringinvestments.com)

Deputy Chief Executive, Fund Management & Regional Head of Investment Management, Prudential Corporation Asia  
– Guy Robert Strapp  
Email: [guy.strapp@eastspringinvestments.com](mailto:guy.strapp@eastspringinvestments.com)

Regional Chief Operating Officer, Fund Management, Prudential Corporation  
Asia & Chief Executive Officer, Eastspring Investments (Singapore) Limited  
– Julian Christopher Vivian Pull  
Email: [ted.pull@eastspringinvestments.com](mailto:ted.pull@eastspringinvestments.com)

Regional Head, Risk Management, Compliance and Corporate Governance, Fund  
Management, Asia and Middle East, Eastspring Investments (Singapore) Limited  
– Lakshman Kumar  
Email: [lakshman.kumar@eastspringinvestments.com](mailto:lakshman.kumar@eastspringinvestments.com)





## Ferrell Asset Management Pte Ltd

### Company Profile

Ferrell is a Specialist Fund Manager. We manage alternative instruments, particularly hedge funds, segregated mandates, traditional portfolio products and Real Estate funds.

Our main clients are the high net worth individuals and family offices in Asia and Europe. Others are institutions, corporates and non-profit organisations.

**Staff Strength (in Singapore)** 6

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 231 million
- 2) **Managed in Singapore** USD 33.9 million

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: 80 Raffles Place #28-21  
UOB Plaza 2  
Singapore 048624

Contact person: Yeap Yuen Yon  
Tel: (65) 6536 6623  
Fax: (65) 6536 1738  
Email: [clientservices@ferrell.com.sg](mailto:clientservices@ferrell.com.sg)  
Website: [www.ferrell.com.sg](http://www.ferrell.com.sg)

### Management

Managing Director – David Lee Kuo Chuen  
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Email: [david@ferrell.com.sg](mailto:david@ferrell.com.sg)

Investment Director – Yeap Yuen Yon  
DID: (65) 6416 8489  
Email: [yeapyuenyon@ferrell.com.sg](mailto:yeapyuenyon@ferrell.com.sg)



## Fidelity Worldwide Investment

### Company Profile

Fidelity Worldwide Investment is a global leader in asset management, providing investment products and services to individuals and institutions in the UK, continental Europe, the Middle East and Asia Pacific. Established in 1969, the company has over 5,000 staff in 24 countries and manages or administers client assets of US\$262.6bn. It has over 7 million customer holdings and manages more than 720 equity, fixed income, property and asset allocation funds. The company's fund managers receive research from one of the largest proprietary research teams, based in 12 countries around the world. Fidelity Worldwide Investment is an independent asset management company which is privately owned.

*(company data as of 31 December 2011)*

**Staff Strength (in Singapore)** 36

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 212.0 billion
- 2) **Managed in Singapore** USD 6.0 billion

### Products/Services

- 1) **Provided by Group (Worldwide)** Equity, Fixed Income, Cash, Alternative
- 2) **Provided in Singapore** Equity, Fixed Income, Cash

### Business Contact/Client Services

Business contact: 1 Raffles Place #14-00  
One Raffles Place  
Singapore 048616

Contact person: Yu Nar Teo – Senior Marketing Manager  
Tel: (65) 6511 2211  
Fax: (65) 6536 1960  
Email: [yunar.teo@fil.com](mailto:yunar.teo@fil.com)  
Website: [www.fidelity.com.sg](http://www.fidelity.com.sg)



## First State Investments (Singapore)

### Company Profile

First State Investments is the international operation of Colonial First State Global Asset Management ('CFSGAM'), and the asset management business of the Commonwealth Bank of Australia. CFSGAM has funds under management of US\$138.8 billion as at 30 September 2011 and offices in Singapore, Hong Kong, Jakarta, Tokyo, Sydney, Melbourne, Auckland, London, Edinburgh, and New York.

First State Investments is represented in China as First State Cinda, which is a joint venture with China Cinda Asset Management Corporation. The joint venture's head office is located in Shenzhen, China, with a branch office in Beijing.

First State Investments is a signatory to the UN Principles of Responsible Investment since March 2007 with a dedicated sustainability and responsible investments team.

**Staff Strength (in Singapore)** 35

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 138.8 billion (as at 30 September 2011)
- 2) **Managed in Singapore** Not Stated

### Products/Services

#### 1) Provided by Group (Worldwide)

First State Investments offers investment solutions across a diverse range of asset classes, including global equities, Asia Pacific and global emerging markets, global resources, global property securities, global listed infrastructure securities, Australian equities, global fixed interest and credit, emerging market debt, direct property, direct infrastructure investments, multi-asset solutions and asset allocation strategies. Our global client base includes corporations, insurance companies, pension funds, governments, foundations, high net worth individuals and retail investors.

#### 2) Provided in Singapore

In Singapore, First State Investments provides access to the Group's investment capabilities. In particular, Asia-Pacific equities, multi-asset solutions and asset allocation strategies are managed out of Singapore.

In addition, we currently offer 14 Singapore-registered collective investment schemes. These include award-winning funds such as First State Bridge, First State GEM Leaders, First State Regional China Fund and First State Global Resources.

### **Business Contact/Client Services**

Business contact: 1 Temasek Avenue #17-01  
Millenia Tower  
Singapore 039192

Contact person: Alexis Ng – Managing Director – Southeast Asia and  
Head of Institutional Business, Asia  
Tel: (65) 6538 0008  
Fax: (65) 6538 0800  
Email: alexis.ng@firststate.com.sg  
Website: www.firststateasia.com

### **Management**

Managing Director, South East Asia and Head of Institutional Business, Asia  
– Alexis Ng  
Email: alexis.ng@firststate.com.sg

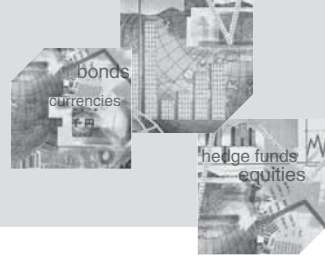
Deputy Head, Asia Pacific Equities – Alistair Thompson  
Email: alistair.thompson@firststate.com.sg

Head of Multi-Asset Solutions – Epco van der Lende  
Email: epco.vanderlende@firststate.com.sg

Global Head of Equity Dealing – Todd Prado  
Email: todd.prado@firststate.com.sg

Head of Finance, Asia – Adrianus Djayawinata  
Email: adrianus.djayawinata@firststate.com.sg

Senior Manager, Risk and Compliance – Grace Quek  
Email: grace.quek@firststate.com.sg



## Fullerton Fund Management Company Ltd

### Company Profile

Fullerton Fund Management ("Fullerton") is an investment specialist, with expertise across all asset classes, including equities, fixed income, money market, currencies and alternatives. Headquartered in Singapore, Fullerton is also supported by local research efforts in China.

Prior to its establishment in 2003, the fund management team operated as an in-house fund management division of Temasek Holdings. Fullerton is licensed by the Monetary Authority of Singapore.

**Staff Strength (in Singapore)**                      104 (as of 31 March 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 8 billion (as of 31 March 2011)
- 2) **Managed in Singapore**                      See Worldwide

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Fullerton manages Asian and global mandates for institutional and retail clients across equities, fixed income, money markets and fund of hedge funds.

#### 2) **Provided in Singapore**

See Worldwide

### Business Contact/Client Services

Business contact: 60B Orchard Road #06-18  
Tower 2, The Atrium  
Singapore 238891

Contact person: Business Development Group  
Tel: (65) 6828 6100  
Fax: (65) 6828 6484  
Email: [info@fullerton.com.sg](mailto:info@fullerton.com.sg)  
Website: [www.fullertonfund.com](http://www.fullertonfund.com)

## **Management**

CEO – Manraj Sekhon

DID: (65) 6828 6827

Email: manrajsekhon@fullerton.com.sg

COO – Wah Geok Sum

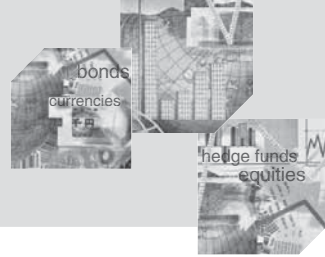
DID: (65) 6828 2373

Email: geoksum@fullerton.com.sg

Executive Director, Corporate Strategy – Chan Chia Lin

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Email: chialin@fullerton.com.sg



## GMO Singapore Pte Limited

### Company Profile

GMO, founded in 1977, is a privately held global investment management firm servicing clients in the corporate, public, endowment and foundation marketplaces. We manage client assets using a blend of traditional judgments with innovative quantitative methods to find undervalued securities and markets.

Our success in consistently providing value added performance across a broad range of asset classes is founded on several key factors that include: discipline, value orientation, investment research and constant innovation. Our broad-based valued added over benchmarks has led many of our clients to request that we allocate assets and advise them on a global scale.

We serve our global client base from our headquarters in Boston and offices in San Francisco, London, Zurich, Singapore and Sydney. GMO employs more than 100 investment professionals and over 500 people worldwide.

**Staff Strength (in Singapore)**                      9

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 94 billion ( As at 30 September 2011)
- 2) **Managed in Singapore**                      Not Stated

### Products/Services

#### 1) **Provided by Group (Worldwide)**

GMO is a leading provider of investment strategies including equity and fixed income strategies across global developed and emerging markets, asset allocation, forestry and absolute return strategies.

#### 2) **Provided in Singapore**

As Above

### Business Contact/Client Services

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Singapore 049909

Contact person: Glen Howard – Managing Director

Tel: (65) 6532 0346

Fax: (65) 6536 5421

Email: glen.howard@gmo.com

Website: www.gmo.com

## **Management**

Managing Director – Glen Howard

DID: (65) 6532 0346

Email: [glen.howard@gmo.com](mailto:glen.howard@gmo.com)

Director – Jonathan Goll

DID: (65) 6532 0346

Email: [jonathan.goll@gmo.com](mailto:jonathan.goll@gmo.com)





## Goldman Sachs (Singapore) Pte.

### Company Profile

The Goldman Sachs Group, Inc. is a bank holding company and a leading global investment banking, securities and investment management firm. Goldman Sachs provides a wide range of services worldwide to a substantial and diversified client base that includes corporations, financial institutions, governments and high net worth individuals. Goldman Sachs (Singapore) Pte. is the hub for the firm's operations throughout South East Asia, providing a full scope of financial services including Investment Banking, Sales and Trading, Investment Management. Within the Investment Management Division, Goldman Sachs Asset Management (GSAM) maintains a significant presence in the Singapore office.

**Staff Strength (in Singapore)**                      65 (as of 30 November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 699.8 billion (as of 30 September 2011)
- 2) **Managed in Singapore**                      USD 8.9 billion (as of 30 September 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

GSAM offers products in Fundamental Equity, Quantitative Investment Strategies, Global & US Fixed Income, and Alternative Investments; and Advisory services. All GSAM activities are subjected to and supported by world-class: Firmwide and GSAM Risk Management teams, Operations, Control, and other supporting professionals & structures.

#### 2) **Provided in Singapore**

Fundamental Equity Portfolio Management, Fundamental Equity Trading and Portfolio Construction, Fixed Income Portfolio Management, Sales and Marketing, Compliance, Technology and Operations.

### Business Contact/Client Services

Business contact: 1 Raffles Link #07-01  
South Lobby  
Singapore 039393

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Tel: (65) 6889 2823  
Fax: (65) 6889 2450  
Email: Divyata.Ashiya@gs.com  
Website: www.goldmansachs.com

## **Management**

Managing Director – Divyata Ashiya

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Managing Director – Vance Duigan

DID: (65) 6889 2445

Email: [vance.duigan@gs.com](mailto:vance.duigan@gs.com)

Managing Director – Lloyd Reynolds

DID: (65) 6889 2516

Email: [lloyd.reynolds@gs.com](mailto:lloyd.reynolds@gs.com)



## Havenport Asset Management Pte Ltd

### Company Profile

Havenport Asset Management is an Asia Pacific Equities specialist, headquartered in Singapore. Havenport was incorporated in July 2010 and received its Capital Market Services License from the MAS on 1st February 2011. We are 100% employee owned. All of our resources are devoted to alpha generation, and to providing exceptional service to our clients.

**Staff Strength (in Singapore)** 10

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Stated
- 2) **Managed in Singapore** USD 2.3 billion  
in Asia Pacific ex Japan equities

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Regional Asia Pacific ex Japan equities

### Business Contact/Client Services

Business contact: 8 Eu Tong Sen Street #21-96/97  
Office 1, The Central  
Singapore 059818

Contact person: Valerie Ang  
Tel: (65) 6590 9088  
Fax: (65) 6225 3521  
Email: [valerie@havenportasset.com](mailto:valerie@havenportasset.com)  
Website: [www.havenportasset.com](http://www.havenportasset.com)

### Management

Managing Partner – Patrick Tan  
DID: (65) 6590 9080  
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Managing Partner – Joseph Tern  
DID: (65) 6590 9099  
Email: [joseph@havenportasset.com](mailto:joseph@havenportasset.com)

Partner – Valerie Ang  
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Email: [valerie@havenportasset.com](mailto:valerie@havenportasset.com)



## Henderson Global Investors (Singapore) Limited

### Company Profile

Founded in 1934, Henderson is a leading investment manager, providing a wide range of investment products and services to institutions and individuals in Asia, Europe and North America. Henderson manages over USD 119.5 billion (as at 30 June 2011) across multiple asset classes (equities, fixed income, private equity and property) and employs around 1,100 people worldwide.

Since July 1995, the Singapore office has been Henderson's headquarters for Asia-Pacific investment, with the dual purpose of managing and monitoring local investment opportunities and attracting new funds to manage from the region.

**Staff Strength (in Singapore)** 40

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 119.5 billion (as at 30 June 2011)
- 2) **Managed in Singapore** USD 6.1 billion (as at 30 June 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Equities, fixed income, hedge funds, private equity and property

#### 2) **Provided in Singapore**

Pan Asian Equities, private equity, hedge funds and property

*Note: Henderson has also registered a range of Singapore authorized, recognized and restricted funds in Singapore.*

### Business Contact/Client Services

Business contact: 6 Battery Road #12-01  
Singapore 049909

Contact persons: Alexander Henderson – Managing Director, Asia  
Email: [alexander.henderson@henderson.com](mailto:alexander.henderson@henderson.com)

Richard Clarke – Director, Institutional Marketing  
Email: [richard.clarke@henderson.com](mailto:richard.clarke@henderson.com)

Suyin Tan – Director, Retail Distribution  
Email: [suyin.tan@henderson.com](mailto:suyin.tan@henderson.com)

Tel: (65) 6836 3900  
Fax: (65) 6221 0039  
Website: [www.henderson.com.sg](http://www.henderson.com.sg)

### **Management**

Managing Director, Asia – Alexander Henderson  
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Email: [alexander.henderson@henderson.com](mailto:alexander.henderson@henderson.com)

Chief Executive – Andrew Formica  
DID: (65) 6836 3900  
Email: [andrew.formica@henderson.com](mailto:andrew.formica@henderson.com)

Director of Property, Asia – Chris Reilly  
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Email: [chris.reilly@henderson.com](mailto:chris.reilly@henderson.com)

Director, Japanese Equities – Jeremy Hall  
DID: (65) 6887 6977  
Email: [jeremy.hall@henderson.com](mailto:jeremy.hall@henderson.com)

Managing Director, Private Equity – Roger Greville  
DID: (65) 6836 3900  
E,mail: [roger.greville@henderson.com](mailto:roger.greville@henderson.com)

Chief Operating Officer – Shu Ming  
DID: (852) 2905 5109  
Email: [ming.shu@henderson.com](mailto:ming.shu@henderson.com)



## HSBC Global Asset Management (Singapore)

### Company Profile

HSBC Global Asset Management is the core global investment solutions platform of the HSBC Group, which has operations in HSBC's international network comprising about 7,500 offices in 87 countries and territories in Europe, the Asia-Pacific region, the Americas, the Middle East and Africa. With a global network of dedicated offices, HSBC Global Asset Management is able to create and deliver solutions to clients worldwide.

HSBC Global Asset Management (Singapore) Limited was established in 1986 and has significant experience in managing collective investment schemes and discretionary funds.

**Staff Strength (in Singapore)**                      35 (as at November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 419.2 billion (as at October 2011)
- 2) **Managed in Singapore**                      USD 6.1 billion (as at October 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

HSBC Global Asset Management's objective is to deliver value to our clients by manufacturing disciplined investment products. We manage assets for institutional and individual clients around the world. We specialize in providing comprehensive investment solutions to meet the needs of our diverse, global client base, including corporations, public pension plans, insurance companies, endowments and foundations, as well as sovereign and supranational funds.

#### 2) **Provided in Singapore**

As Above

### Business Contact/Client Services

Business contact: 21 Collyer Quay #10-01  
HSBC Building  
Singapore 049320

Contact person: Ms Kristy Tan  
Tel: (65) 6530 2824  
Fax: (65) 6225 4324  
Email: [kristytan@hsbc.com.sg](mailto:kristytan@hsbc.com.sg)  
Website: [www.assetmanagement.hsbc.com/sg](http://www.assetmanagement.hsbc.com/sg)

## **Management**

Chief Executive Officer – Mr Patrick Tse

DID: (65) 6530 2801

Email: [patrick.tse@hsbc.com.sg](mailto:patrick.tse@hsbc.com.sg)



## HSBC Securities Services

### Company Profile

HSBC Securities Services is one of the world's leading providers of fund and securities services. With teams on the ground in 49 markets, we provide comprehensive global, regional and domestic securities services to:

- Investment managers, insurance and pension funds
- Sovereign wealth funds
- Banks and broker dealers
- Corporates and financial institutions

HSBC Securities Services include fund accounting and administration, transfer agency services, global custody, sub-custody and clearing and corporate trust and loan agency, as well as providing access to the entire range of industry – leading products and services offered by the HSBC Group.

**Staff Strength (in Singapore)**                      220 (as at 31 December 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

Fund accounting and administration, transfer agency services, global custody, sub-custody and clearing and corporate trust and loan agency, as well as providing access to the entire range of industry-leading products and services offered by the HSBC Group.

#### 2) Provided in Singapore

Fund accounting and administration, transfer agency services, global custody, sub-custody and clearing and corporate trust and loan agency, as well as providing access to the entire range of industry-leading products and services offered by the HSBC Group.

### Business Contact/Client Services

Business contact: HSBC Securities Services  
21 Collyer Quay  
HSBC Building  
Singapore 049320



Contact person: John Butler – Head of Sales and Business Development  
Tel: (65) 6658 2370  
Fax: (65) 6224 0351  
Email: johnebutler@hsbc.com.sg  
Website: www.hsbcnet.com

**Management**

Chief Executive Officer, HSBC Institutional Trust Services (Singapore) Limited  
and Head of HSBC Securities Services, Singapore – Tony Lewis  
DID: (65) 6658 0228  
Email: tonywlewis@hsbc.com.sg

Head of Sales and Business Development, HSBC Securities Services, Singapore  
– John Butler  
DID: (65) 6658 2370  
Email: johnebutler@hsbc.com.sg



## Indea Capital Pte Limited

### Company Profile

Indea Capital Pte Limited was established in 2002 and is a dedicated manager committed to provide outstanding investment management services in Indian equities and equities benefiting from the development of India as a major economy. Managing money and not raising it will remain our focus.

**Staff Strength (in Singapore)** Not Stated

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Stated
- 2) **Managed in Singapore** Approximately USD 275 million

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: 137 Telok Ayer Street #04-06  
Singapore 068602

Contact person: Peter Newing  
Tel: (65) 6438 6762  
Fax: (65) 6836 7369  
Email: peter@indeacapital.com  
Website: www.indeacapital.com

### Management

CIO – Raj Mishra  
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Email: raj@indeacapital.com

COO – Peter Newing  
DID: (65) 6438 6762  
Email: peter@indeacapital.com



## ING Investment Management Asia Pacific (Singapore) Ltd

### Company Profile

ING Investment Management ("ING IM") worldwide is active in 32 countries with over 3,000 employees across the Americas, Asia Pacific, Europe and the Middle East, including some of the world's fastest growing economies, such as China, India, Brazil and many Eastern European countries. ING IM is well-positioned to offer investment solutions on a truly global scale.

In Asia Pacific, ING IM has offices in 9 countries, namely: China, Hong Kong, India, Japan, South Korea, Malaysia, Singapore, Taiwan and Thailand. Our Asia Pacific headquarter is located in Hong Kong and total ING IM staff strength is just over 850. There are 9 countries in the region that are centres of expertise with their own asset management centre.

ING Investment Management Asia Pacific (Singapore) has been managing offshore collective investment schemes and discretionary funds in Singapore since 1996. The Singapore office is responsible for marketing ING's global and specialist asset management expertise and products to institutional, corporate and private clients in the South Asia region.

**Staff Strength (in Singapore)** 18 (as of 30 November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 445 billion (as of 30 September 2011)
- 2) **Managed in Singapore** USD 794 million (as of 30 September 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

ING IM is a multi-expert, active manager, providing investment strategies & solutions across all markets and major asset classes for institutional clients, pension funds, insurance companies, third party distributors and for the ING Group. The worldwide footprint of ING IM enables us to deliver global expertise in managing our products.

We serve a variety of institutional clients - including public, corporate and union retirement plans, endowments and foundations, and insurance companies, as well as individual investors via distribution partners such as banks, broker/dealers and independent financial advisers. ING IM is also the principal asset manager of ING Group, the global financial services company. We are committed to investing responsibly and delivering client-oriented investment solutions and advisory services across asset classes, geographies and styles.

## 2) Provided in Singapore

We have positioned Singapore as our Asian Debt hub. As such, all our flagship Asian Debt strategies (both hard currencies and local currencies) are managed from here.

We offer both segregated accounts and commingled funds of various asset classes to regional institutional clients, regional consumer banks and private banks.

### **Business Contact/Client Services**

Business contact: 9 Raffles Place #23-08  
Republic Plaza  
Singapore 048619

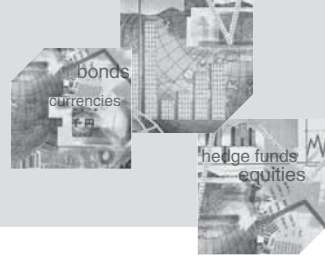
Contact person: Linda Gill  
Marketing hotline : (65) 6632 3060  
Fax: (65) 6535 3393  
Email: [iiminfo@asia.ing.com](mailto:iiminfo@asia.ing.com)  
Website: [www.ingim.com.sg](http://www.ingim.com.sg)

### **Management**

CEO and Director – Steven Billiet  
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Email: [steven.billiet@ap.ingim.com](mailto:steven.billiet@ap.ingim.com)

Senior Investment Manager and Director – Joep Huntjens  
DID: (65) 6632 3066  
Email: [joep.huntjens@ap.ingim.com](mailto:joep.huntjens@ap.ingim.com)

Head, Institutional Business Development, South Asia – Tan Lih Yann  
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Email: [lihyann.tan@ap.ingim.com](mailto:lihyann.tan@ap.ingim.com)



## Invesco Asset Management Singapore Ltd

### Company Profile

Invesco is a leading independent global investment management company, dedicated to helping people worldwide build their financial security. By delivering the combined power of our distinctive worldwide investment management capabilities, Invesco provides a comprehensive array of enduring investment solutions for retail, institutional and high net worth clients around the world. Operating in 20 countries, the company is listed on the New York Stock Exchange under the symbol IVZ. Invesco Singapore's principal activities are those of providing marketing, research and management information services. There have been no significant changes in the nature of these activities during the financial year.

**Staff Strength (in Singapore)** 5

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 598.4 billion (as at 30 September 2011)
- 2) **Managed in Singapore** USD 2.235 billion (as at 30 September 2011)  
(Sourced AUM)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

A wide range of single-country, regional and global capabilities across major equity, fixed income and alternative asset classes delivered through a diverse set of investment vehicles.

#### 2) **Provided in Singapore**

Institutional client coverage for South East Asia, Korea and India for IVZ worldwide products.

### Business Contact/Client Services

Business contact: 20 Collyer Quay #10-03  
Tung Centre  
Singapore 049319

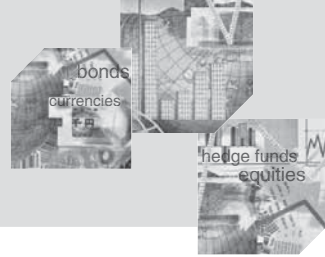
Contact person: Lim Shyong Piau  
Tel: (65) 6603 9180  
Fax: (65) 6603 9190  
Email: [piau\\_lim@sin.invesco.com](mailto:piau_lim@sin.invesco.com)  
Website: [www.invesco.com](http://www.invesco.com)

## **Management**

Managing Director – Lim Shyong Piau

DID: (65) 6603 9180

Email: [piau\\_lim@sin.invesco.com](mailto:piau_lim@sin.invesco.com)



## JL Capital Pte Ltd

### Company Profile

JL Capital Pte Ltd is a Singapore based Fund Management company founded by James Loh in June 2002. JL Capital is a holder of the Capital Markets Services License (CMS License) issued by the Monetary Authority of Singapore (MAS) to conduct Fund Management, and has been granted the Foreign Institutional Investors (FII) certification by the Securities and Exchange Board of India (Sebi), which allows JL Capital to invest into the Indian Securities Market through sub-accounts created for each investment entity/ fund managed by JL Capital. JL Capital has built successful hedge fund strategy platforms focusing on Asian markets.

**Staff Strength (in Singapore)** 14

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 196 million (as of 1 November 2011)
- 2) **Managed in Singapore** USD 196 million (as of 1 November 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

- Swordfish Fund – Global Macro strategy with an Asian focus
- Asuka Pure Alpha Fund – Japanese Equities Long / Short

#### 2) **Provided in Singapore**

- Swordfish Fund – Global Macro strategy with an Asian focus
- Asuka Pure Alpha Fund – Japanese Equities Long / Short

### Business Contact/Client Services

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Parkview Square  
Singapore 188778

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Email: [jeremytan@jlcap.com](mailto:jeremytan@jlcap.com)  
Website: [www.jlcap.com](http://www.jlcap.com)

## **Management**

CEO, Managing Director – James Loh

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Email: jamesloh@jlcap.com

Director, Finance and Admin – Natalie Loh

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Email: natalieloh@jlcap.com





## JPMorgan Asset Management (Singapore) Limited

### Company Profile

J.P. Morgan Asset Management is a division of JPMorgan Chase & Co. and is one of the world's largest asset managers. With a heritage of more than two centuries, a broad range of core and alternative strategies, and investment professionals operating in every major world market, we offer investment experience and insight that few other firms can match.

We have a global network of over 700 investment professionals located in 41 locations worldwide and assets under management of over USD 1.3 trillion (data as of 30 September 2011). This enormous global investment capability is based on a strong local market presence across three regions – Asia Pacific, Europe and the US – and brings together an incredible pool of specialist investment knowledge and expertise which further enhances our capabilities to provide our clients with the very best products.

Our global reach and the breadth of our knowledge and commitment allows us to help investors to reach their financial goals by providing them with a range of professionally managed funds, excellence in investment performance, and the highest quality of client service.

**Staff Strength (in Singapore)** 43 (as at 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 1.3 trillion (as of 30 September 2011)
- 2) **Managed in Singapore** USD 7.3 billion (as of 30 September 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Not Stated

#### 2) **Provided in Singapore**

A wide range of JPMAM offshore funds have been (i) registered with Monetary Authority of Singapore as recognized schemes in Singapore and (ii) notified with Monetary Authority of Singapore as restricted foreign schemes in Singapore.

In Singapore, we offer global investment management services to institutional investors across the range of asset classes, including equities, fixed income, cash, currency, alternatives, real estate, infrastructure, hedge funds and private equity.

## **Business Contact/Client Services**

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16th Floor Capital Tower  
Singapore 068912

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Linda Leow – Office Manager  
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Andrew Creber – Head of Business Singapore  
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Email: andrew.creber@jpmorgan.com

Website: [www.jpmorganam.com.sg](http://www.jpmorganam.com.sg)

## **Management**

### ***Sales***

Head of South Asia Sovereigns and Institutional Strategy – Rachel Farrell  
Client Advisor, Sovereigns & Institutional Business – Lim Jen  
Head of Retail Sales, Singapore – Brian Tan  
Client Advisor, Global Liquidity – Ben Ford

### ***Product Specialists***

Asia Head of Alternative Asset Management – Calvin Ho

### ***Investment Managers***

Head, JFAM process – Michael Koh  
Head, Asia Pacific DDM process – Paul Chan  
Chief Investment Officer, Global Real Assets – Vijay Pattabhiraman

### ***Business***

Head of Business Singapore – Andrew Creber  
Chief Operating Officer – Christina Chua



## KE Capital Partners Pte Ltd

### Company Profile

KE Capital Partners Pte. Ltd. ("KECP") is an asset management joint venture between Maybank Kim Eng Holdings Limited and Mitsubishi UFJ Securities Holdings Co.,Ltd. ("MUS"). Incorporated on 30 June 2008, KECP received its full license for fund management from the Monetary Authority of Singapore on 28 August 2008.

KECP aims to be a leading Asian investment franchise, delivering asset management services in the area of traditional Asian equity and fixed income funds to retail investors outside Singapore, as well as incubating hedge funds. The partnership with MUS brings together MUS' extensive distribution network in Japan and Kim Eng's in depth understanding of Asia.

**Staff Strength (in Singapore)** 15

### Assets under Management

- 1) Managed by Group (Worldwide) Not Applicable
- 2) Managed in Singapore USD 1.1 billion

### Products/Services

- 1) Provided by Group (Worldwide)  
Not Applicable
- 2) Provided in Singapore
  - A) Segregated Accounts
  - B) Mutual Funds, Hedge Fund and Fund of Hedge Funds; and
  - C) Institutional Accounts

### Business Contact/Client Services

Contact persons: Mr Michael Liang – CEO  
Tel: (65) 6432 1482  
Fax: (65) 6339 1003  
Email: [michaelliang@ke-capital.com](mailto:michaelliang@ke-capital.com)

Ms Veronica Chee – Head, Compliance  
Tel: (65) 6432 1483  
Fax: (65) 6339 1003  
Email: [veronicachee@ke-capital.com](mailto:veronicachee@ke-capital.com)

## **Management**

CEO – Michael Liang

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Email: [michaelliang@ke-capital.com](mailto:michaelliang@ke-capital.com)

COO – Patrick Fu

DID: (65) 6432 1489

Email: [patrickfu@ke-capital.com](mailto:patrickfu@ke-capital.com)

CIO – Sebastian Chia

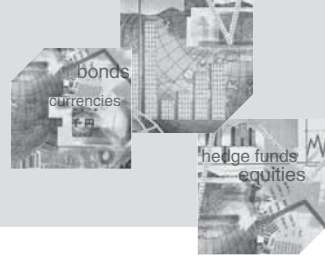
DID: (65) 6432 1488

Email: [sebchia@ke-capital.com](mailto:sebchia@ke-capital.com)

Head, Compliance – Veronica Chee

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Email: [veronicachee@ke-capital.com](mailto:veronicachee@ke-capital.com)



## Kotak Mahindra UK Limited

### Company Profile

With assets under management and advisory worth USD 10.30 billion, the Kotak Mahindra group is one of India's leading banking and financial services organizations, offering complete financial solutions that encompass every sphere of life. From personal financial services, to commercial banking, to corporate and investment banking and markets, to stock broking, to asset management and life insurance, the group caters to the financial needs of individuals and corporate.

With presence outside India since 1994, the international subsidiaries of Kotak specialize in managing a wide range of differentiated India investment funds through which overseas investors can invest in India. The offshore team caters to institutions, high net worth individuals and retail investors, and capitalizes on its ability to customize investments as per the client's requirement by providing customized portfolios, access to direct equity markets in India.

The Group has a wide distribution network through branches and franchisees across India, and international offices in London, New York, California, Dubai, Abu Dhabi, Bahrain, Mauritius and Singapore. The Group services close to 9.18 million customer accounts.

*All data as on 31 September 2011*

**Staff Strength (in Singapore)** 22

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 10.30 billion (as of September 2011)
- 2) **Managed in Singapore** Not Stated

### Products/Services

#### 1) **Provided by Group (Worldwide)**

The services provided by the Kotak group include:

- Commercial banking
- Retail banking
- Corporate and Investment banking
- Stock broking
- Asset management
- Life insurance

## 2) Provided in Singapore

Asset management and advisory services to overseas investors through a range of Indian Equity strategies and Indian Debt Strategies.

### **Business Contact/Client Services**

Business contact: 16 Raffles Quay #35-02/03  
Hong Leong Building  
Singapore 048581

Contact person: Ruchit Puri  
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Tel: (65) 6290 5590  
Fax: (65) 6226 2348  
Email: [ruchit.puri@kotak.com](mailto:ruchit.puri@kotak.com)  
Website: [www.investindia.kotak.com](http://www.investindia.kotak.com)



## Legg Mason Asset Management Singapore Pte. Limited

### Company Profile

Legg Mason Asset Management Pte. Limited ("LMAMS") is a wholly-owned subsidiary of Legg Mason, Inc. ("Legg Mason"), one of the world's largest U.S. financial services holding company that provides asset management services through its subsidiaries.

Legg Mason was founded in 1899 and is listed on the New York Stock Exchange, Inc. under the symbol "LM". The most distinctive feature of Legg Mason is its specialised approach to managing money. It houses a selection of prestigious investment management businesses, each operating autonomously and focusing on a distinct segment of the market. These specialised centres of excellence include Batterymarch Financial Management, Brandywine Global, ClearBridge Advisors, Esemplia Emerging Markets, Global Currents Investment Management, Legg Mason Capital Management, Legg Mason Hong Kong, Royce & Associates, The Permal Group and Western Asset Management. With over a hundred years of history, Legg Mason has built up a reputation for excellence in asset management and oversees US\$ 611.8 billion (as of 30 September 2011) on behalf of individual and institutional clients around the world.

**Staff Strength (in Singapore)** 31 (as of November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 611.8 billion (as at 30 September 2011)
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

Legg Mason is a diversified group of best-in-class investment managers who are recognized for their proven investment expertise and long-term performance. The principal investment affiliates of Legg Mason are among the industry leaders in their respective areas of specialization, with unique investment approaches that have been developed over decades. The distinctive Legg Mason "multi-affiliate" business model provides clients with a broad spectrum of Equity, Fixed Income, Liquidity and Alternatives solutions.

#### 2) Provided in Singapore

Legg Mason Global Distribution, a business division of Legg Mason Asset Management Singapore Pte. Limited, is focused on the distribution of Legg Mason's Singapore domiciled unit trusts and Legg Mason's Luxembourg and Ireland domicile mutual fund ranges. It is authorised to market, promote, offer and arrange for sale and redemption of shares/units in these Funds.

**Business Contact/Client Services**

Business contact: One George Street #23-02  
Singapore 049145

Contact person: Pek Siew Hoon  
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Website: www.leggmason.com.sg

**Management**

Managing Director and Regional Head – Asia – Lennie Lim  
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Director, Business Development – Pek Siew Hoon  
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Email: SHPek@leggmason.com





## Lion Global Investors Limited

### Company Profile

Lion Global Investors Limited ("Lion Global Investors"), a member of the Oversea-Chinese Banking Corporation Limited (OCBC) Group, is one of the largest asset management companies in the Asia Pacific region, with total assets under management of S\$28 billion (US\$21.5 billion) as at 30 September 2011. Established as an Asian asset specialist since 1986, Lion Global Investors offers equities and fixed income funds to institutional and retail investors. Its commitment to investment excellence begins with a team-based and research-intensive investment approach, combining in-depth market insights with comprehensive sector knowledge.

Lion Global Investors is 70% owned by Great Eastern Holdings Limited and 30% owned by Orient Holdings Private Limited, a wholly-owned subsidiary of OCBC Bank.

For more information about Lion Global Investors, please visit [www.lionglobalinvestors.com](http://www.lionglobalinvestors.com)

**Staff Strength (in Singapore)** 140

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 21.5 billion (as at 30 September 2011)
- 2) **Managed in Singapore** As Above

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Asian Equities, Asian & Global Fixed Income Securities and Structured Credits, Target Return/Balanced Mandates
- 2) **Provided in Singapore**  
As Above

### Business Contact/Client Services

Business contact: 65 Chulia Street #18-01  
OCBC Centre  
Singapore 049513

Contact persons: Kong Siew Cheong – Business Development  
Patricia Khoo – Client Servicing

Tel: (65) 6417 6800  
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Email: [contactus@lionglobalinvestors.com](mailto:contactus@lionglobalinvestors.com)  
Website: [www.lionglobalinvestors.com](http://www.lionglobalinvestors.com)

### **Management**

Chief Executive Officer – Gerard Lee

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Chief Investment Officer – Simon Flood

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Chief Marketing Officer – Kong Siew Cheong

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Email: [KongSiewCheong@lionglobalinvestors.com](mailto:KongSiewCheong@lionglobalinvestors.com)

Chief Operating Officer – James Tan

DID: (65) 6417 6825

Email: [JamesTan@lionglobalinvestors.com](mailto:JamesTan@lionglobalinvestors.com)

Head, Legal & Regulatory Compliance – James Phoon

DID: (65) 6417 6853

Email: [JamesPhoon@lionglobalinvestors.com](mailto:JamesPhoon@lionglobalinvestors.com)



## Macquarie Infrastructure Management (Asia) Pty Ltd

### Company Profile

Macquarie Infrastructure Management (Asia) Pty Limited (MIMAL) is a subsidiary of Macquarie Group Limited and the manager of Macquarie International Infrastructure Fund Limited (MIIF), an Asia-focused listed infrastructure fund.

MIMAL is part of the Macquarie Group's Infrastructure and Real Assets (MIRA) business, which, through special purpose management companies, has approximately A\$95.7 billion (US\$92.5 billion) of assets under management as of 30 September 2011. On behalf of retail and institutional investors it invests in infrastructure assets and businesses, including toll roads, airports and airport-related infrastructure, communications infrastructure, electricity and gas transmission and distribution networks, water utilities, ports, rail and alternative energy.

Macquarie International Infrastructure Fund Limited (MIIF), a Bermuda-registered mutual fund company, is a leading Asia-listed owner and operator of private infrastructure businesses. MIIF has investments in toll roads, ports, renewable energy and communication infrastructure businesses.

MIIF was the first infrastructure fund to list on the main board of the Singapore Exchange Securities Trading Limited (SGX-ST). MIIF listed on the SGX-ST on 27 May 2005 and has over 7,500 investors, including retail investors and some of the world's foremost institutional investors.

**Staff Strength (in Singapore)** 9

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 92.5 billion (at 30 September 2011)
- 2) **Managed in Singapore** USD 448.4 million (at 30 September 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Management of infrastructure and related funds globally
- 2) **Provided in Singapore**  
Management of a Singapore-listed infrastructure fund

**Business Contact/Client Services**

Business contact: 10 Marina Boulevard #17-01  
Tower 2, Marina Bay Financial Centre  
Singapore 018983

Contact person: Ivy Lim  
Tel: (65) 6601 0777  
Fax: (65) 6601 0653  
Email: [Ivy.lim@macquarie.com](mailto:Ivy.lim@macquarie.com)  
Website: [www.macquarie.com/mgl/miif](http://www.macquarie.com/mgl/miif)

**Management**

CEO – Mr John Stuart  
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CFO – Mr Bodie Ter Kuile  
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Investor Relations – Mr Wei Cheong  
DID: (65) 6601 0777  
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## Manulife Asset Management (Singapore) Pte. Ltd.

### Company Profile

Manulife Asset Management (Singapore) Pte. Ltd. (Registration No. 200709952G.) is part of - Manulife Asset Management - the asset management arm of Manulife Financial, a global leader in wealth management services.

Manulife Asset Management is a leading global institutional asset manager, with expertise across a full range of asset classes - equity, fixed income and alternatives such as real estate, timberland and agriculture. Manulife Asset Management has investment offices in 17 countries around the world, including 10 countries in Asia; China, Japan, Hong Kong, Singapore, Taiwan, Indonesia, Thailand, Vietnam, Malaysia and the Philippines. Our clients benefit from the in-depth local market expertise of empowered, inter-connected investment professionals across Asia, North America and Europe, underpinned by world-class investment and risk management processes and the backing of a global leader in financial services, Manulife Financial Corporation.

**Staff Strength (in Singapore)**                      21 (as of 31 October 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 199 billion (as of 30 September 2011)
- 2) **Managed in Singapore**                      USD 2.46 billion (as at 31 October 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Traditional : Equity, Fixed Income, Balanced, Asset Allocation  
Absolute Return: LDI, Portable Alpha, 130/30  
Alternatives: Agriculture, Timberland, Real Estate, Oil & Gas  
Style: Core, Growth, Active and Passive; Quantitative and Fundamental

#### 2) **Provided in Singapore**

Traditional : Equity, Fixed Income, Balanced, Asset Allocation  
Styles : Core, Growth, Active and Fundamental

### Business Contact/Client Services

Business contact: 1 Kim Seng Promenade #11-07/08  
Great World City West Tower  
Singapore 237994

Contact person: Jillian Avis Kathryn Smith – Senior Managing Director  
Tel: (65) 6501 5405  
Fax: (65) 6235 1138  
Email: Jill\_Smith@manulifeam.com  
Website: www.manulifeam.com

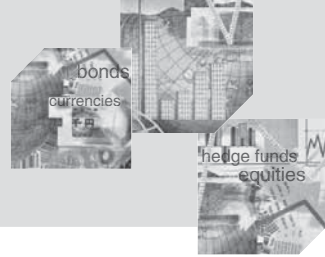
### **Management**

Senior Managing Director – Jillian Avis Kathryn Smith  
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Email: Jill\_Smith@manulifeam.com

Managing Director, Head of Equity – Amy Low  
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Email: Amy\_Low\_GJ@manulifeam.com

Managing Director, Head of Fixed Income – Pang Cheng Duan  
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Email: Cheng\_Duan\_Pang@manulifeam.com

Managing Director, Head of Institutional Sales, South Asia – Lawrence Wee  
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Email: Lawrence\_Wee@manulifeam.com



## Marcuard Asia Pte Ltd

### Company Profile

Marcuard Asia Pte Ltd is an international boutique wealth management firm that specialises in tailoring financial solutions for wealth preservation and risk management to high net worth individuals and families. Marcuard Asia is part of Marcuard Heritage Group which focuses on wealth management, as well as wealth planning. The Group's history traces back to Banque Marcuard, which was once established in Switzerland in 1746. Today, Marcuard has offices in Singapore, Zurich, Lugano, Moscow, Limassol/Cyprus, London and Montevideo/Uruguay.

Our corporate independence enhances our value proposition as an objective and unbiased wealth manager and allows us to be fully transparent. We adopt a flat management fee model, rather than a transaction driven brokerage model.

**Staff Strength (in Singapore)**                      6

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 2.1 billion (as of 31 October 2011)
- 2) **Managed in Singapore**                      USD 329 million (as of 31 October 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Discretionary Portfolio Management via mandate, family office services
- 2) **Provided in Singapore**  
Discretionary Portfolio Management via mandate

### Business Contact/Client Services

Business contact: 41 Duxton Hill  
Level 4  
Singapore 089619

Contact person: Cynthia See  
Tel: (65) 6222 6080  
Fax: (65) 6222 6090  
Email: [cynthia.see@marcuardheritage.com](mailto:cynthia.see@marcuardheritage.com)  
Website: [www.marcuardheritage.com](http://www.marcuardheritage.com)

## **Management**

Chief Executive Officer – Beat Stamm

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Email: [beat.stamm@marcuardheritage.com](mailto:beat.stamm@marcuardheritage.com)

Chief Investment Officer – Sacha Di Maio

DID: (65) 6222 6080

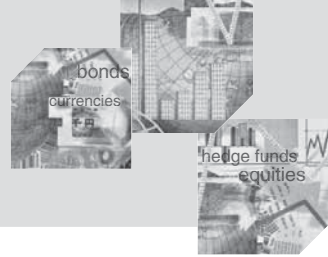
Email: [sacha.dimaio@marcuardheritage.com](mailto:sacha.dimaio@marcuardheritage.com)

Director – Haruhito Imakoji

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## MFS International Limited

### Company Profile

MFS International Ltd. (MIL) is a wholly owned subsidiary of MFS Investment Management®. MIL promotes the sale of investment products to non-U.S. citizens through third-party fund distributors around the world.

The MFS Meridian Funds family, a Luxembourg SICAV with \$12,097Mn in assets as of 30 November 2011, is the primary product group offered by MIL. The MFS Meridian Funds family is designed to serve all of MFS' global fund markets and offers 30 sub-funds providing investors a broad spectrum of asset classes for investment in almost every region of the world's established, developed, and emerging financial markets.

**Staff Strength (in Singapore)**                      12 (as of 30 November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 226,081 million (as at 30 November 2011)
- 2) **Managed in Singapore**                      USD 49,493 million (as at 30 November 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

MFS Investment Management (MFS), a global asset management firm, offers a comprehensive selection of financial products and services. Drawing on an investment heritage which emphasizes integrity and teamwork; MFS' product offerings include more than 70 mutual funds, separately managed accounts, and retirement plans marketed to retail customers and institutional investors.

MFS sells its products primarily through financial intermediaries. Retail products – such as mutual funds and private portfolios – are distributed through financial advisers at major wirehouses, regional brokerage firms and banks, and through certified financial planners and registered investment advisors. The company also offers investment management for both defined benefit and defined contribution retirement plans, and works closely with plan sponsors and independent consultants.

#### 2) **Provided in Singapore**

As part of MFS' client service efforts, we interact with clients as often as needed to ensure that their investment and informational needs are being met. Regular account reviews are scheduled at the client's discretion to discuss performance, market trends, investment outlook and other topics of concern.

**Business Contact/Client Services**

Business contact: 501 Orchard Road #07-02A  
Wheelock Place  
Singapore 238880

Contact persons: Francis Wong/Jonathan Tiu  
Tel: (65) 6578 5550  
Fax: (65) 9235 2233  
Email: fwong@mfs.com/jtiu@mfs.com  
Website: www.mfs.com

**Management**

Chairman – Robert Manning

President – Michael Roberge

Vice Chairman – Martin Beaulieu

Executive Vice President – Maria DiOrioDwyer

Executive Vice President – Robin Stelmach

Vice Chairman – David Antonelli

Executive Vice President – Mark Polebaum

Executive Vice President – Amrit Kanwal

Executive Vice President – Mark Leary



# Morgan Stanley Investment Management Company

## Company Profile

Morgan Stanley Investment Management (MSIM) is a client-centric organization dedicated to providing investment and risk-management solutions to investors worldwide. Our global presence, thought-leadership, and breadth of products and services enable us to partner with clients to design solutions that are both flexible and tailored to meet the ever-evolving challenges of today's financial markets.

With over three decades of asset management experience, our investment strategies span the risk/return spectrum across geographies, investment styles and asset classes, including equity, fixed income, alternatives and private markets. Each of our investment teams have a unique talent pool of experienced investment professionals backed by the broad reach, access and resources of Morgan Stanley.

**Staff Strength (in Singapore)** 47 (as at 31 December 2011)  
(including directors)

## Assets under Management

- 1) **Managed by Group (Worldwide)** Approximately USD 287 billion  
(as at 31 December 2011)
- 2) **Managed in Singapore** SGD 22.0 billion (as at 31 December 2011)  
(December exchange rate: 1.2966)

## Products/Services

### 1) Provided by Group (Worldwide)

#### Global Investment Solutions for Investors Worldwide

The extensive range of MSIM's services and products reflects our continuous effort to provide solutions that meet the needs of investors worldwide. We partner with clients and consultants strategically to tackle a variety of challenges, from portfolio architecture, risk management and alternative investment exposure to funded-status volatility management and increased portfolio efficiency. Through these strategic partnerships, we help clients develop comprehensive investment policies, implement original investment solutions and strive to enhance overall return potential. MSIM also designs structured products that take advantage of a full gamut of instruments available in the financial markets, from long-only strategies to long-short, event driven, global macro, global tactical asset allocation and portable alpha solutions.

## 2) Provided in Singapore

Not Stated

### **Business Contact/Client Services**

Business contact: 23 Church Street #16-01

Capital Square

Singapore 049481

Contact person: Adrian Tan

Tel: (65) 6834 6800

Fax: (65) 6834 8968

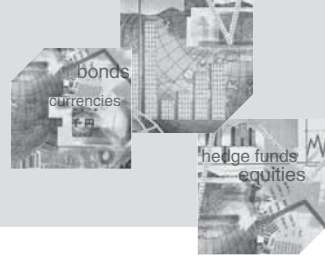
Email: [adrian.tan@morganstanley.com](mailto:adrian.tan@morganstanley.com)

Website: [www.morganstanley.com](http://www.morganstanley.com)

### **Management**

CEO – James Cheng

Tel: (65) 6834 6800



## Morningstar Research Pte Ltd

### Company Profile

Morningstar provides data on approximately 330,000 investment offerings, including stocks, mutual funds, and similar vehicles, along with real-time global market data on more than 5 million equities, indexes, futures, options, commodities, and precious metals, in addition to foreign exchange and Treasury markets. Morningstar also offers investment management services and has more than \$167 billion in assets under advisement and management as of September 30, 2011. We have operations in 26 countries.

We offer investment consulting and retirement planning services through Morningstar Associates, LLC and Ibbotson Associates; registered investment advisors and wholly owned subsidiaries of Morningstar, Inc.

**Global Staff Strength** 3,395 (as of 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 167 billion (as of 30 September 2011) assets under advisement and management
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

##### Investment Research Software:

Morningstar Direct: Global fund performance measurement; database of 330,000 investments; advanced analytics, graphics and customizable reports.

Morningstar Encorr: Integrated capital markets research and asset allocation modeling.

##### Enterprise Solutions:

Morningstar Advisor Workstation: all inclusive advisor practice management software; advisors can research investments, build portfolios, assesses a portfolios risk and present reports.

##### Website Solutions:

Customized on line investment tools/calculators to enhance advisor and banking retail web sites; fund data feeds; real time market data feeds; fact sheets and filings.

**Consulting:**

Model portfolios, Fund select Lists, Risk Tolerance Questionnaires, Retirement Income solutions, Fund of Funds and Manager Search

**Market Data:**

Customizable market data workstations deployed via the web or as desktop software; Real-Time market data covering all major equity exchanges and indexes, as well as FOREX and Treasury markets.

**Equity and Qualitative Fund Research/Ratings****2) Provided in Singapore**

Same products and services as provided by Group (Worldwide).

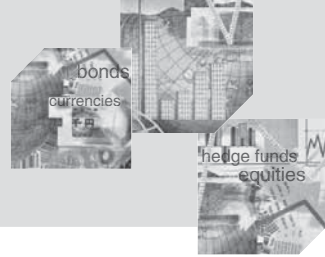
**Business Contact/Client Services**

Business contact: 3 Church Street #29-05  
Samsung Hub  
Singapore 049483

Contact person: Sharon Quek – Institutional Business Manager  
Tel: (65) 6236 1033  
Fax: (65) 6557 2559  
Email: Sharon.quek@morningstar.com  
Website: www.morningstar.com

**Management**

Director – Cynthia Case  
DID: (65) 6236 1034  
Email: Cynthia.case@morningstar.com



## Morse Consulting Asia Pte Limited

### Company Profile

Morse is a regional consulting and implementation organization serving the investment management industry in providing advice, change management, transition and implementation services (inclusive of Project Management, Business Analysis and Testing) to our clients covering business, operations and IT in helping them to execute for maximum benefit. Through our professional services team we bring considerable domain knowledge to our projects which we share with our clients through our collaborative approach.

**Staff Strength (in Singapore)**                      Not Stated

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Consulting and implementation services to asset managers, wealth managers, private banks and life insurance companies.
- 2) **Provided in Singapore**  
Consulting and implementation services to asset managers, wealth managers, private banks and life insurance companies.

### Business Contact/Client Services

Business contact: 9 Raffles Place  
Level 18 Republic Plaza II  
Singapore 048619

Contact person: Toby Grob  
Tel: (65) 6823 6870  
Email: [toby.grob@morseconsulting.asia](mailto:toby.grob@morseconsulting.asia)  
Website: [www.morseconsulting.com.asia](http://www.morseconsulting.com.asia)

### Management

Principal – Toby Grob  
DID: (65) 6823 6870  
Email: [toby.grob@morseconsulting.asia](mailto:toby.grob@morseconsulting.asia)

Managing Director – Jason Morrissey  
DID: +852 938 72610  
Email: [Jason.morrissey@morseconsulting.asia](mailto:Jason.morrissey@morseconsulting.asia)



# Navigator Investment Services Limited

## Company Profile

Navigator is an integrated investment portfolio administration service that functions like a hub to bring together the products and tools for you and your Financial Adviser to efficiently manage your investment portfolio. The Navigator platform is administered by Navigator Investment Services Ltd (the "Company"), which is licensed by the Monetary Authority of Singapore as a Capital Markets Services (CMS) Licensee under the Securities and Futures Act (Cap. 289), to deal in securities and provide custodial services.

The Company is an indirect wholly-owned subsidiary of Aviva Plc, the world's sixth largest\* insurance group, serving over 44.5 million customers across Europe, North America and Asia Pacific.

*\* based on gross worldwide premiums at 31 December 2010.*

## Staff Strength (in Singapore)

In Singapore, the Company shares the Management Team of Aviva Ltd.

## Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 1.46 billion

## Products/Services

- 1) **Provided by Group (Worldwide)**  
Not Applicable

- 2) **Provided in Singapore**

Platform administration - deal in securities and provide custodial services  
Unlisted Collective Investment Scheme (Unit Trusts)

## Business Contact/Client Services

Business contact: 4 Shenton Way #01-00  
SGX Centre 2  
Singapore 068807

Contact person: Peter Gibson  
Tel: (65) 6216 7276  
Fax: (65) 6216 7272  
Email: peter\_gibson@aviva-asia.com  
Website: www.aviva.com.sg



## **Management**

General Manager – Catherine Wong

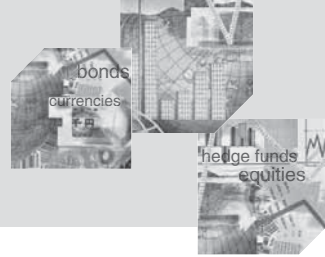
DID: (65) 8138 3000

Email: [catherine\\_wong@aviva-asia.com](mailto:catherine_wong@aviva-asia.com)

Chief Operating Officer – Peter Gibson

DID: (65) 9234 3547

Email: [peter\\_gibson@aviva-asia.com](mailto:peter_gibson@aviva-asia.com)



## Neuberger Berman Singapore Pte. Ltd

### Company Profile

Neuberger Berman Singapore Pte. Ltd (the "Company") is a wholly owned subsidiary of Neuberger Berman Group LLC ("NBG", collectively referred to together with its subsidiaries and affiliates, including the Company, as "Neuberger Berman").

Founded in 1939, Neuberger Berman is a private, employee -controlled asset management firm serving the investment needs of institutional and individual investors, globally. It is headquartered in New York and employs approximately 1,700 employees, more than 400 of which are investment professionals, in 27 offices, 26 cities and 13 countries worldwide (as at 31 December 2011).

**Staff Strength (in Singapore)** 4

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 193 billion (as of 31 December 2011)
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Neuberger Berman provides a broad range of global investment solutions, in equity, fixed income and alternative investment strategies to institutional and individual investors through customized separately managed accounts and mutual funds.

#### 2) **Provided in Singapore**

The Company's primary role in Singapore is to provide administrative and operational support services. There are also a number of Neuberger Berman schemes registered with the MAS and available in Singapore as restricted schemes.

### Business Contact/Client Services

Contact person: Rebecca Schrage  
Tel: +852 3664 8813  
Fax: +852 3006 4361  
Email: [rebecca.schrage@nb.com](mailto:rebecca.schrage@nb.com)  
Website: [www.nb.com](http://www.nb.com)

## **Management**

Head of Asia (ex Japan and Korea) – Nick Hoar

DID: +852 3664 8820

Email: [nick.hoar@nb.com](mailto:nick.hoar@nb.com)

COO, Asia (ex Japan and Korea) – Jason Henschman

DID: +852 3664 8802

Email: [jason.henschman@nb.com](mailto:jason.henschman@nb.com)



## New Silk Road Investment Pte Ltd

### Company Profile

New Silk Road (“NSR”) specializes in Asia ex Japan equities. It currently runs a long only, absolute return fund that seeks to invest in well-run Asian franchises.

NSR adopts a fundamental, long term approach to value investing. With the help of a group of experienced analysts, the portfolio manager runs a concentrated portfolio of stocks based on the results of NSR’s proprietary research.

NSR holds a Capital Markets Services License in Fund Management issued by the Monetary Authority of Singapore.

**Staff Strength (in Singapore)** 10

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 1 billion (as at January 2012)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Asia Landmark Fund – A long absolute return, Asia ex Japan equity fund
- 2) **Provided in Singapore**  
Not Stated

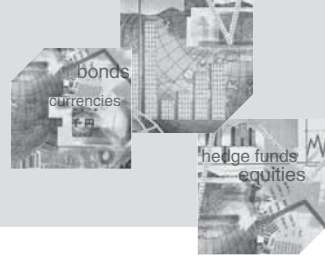
### Business Contact/Client Services

Business contact: 78 Amoy Street #02-02  
Singapore 069897

Contact person: Hoong Yik Luen  
Tel: (65) 6593 6155  
Fax: (65) 6220 0380  
Email: [enquiries@nsr.com.sg](mailto:enquiries@nsr.com.sg)  
Website: [www.nsr.com.sg](http://www.nsr.com.sg)

### Management

CIO – Raymond Goh  
DID: (65) 6593 6138



## Nexus Asia Investment Management Pte Ltd

### Company Profile

Nexus Asia Investment Management Pte Ltd is a Singapore-based long only, exempt fund manager investing thematically into the markets of the Pacific, including Japan. The investment experience within our firm dates back many market cycles to 1980. We work with a small number of client mandates. Our investment horizon is over the longer term, combining a top down approach in the identification of investment themes based on long term secular trends and a bottom up approach for picking the beneficiaries of these themes.

**Staff Strength (in Singapore)** 4

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Stated
- 2) **Managed in Singapore** Not Stated

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: One Raffles Quay  
Level 25, North Tower  
Singapore 048583

Contact person: Mrs Margaret Chin-Wolf  
Tel: (65) 6325 2960  
Fax: (65) 6325 2961  
Email: margaretcw@nexus-asia.com  
nexus@nexus-asia.com  
Website: www.nexus-asia.com

### Management

Managing Director – Mrs Margaret Chin-Wolf  
DID: (65) 6325 2960  
Email: margaretcw@nexus-asia.com

Executive Director – Mr Thomas Wolf

Director – Dr Manfred Adami



## Nikko Asset Management Asia Limited

### Company Profile

Nikko Asset Management Asia Limited (“Nikko AM Asia”) is a leading, Singapore-based asset manager comprising the integrated investment capabilities and enlarged operations of two award-winning Singapore-based asset managers formerly known as DBS Asset Management Ltd and Nikko Asset Management Singapore Limited.

Nikko AM Asia has broad investment experience in Asian equities and Asian fixed income. Its investment teams manage assets on behalf of Asian retail, private and institutional investors, including central banks, insurance companies and government statutory boards.

Nikko AM Asia is a wholly owned subsidiary of Nikko Asset Management Co., Ltd (Nikko AM), the largest regional asset manager headquartered in Asia with over US\$165 billion in assets under management as at 30 September 2011. Nikko AM also has offices in London, New York, Singapore, Hong Kong, Sydney, Melbourne, Brisbane and Auckland. Nikko AM holds a 40% stake in Rongtong Fund Management, a joint venture investment management company in China. In Malaysia, Nikko AM holds a 30% stake in HwangDBS Investment Management (HDBS IM), one of Malaysia’s leading fund managers, and a 51% stake in Asian Islamic Investment Management Sdn Bhd (AIIMAN), a Malaysian Islamic fund management subsidiary in collaboration with HDBS IM.

In recent years, Nikko AM has been recognized by industry peers for its innovative investment products and has won a number of prestigious awards, including the Lipper Best Fund Award in 2010 in the Equity Asia Pacific Ex-Japan Category over 3 years, and the Global Investor Award for Investment Excellence in Japanese Equities. For more information about Nikko AM Asia, please visit [www.nikkoam.com.sg](http://www.nikkoam.com.sg)

**Staff Strength (in Singapore)**                      Over 100 (as of 30 September 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

Over USD 165 billion (as at 30 September 2011)

#### 2) Managed in Singapore

Over USD 6 billion (as at 30 September 2011)

## **Products/Services**

### **1) Provided by Group (Worldwide)**

Nikko AM seeks to offer best-in-class investment solutions to Asia's investors and to Asia-focused international investors. Our investment strategies range from traditional equities and fixed income solutions to alternative asset classes, in both developed and emerging markets. Visit Nikko AM's global website to find out more about our products and capabilities:

<http://en.nikkoam.com/>

### **2) Provided in Singapore**

Nikko AM Asia's Singapore-based teams provide a range of Asia-focused investment solutions to achieve diversification and to optimise returns by exploiting market inefficiencies. Some of our solutions are tailor-made to specific client requirements and risk-return characteristics.

**Asian Equities:** Nikko AM Asia specialises in managing a wide range of active and passive Asia Pacific ex-Japan equities mandates, including Asian regional and single country portfolios. Our Singapore-based team covers the Asia Pacific ex-Japan region, which includes Australia, China, Hong Kong, India, Indonesia, Malaysia, New Zealand, Philippines, Singapore, South Korea, Taiwan and Thailand. Japanese equities coverage is provided by Nikko AM's Tokyo-based team.

**Asian Fixed Income, Currencies and Balanced:** Our fixed income team has been managing a full range of active and passive Asian fixed income and currency solutions since 1982. We specialize in Asian bond and currency portfolios, which include Asian Short Duration Bonds, Absolute Return Bonds, Local Currency Bonds, Asian Credit Funds and a Bond ETF.

## **Business Contact/Client Services**

Business contact: 8 Cross Street #08-01  
PWC Building  
Singapore 048424

Contact person: Sue-Lyn Yeoh – Head of Communications  
Tel: (65) 6500 5792  
Fax: (65) 6534 5183  
Email: [suelyn.yeoh@nikkoam.com](mailto:suelyn.yeoh@nikkoam.com)  
Website: [www.nikkoam.com.sg](http://www.nikkoam.com.sg)

## **Management**

President – Eleanor Seet

DID: (65) 6500 5777 / 5707

Email: eleanor.seet@nikkoam.com

Chief Investment Officer & Head of Equity – Soo Nam Ng

DID: (65) 6500 5720

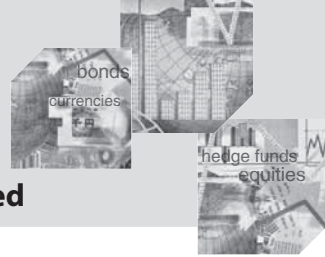
Email: soonam.ng@nikkoam.com

Head of Middle and Back Office – Teck Keng Neo

DID: (65) 6500 5833

Email: teck.keng.neo@nikkoam.com





## Nomura Asset Management Singapore Limited

### Company Profile

Nomura Asset Management Co., Ltd. ("NAM") is a leading investment management firm and a key subsidiary of Nomura Holdings Inc. With over USD 300 billion in assets under management, our clients include leading sovereigns, public and private pension funds, government bodies, central banks, corporations, mutual funds, and individual investors. Our offices are located in major world financial centres including Japan, US, UK, Singapore, Hong Kong, Malaysia, Korea, India and Shanghai.

Nomura Asset Management Singapore Limited (NAM Singapore) is a wholly owned subsidiary of NAM and is NAM Group's regional hub for Asia Pacific ex-Japan investment. Apart from the Asia Pacific ex-Japan equity and fixed income specialist expertise, institutional clients in the region can also gain direct access to the Group's global investment capabilities in Japan and global & emerging equities, fixed income, quantitative and alternative products through NAM Singapore.

Our goal is to provide clients with the highest quality of asset management services through in-depth research, new product developments and innovative risk management techniques. NAM has won numerous awards, endorsed by both clients and global asset consultants, for its investment capabilities.

**Staff Strength (in Singapore)**                      63 (as at 30 September 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

Over USD 300 billion (as at 30 September 2011)

#### 2) Managed in Singapore

USD13.02 billion (as at 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

Equities, Fixed Income, Alternative Investments

#### 2) Provided in Singapore

Equities, Fixed Income, Alternative Investments

**Business Contact/Client Services**

Business contact: 10 Marina Boulevard #33-03  
Marina Bay Financial Centre Tower 2  
Singapore 018983

Contact person: Nicholas Yap  
Tel: (65) 6433 9088  
Fax: (65) 6433 9099  
Email: [nicholas@namsg.com.sg](mailto:nicholas@namsg.com.sg)  
Website: [www.nomura.com](http://www.nomura.com)

**Management**

Managing Director – Mr Masashi Terachi  
DID: (65) 6433 9088  
Email: [Terachi@namsg.com.sg](mailto:Terachi@namsg.com.sg)

Head of Corporate Administration – Mr Yoichi Hyodo  
DID: (65) 6433 9088  
Email: [Hyodo@namsg.com.sg](mailto:Hyodo@namsg.com.sg)

Head of Marketing & Client Services – Mr Nicholas Yap  
DID: (65) 6433 9088  
Email: [Nicholas@namsg.com.sg](mailto:Nicholas@namsg.com.sg)



## The Northern Trust Company Singapore

### Company Profile

Northern Trust is a leading provider of investment management, asset and fund administration, banking solutions and fiduciary services for corporations, institutions and affluent individuals worldwide. Headquartered in Chicago, Northern Trust has over 14,000 employees, offices in 18 U.S. states and 18 international locations across North America, Europe, Middle East and the Asia Pacific region. For over 120 years, Northern Trust has earned distinctions as an industry leader in combining exceptional service and expertise with innovative products and technology.

Specific to Asia Pacific, Northern Trust has offices in 6 locations – Bangalore, Beijing, Hong Kong, Melbourne, Singapore and Tokyo. We have over 25 years of experience in the region servicing clients ranging from government entities, sovereign wealth funds, insurance companies, asset managers, foundations, universities and pension funds. The Singapore branch is the designated asset servicing hub for the region and a platform to a range of asset management services from index management, fixed income, manager of managers and active equity.

Our service expertise in the region has been recognised by our clients and the industry as follows:

#### Leadership in Equity Indexing

*Institutional Investor Magazine (April 2010)*

#### 13<sup>th</sup> Largest Manager of Worldwide Institutional Assets

*Pensions and Investments (2010)*

#### 2<sup>nd</sup> Largest Passive International Indexed Securities Manager

*Pensions and Investments (2010)*

#### 14<sup>th</sup> Largest Manager By Total Worldwide Assets Under Management\*

*Pensions and Investments (2010)*

#### Best Client Servicing in Asia Pacific

*Asia Asset Management – Best of the Best Awards ((2009, 2010, 2012)*

#### Best Specialist Global Custodian in Asia Pacific

*Asia Asset Management – Best of the Best Awards (2011)*

#### Best Custody Specialist – Asia

*The Asset Securities and Fund Services Awards (2009-2010)*

#### Best Global Custodian

*Asian Investor Service Provider Awards (2010)*

#### No. 1 FX Provider in Asia

*Global Investor FX survey (2005-2011)*

\* estimate based on company reported assets; Pension & Investments ranking to be released October 2010

**Staff Strength (in Singapore)** 153

**Assets under Management**

**1) Managed by Group (Worldwide)**

USD 4.26 trillion (assets under custody, as of 31 December 2011)

USD 663 billion (assets under management, as of 31 December 2011)

**2) Managed in Singapore** Not Applicable

**Products/Services**

**1) Provided by Group (Worldwide)**

Custody, valuation reporting, foreign exchange, investment risk & analytical services, cash management, securities lending, commission recapture, transition management, benefit payments, fund administration, pooling solutions, investment operations outsourcing, trade execution analysis, investment management (spanning passive management, active equities, active fixed income, money markets, multimanager, alternatives) and wealth management.

**2) Provided in Singapore**

Custody, valuation reporting, foreign exchange, investment risk & analytical services, asset management and cash management.

**Business Contact/Client Services**

Asset Servicing: Alex Tobing – Sales Director, Asia Pacific  
Tel: (65) 6437 6610  
Email: at51@ntrs.com

Asset Management: Chen Ee Fang – Sales Director, Asia Pacific  
Tel: (65) 6437 6701  
Email: ec98@ntrs.com

Website: [www.northerntrust.com](http://www.northerntrust.com)

**Management**

Senior Vice-President, Country Manager Singapore Branch – William Mak

DID: (65) 6437 6606

Email: [wm27@ntrs.com](mailto:wm27@ntrs.com)



## NTUC Income Insurance Co-Operative Limited

### Company Profile

NTUC Income is an organization that was made different from the start with an initial capital of S\$1.2 million in 1970, in response to a growing need for affordable insurance.

Today, we have matured into a leading insurer and progressive organization with more than 2 million policyholders placing their trust in us and assets of S\$26.4 billion (as at 31 December 2010). Our insurance plans benefit large numbers of Singaporeans from all strata of society, and the company supports the community through financial contributions to the labour movement, charities and other worthy causes.

We have 1,350 insurance advisers, 1,750 office staff, and a network of 5 branches located island-wide.

NTUC Income is rated "AA-" by independent credit ratings agency, Standard & Poor's, making us one of the strongest financial institutions in Singapore and the region.

**Staff Strength (in Singapore)** 3,146 (as at 30 November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 21.286 billion (as at 30 November 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Not Applicable
- 2) **Provided in Singapore**  
Equities, Fixed Income, Cash, Private Equities, Real Estate

### Business Contact/Client Services

Business contact: 75 Bras Basah Road  
NTUC Income Centre  
Singapore 189557

Contact person: Bun Cing Mustafa – Head, Corporate Affairs  
Tel: (65) 6210 5875  
Fax: (65) 6210 5875  
Email: [buncing@income.com.sg](mailto:buncing@income.com.sg)  
Website: [www.income.com.sg](http://www.income.com.sg)

### **Management**

Chief Executive – Tan Suee Chieh

DID: (65) 6877 3101

(65) 6877 3102

Email: [sueechieh@income.com.sg](mailto:sueechieh@income.com.sg)

Chief Investment Officer – Peter Michael Heng

DID: (65) 6593 8203

Email: [peter.heng@income.com.sg](mailto:peter.heng@income.com.sg)

Chief Actuary – Ken Ng

DID: (65) 6877 3109

Email: [ken.ng@income.com.sg](mailto:ken.ng@income.com.sg)

Head, Finance Division – Jonathan Chai

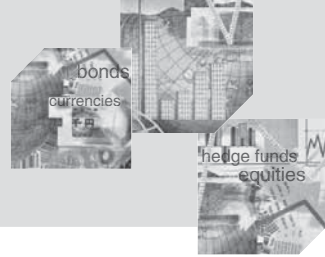
DID: (65) 6877 3114

Email: [jonathan.chai@income.com.sg](mailto:jonathan.chai@income.com.sg)

Chief Risk Officer – Kate Chiew

DID: (65) 6877 3480

Email: [kate.chiew@income.com.sg](mailto:kate.chiew@income.com.sg)



## OCBC Securities Private Limited

### Company Profile

OCBC Securities Private Limited ("OCBC SECURITIES") is a wholly-owned subsidiary of OCBC Bank and member of the Singapore Exchange Securities Trading Limited (SGX-ST) and the Singapore Exchange Derivatives Trading Limited (SGX-DT).

We are one of the leading stock and futures broking firms in Singapore providing full brokerage services for equities and derivatives trading. State-of-the-art technology is employed to deliver speedy multi-market electronic execution of trades for our customers.

OCBC Securities offers a wide array of superior products and services to our customers; we are constantly looking at expanding our product-offerings which range from broking to wealth management products.

Our customer base consists of both individuals and institutional investors. They include foreign banks, financial institutions, public-listed corporations, professional traders, as well as retail and high net-worth individuals.

**Staff Strength (in Singapore)** 500

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Stated
- 2) **Provided in Singapore** Not Stated

### Business Contact/Client Services

Business contact: 18 Church Street #01-00  
OCBC Centre South  
Singapore 049479

Contact person: Tan Shen-Lin  
Tel: (65) 6531 4386  
Fax: (65) 6534 0025  
Email: shen-lintan@ocbcsec.com  
Website: www.iocbc.com

**Management**

Managing Director – Hui Yew Ping

DID: (65) 6531 9898

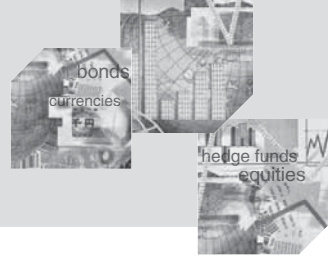
Email: [yphui@ocbcsec.com](mailto:yphui@ocbcsec.com)

General Manager/ Executive Director – Yeow Chin Wee

DID: (65) 6531 9468

Email: [chinweeyeow@ocbcsec.com](mailto:chinweeyeow@ocbcsec.com)





## Oclaner Asset Management Pte Ltd

### Company Profile

Oclaner Asset Management is a leading asset management company offering comprehensive financial services to Ultra High Net Worth (UHNW) families and Institutions.

With our industry experienced team of international partners and board of directors, we possess a wealth of global experience, spanning over 30 years. Acting as a bridge between Asia and Europe, we seek to use our global network of relationships to uncover quality long term investments that help our clients make the most of their capital.

Drawing from this network and experience, we offer 3 comprehensive services; family office advisory, bespoke investment portfolio construction and exclusive private equity deal management.

**Staff Strength (in Singapore)** 20

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Below USD 2 billion

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable

#### 2) **Provided in Singapore**

1. **Family Office Advisory:** We have dedicated family office structures covering the specific needs of entrepreneurs and UHNW families. Our understanding of the unique requirements associated with such individuals/ families, allows us to better create exclusive solutions that will secure finances for one's generations. Our distinctive family office model revolves around empowering our clients with control over the management and objectives of the family office. Our solution focuses on wealth preservation and trans-generational planning.
2. **Asset Management:** In-house investment solutions and bespoke portfolio construction for both institutional and individual clients. Our multi custodian approach across all major banks, provides our clients with transparency and a truly independent view towards wealth management.

3. **Private Equity:** Through our strong network of global relationships, we are able to develop access to exclusive alternative strategies and business opportunities. We offer the sourcing, facilitation and management of Private Equity projects.

**Business Contact/Client Services**

Business contact: 1 Temasek Avenue #37-04/05  
Millenia Tower  
Singapore 039192

Contact person: Dharuma Tharu  
Tel: (65) 6643 5110  
Fax: (65) 6336 2805  
Email: [Investments@oclaner.com](mailto:Investments@oclaner.com)

**Management**

CEO – Ivo Bartoletti  
DID: (65) 6643 5110  
Email: [ivo.bartoletti@oclaner.com](mailto:ivo.bartoletti@oclaner.com)

CFO – Sylvain Baude  
DID: (65) 6643 5110  
Email: [sylvain.baude@oclaner.com](mailto:sylvain.baude@oclaner.com)

COO – Sundeep Vira  
DID: (65) 6643 5110  
Email: [sundeep.vira@oclaner.com](mailto:sundeep.vira@oclaner.com)

Head of Legal & Compliance – Patrick Ferrari  
DID: (65) 6643 5110  
Email: [patrick.ferrari@oclaner.com](mailto:patrick.ferrari@oclaner.com)



## Pacific Asset Management (S) Pte Ltd

### Company Profile

Pacific Asset Management (S) Pte Ltd is an investment manager in fixed income and currencies, with an Asian focus. Its investment strategy is offered through its hedge fund vehicle. The Company was incorporated in 1995 and is an Exempt Fund Manager.

**Staff Strength (in Singapore)** 5

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 56 million

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Not Applicable

- 2) **Provided in Singapore**

Asian Fixed Income & Currencies, with added G3 for diversification and carry trades.

### Business Contact/Client Services

Business contact: 1 Kim Seng Promenade #09-08  
Great World City West Tower  
Singapore 237994

Contact person: Ho Tian Yee – Managing Director  
Tel: (65) 6732 7787  
Fax: (65) 6732 7781  
Email: enquiry@pacificasset.com.sg  
Website: www.pacificasset.com.sg

### Management

Managing Director – Ho Tian Yee  
Email: enquiry@pacificasset.com.sg

Investment Manager – Chong Chee Loong  
Email: cheeloong@pacificasset.com.sg

Office Manager – Margarita Hale  
Email: rita@pacificasset.com.sg



## **Pheim Asset Management (Asia) Pte Ltd**

### **Company Profile**

Pheim Asset Management (Asia) Pte Ltd started in 1995 covering mainly ASEAN and Asia-Ex Japan countries. Pheim also has the expertise managing funds in accordance with Syariah principles. Based on its original and proven investment philosophy, Pheim has established long years of track record managing funds through volatile periods. Pheim's client base includes many credible international institutions.

**Staff Strength (in Singapore)** 10

### **Assets under Management**

- 1) **Managed by related Company (Pheim Malaysia)** USD 505 million  
(as at 30 November 2011)
- 2) **Managed in Singapore** USD 147 million  
(as at 30 November 2011)

### **Products/Services**

- 1) **Provided by Group (Worldwide)**
  - 1) Unit Trust/Mutual Funds
  - 2) Managed Accounts
  - 3) Country Funds
  - 4) Syariah compliant accounts/Funds
- 2) **Provided in Singapore**  
As Above

### **Business Contact/Client Services**

Business contact: 43 Duxton Road  
Singapore 089507

Contact person: Christina Yap  
Tel: (65) 6227 9928  
Fax: (65) 6225 9912  
Email: [chrisyap@pheim.com.sg](mailto:chrisyap@pheim.com.sg)  
Website: [www.pheim.com.sg](http://www.pheim.com.sg)

## **Management**

Founder/Chief, Corporate Development & Strategy – Dr Tan Chong Koay

DID: (65) 6227 9928

Email: [pheim@pheim.com.sg](mailto:pheim@pheim.com.sg)

Compliance Officer – Rajiv Premkumar

DID: (65) 6227 8461

Email: [rajiv@pheim.com.sg](mailto:rajiv@pheim.com.sg)

Operations Manager – Christina Yap

DID: (65) 6227 9928

Email: [chrisyap@pheim.com.sg](mailto:chrisyap@pheim.com.sg)



## Phillip Capital Management (S) Ltd

### Company Profile

Phillip Capital Management is the Singapore-based dedicated investment management business of the PhillipCapital Group. The firm was founded originally as a JV with Allied Irish Bank in 1994. Following the divestment of Phillip Capital's interest in AIB Govett, the Company was established in 2000 with Jeffrey Lee serving as Managing Director and CIO.

Phillip Capital Management specialises in investments across asset classes managing public funds and segregated accounts for retail and institutional investors. The company manages the largest authorised Singapore dollar money market fund sold in Singapore and is a major participant in the short-term SGD credit market. The firm has investment offices across the region in Kuala Lumpur, Bangkok, Jakarta and Hong Kong.

**Staff Strength (in Singapore)** 19

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 3.1 billion (as of November 2011)
- 2) **Managed in Singapore** USD 1 billion (as of November 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Provided
- 2) **Provided in Singapore**
  - Phillip Growth Fund
  - Phillip Income Fund
  - Phillip Money Market Fund
  - Phillip Asia Pacific Growth Fund
  - Phillip Global Opportunities Fund
  - Phillip Savings Fund
  - Phillip USD Money Market Fund
  - Pi7 and Pi5 Global Portfolios
  - Phillip Singapore Real Estate Income Fund

### Business Contact/Client Services

Business contact: 250 North Bridge Road #06-00  
Raffles City Tower  
Singapore 179101

Contact person: James Chua  
Tel: (65) 6671 7241  
Fax: (65) 6538 3066  
Email: jameschuayd@phillip.com.sg  
Website: www.phillipfunds.com

### **Management**

MD and Chief Investment Officer – Jeffrey Lee

DID: (65) 6671 7238

Email: jlck@phillip.com.sg

Director of Investment – Linus Lim

DID: (65) 6671 7239

Email: linuslim@phillip.com.sg

Chief Operating Officer – Lee Ken Hoon

DID: (65) 6671 7235

Email: leekenhoon@phillip.com.sg

Associate Director – Sabrina Loh

DID: (65) 6671 7233

Email: sabrinaloh@phillip.com.sg



## PIMCO Asia Pte Ltd

### Company Profile

The principal activities of the firm are those relating to investment management and investment advisory. The firm holds a Capital Markets Services License under the Securities and Futures Act to provide fund management services. The immediate holding corporation is PIMCO Global Advisors LLC, incorporated in the United States of America and the ultimate holding corporation is Allianz SE, incorporated in the Federal Republic of Germany.

**Staff Strength (in Singapore)** 31 (as of 31 December 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 1.350 trillion (as of 30 September 2011)
- 2) **Managed in Singapore** USD 8.5 billion (as of 31 December 2010)

### Products/Services

#### 1) Provided by Group (Worldwide)

- Fixed Income: Total Return, Long Duration, Moderate Duration, Credit Mortgages, Global, Emerging Markets, Municipals.
- Cash: Low Duration, Enhanced Cash, Money Market.
- Equities: StocksPLUS (derivatives-based equity exposure with active bond management)
- Alternatives: Absolute Return Strategies, Commodities, Real Estate, Real Return, Tactical Allocation, Diversified Income, Multi Asset.

#### 2) Provided in Singapore

- Fixed Income: Asian Fixed Income
- Equities: Asia trading

### Business Contact/Client Services

Business contact: 501 Orchard Road #08-03  
Wheelock Place  
Singapore 238880

Tel: (65) 6491 8000  
Fax: (65) 6538 2700  
Email: [sginfo@pimco.com](mailto:sginfo@pimco.com)  
Website: [www.pimco.com](http://www.pimco.com)



## **Management**

Executive Vice President – Ana Dhoraisingam

DID: (65) 6491 8000

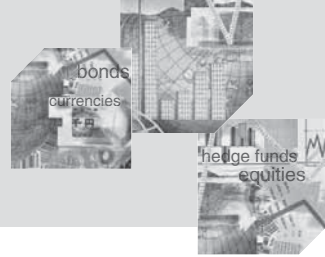
Executive Vice President, Head of Emerging Asia, Portfolio Management

– Ramin Toloui

DID: (65) 6491 8000

Vice President, Compliance Manager – Elaine Cheung

DID: (65) 6491 8000



## PineBridge Investments Singapore Limited

### Company Profile

PineBridge Investments is an independent global asset manager, with US \$69.5 billion in assets under management as of 30 September 2011. With investment experience dating back to the 1960s, PineBridge manages assets on behalf of both individual and institutional clients. We offer broad capabilities and established track records across all asset classes, an extensive global network capturing local knowledge from around the world, and a culture of accountability and collaboration across asset classes and geographies.

**Staff Strength (in Singapore)** 13 (as at 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 69.5 billion (as of 30 September 2011)
- 2) **Managed in Singapore** USD 6.8 billion (as of 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

- Listed Equities
- Fixed Income
- Private Equity
- Hedge Fund of Fund Solutions

#### 2) Provided in Singapore

2 locally domiciled unit trusts under authorized scheme and 14 Dublin UCITS recognized unit trusts under recognized scheme

### Business Contact/Client Services

Business contact: 1 Robinson Road #21-01  
AIA Tower  
Singapore 048542

Contact person: Ivy Liu

Tel: (65) 6571 9399  
Fax: (65) 6538 5602  
Email: [Ivy-OF.Liu@PineBridge.com](mailto:Ivy-OF.Liu@PineBridge.com)  
Website: [www.pinebridge.com](http://www.pinebridge.com)

## **Management**

CEO – Victor Koh

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Email: Victor-EK.Koh@PineBridge.com

Managing Director – Kheng Lai Tan

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Vice President – Ivy Liu

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Email: Ivy-OF.Liu@PineBridge.com

Vice President – Edward Fang

DID: (65) 6571 9370

Email: Edward-CP.Fang@PineBridge.com



## Pioneer Investment Management Ltd (Singapore Branch)

### Company Profile

Pioneer Investments provides a wide range of investment solutions including mutual funds, alternative investments and structured products to clients that include institutions, corporations, intermediaries and private investors around the world. We have investment centres in Dublin, Munich, London and Boston, supported by local centres worldwide. Headquartered in Milan, Pioneer Investments has a presence in more than 30 countries around the world.

The Singapore office is a branch office of Pioneer Investment Management Limited (Dublin) and is responsible for marketing and trade execution incidental to the management of funds.

Pioneer Investments is the asset management arm of UniCredit Group, one of Europe's leading banks.

**Staff Strength (in Singapore)** 15

### Assets under Management

- 1) **Managed by Group (Worldwide)** EUR 160 billion (as at 30 November 2011)
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Mutual Funds, Hedge Funds
- 2) **Provided in Singapore** Mutual Funds

### Business Contact/Client Services

Business contact: 80 Raffles Place #51-01  
UOB Plaza 1  
Singapore 048624

Contact person: Clients Services Team  
Tel: (65) 6415 7100  
Fax: (65) 6415 0222  
Email: [clientservicessg@pioneerinvestments.com](mailto:clientservicessg@pioneerinvestments.com)  
Website: [www.pioneerinvestments.com](http://www.pioneerinvestments.com)

## **Management**

Head of Asia & Emerging Markets – Angus Stening

DID: (65) 6415 0204

Email: [angus.stening@pioneerinvestments.com](mailto:angus.stening@pioneerinvestments.com)

Senior Vice President, Chief Administrative Officer, Asia & Australia  
– Michelle Ang

DID: (65) 6415 0209

Email: [michelle.ang@pioneerinvestments.com](mailto:michelle.ang@pioneerinvestments.com)



## Pramerica Fixed Income (Asia) Limited

### Company Profile

Pramerica Fixed Income (Asia) Limited ("PFIA") was established on June 6, 2000. PFIA is a wholly-owned subsidiary of Pramerica Investment Management and operates as the Singapore-based office of Pramerica Fixed Income. PFIA is focused on managing Asian fixed income mandates and has a strong core competency in Asian credit analysis and risk management.

Pramerica Fixed Income is the primary public fixed income asset management unit of Pramerica Investment Management. Pramerica Fixed Income offers both traditional and alternative fixed income strategies to institutional and retail investors worldwide. Pramerica Fixed Income believes that research-driven security selection is the most consistent strategy for adding value to client portfolios. The firm complements that base strategy with active sector rotation, duration management, and superior trading execution. Risk budgeting is central to this approach. Pramerica Fixed Income and its predecessors have managed fixed income accounts for institutional clients since 1928. Pramerica Fixed Income is headquartered in the USA (Newark, NJ), with affiliated investment offices in London and Singapore.

Pramerica Fixed Income and Pramerica Investment Management are trading names of Prudential Investment Management, Inc., the principal asset management business of Prudential Financial, Inc. ("Pramerica Financial"). Pramerica Investment Management is an indirect subsidiary of Pramerica Financial, a company incorporated and with its principal business in the United States. Pramerica Financial became a publicly held company (PRU on the New York Stock Exchange) on December 18, 2001 and is one of the largest institutional asset managers in the United States and worldwide\* Prudential Financial, Inc. is not affiliated in any manner with Prudential, plc, a company incorporated in the United Kingdom.

\* Source: *Institutional Investor*, July 2011, based on domestic fixed income securities held as of 31 December 2010.

**Staff Strength (in Singapore)** 8

### Assets under Management

#### 1) Managed by Group (Worldwide)

USD 327 billion

(Fixed income managers in the United States\* as of 30 September 2011)

\* Source: *Institutional Investor*, July 2011, based on domestic fixed income securities held as of 31 December 2010.

## 2) Managed in Singapore

USD 824 million (Asian fixed income assets as of 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

Pramerica Fixed Income's products include traditional broad market and single sector strategies, hedge strategies, as well as Collateralized Loan Obligations. Pramerica Fixed Income's 177 investment professionals have extensive experience in analyzing and managing fixed income portfolios. Pramerica Fixed Income specializes in credit-related strategies: \$133 billion in investment grade corporate bonds, \$22 billion in high yield bonds and bank loans, \$38 billion in structured product, and \$14 billion in emerging markets debt. Our affiliated London office specializes in European and global credit-related strategies.

*(All data as of 30 September 2011)*

#### 2) Provided in Singapore

PFIA manages Long-Term Asian Fixed Income and Short-Term Asian Fixed Income mandates and specializes in credit research and risk analysis of Asian corporate bonds.

### Business Contact/Client Services

Business contact: 50 Raffles Place #27-04  
Singapore Land Tower  
Singapore 048623

Contact person: Philip Hsin – Managing Director  
Tel: (65) 6622 9295  
Fax: (65) 6535 6126  
Email: [Philip.hsin@pramericafinancial.com](mailto:Philip.hsin@pramericafinancial.com)  
Website: [www.pramerica.com.sg](http://www.pramerica.com.sg)

### Management

Director & Head – Clifford Chi-wai Lau  
DID: (65) 6622 9282  
Email: [Clifford.lau@pramericafinancial.com](mailto:Clifford.lau@pramericafinancial.com)



## PricewaterhouseCoopers LLP

### Company Profile

PwC firms help organisations and individuals create the value they're looking for. We're a network of firms in 158 countries with close to 169,000 people who are committed to delivering quality in assurance, tax and advisory services. PwC has won the "Best auditing services provider" and "Best tax services provider" at the AsianInvestor 2011 Service Provider Awards.

PwC China, Hong Kong, Singapore and Taiwan work together on a collaborative basis, subject to local applicable laws. Collectively, we have around 620 partners and strength of 14,000 people.

Providing organisations with the advice they need, wherever they may be located, our highly qualified, experienced professionals listen to different points of view to help organisations solve their business issues and identify and maximise the opportunities they seek. Our industry specialisation allows us to help co-create solutions with our clients for their sector of interest. We are located in these cities: Beijing, Hong Kong, Shanghai, Singapore, Taipei, Chongqing, Chungli, Dalian, Guangzhou, Hsinchu, Kaohsiung, Macau, Nanjing, Ningbo, Qingdao, Shenzhen, Suzhou, Taichung, Tainan, Tianjin, Xiamen and Xi'an.

"PwC" refers to the network of member firms of PricewaterhouseCoopers International Limited, each of which is a separate and independent legal entity.

**Staff Strength (in Singapore)** 1800

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Assurance, Advisory and Tax

#### 2) **Provided in Singapore**

The firm provides a wide range of services to help asset management organisations solve business issues, identify and maximise opportunities. We serve as business advisors to a wide spectrum of clients such as traditional and alternative asset managers, distributors, investment partnerships, offshore funds and structured vehicles covering a vast array of investment products.



Our industry specialisation enables us to identify trends and understand your challenges, thereby customising solutions for you. We also regularly conduct seminars and speak at external conferences as part of our commitment to be the leaders in the professional services arena of the asset management industry. Each line of service is staffed with highly qualified, experienced professionals and leaders in our profession.

### **Business Contact/Client Services**

Business contact: 8 Cross Street #17-00  
PwC Building  
Singapore 048424

Contact person: Yeow Chee Keong  
Tel: (65) 6236 7298  
Fax: (65) 6236 3065  
Email: chee.keong.yeow@sg.pwc.com  
Website: www.pwc.com/sg

### **Management**

Partner – Yeow Chee Keong  
DID: (65) 6236 7298  
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Partner – Robert Grome  
DID: (65) 6236 7448  
Email: robert.grome@sg.pwc.com

Partner – Darren Lim  
DID: (65) 6236 3828  
Email: darren.kw.lim@sg.pwc.com

Partner – Paul Pak  
DID: (65) 6236 3288  
Email: paul.s.pak@sg.pwc.com



## PrimePartners Asset Management Pte Ltd

### Company Profile

PrimePartners Asset Management (PPAM) established its first closed-end private equity fund in 1995. PPAM was the first of two fund managers to be awarded a boutique fund manager license in Singapore. To-date, the group has raised total pools of funds aggregating in excess of USD300M, the largest being the Prime Enterprises II, L.P. with a fund size of USD180M, of which USD22M remains under management.

In 2005 PPAM launched PrimePartners Merchant Capital Ltd. to focus on corporate finance event driven transactions with clear exit strategies. This was followed by the launch of PrimePartners Asia Merchant Capital Holdings Ltd in 2007 and Asia Merchant Capital II in 2010. In 2011 PPAM started another fund, Delta Asia Capital, to focus on SMEs seeking value investment opportunities among small and mid sized growth companies in South East Asia.

**Staff Strength (in Singapore)** 13

### Assets under Management

- 1) **Managed by Group (Worldwide)** Undisclosed
- 2) **Managed in Singapore** Undisclosed

### Products/Services

- 1) **Provided by Group (Worldwide)** Venture Capital; Private Equity
- 2) **Provided in Singapore** Venture Capital; Private Equity

### Business Contact/Client Services

Business contact: 20 Cecil Street #21-02  
Equity Plaza  
Singapore 049705

Contact persons: Teo Ek Tor – Managing Partner & CIO  
Paul Chacko – Managing Director, Delta Asia Capital  
Ler Kon Wen – Director, Investment

Tel: (65) 6229 8066  
Fax: (65) 6229 8080  
Email: [enquiry@prime.com.sg](mailto:enquiry@prime.com.sg)  
Website: [www.primepartners.com.sg](http://www.primepartners.com.sg)

## **Management**

Managing Partner & CIO – Teo Ek Tor

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Managing Director, Delta Asia Capital – Paul Chacko

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Director, Investment – Ler Kon Wen

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Email: kwler@prime.com.sg



# Principal Global Investors

## Company Profile

Principal Global Investors is a diversified global asset management organization. Our investment capabilities encompass a range of equity, fixed income and real estate investments, as well as specialized expertise in currency management, asset allocation, stable value management and other structured investment strategies.

- USD231.4 billion in assets under management\*
- More than 1,100 employees including over 450 investment professionals
- Manages assets for 13 of the 25 largest U.S. pension plans and 10 of the top 25 largest pension funds in the world
- Institutional clients in over 56 countries, including several major central banks and sovereign wealth funds
- Signatory to the United Nations-backed Principles for Responsible Investment (PRI)

Multi-Boutique Strategy-Through a network of specialized investment groups and affiliates, Principal Global Investors has expanded capabilities in both equities and fixed income. Our multi-boutique strategy enables us to provide an expanded range of diverse investment capabilities. These boutiques have expertise in core styles as well as niche offerings.

*\* As of 30 September 2011. Principal Global Investors (The "Firm") is the asset management arm of the Principal Financial Group ® (The Principal ®)<sup>1</sup> and includes the asset management operations of the following subsidiaries of The Principal: Principal Global Investors, LLC; Principal Real Estate Investors, LLC; Spectrum Asset Management, Inc.; Post Advisory Group, LLC; Columbus Circle Investors; Edge Asset Management, Inc.; Morley Financial Services Inc.; Principal Global Investors (Europe) Limited; Principal Global Investors (Singapore) Ltd.; Principal Global Investors (Australia) Ltd.; Principal Global Investors (Japan) Ltd.; Principal Global Investors (Hong Kong) Ltd.; CIMB-Principal Islamic Asset Management Sdn. Bhd.; and the majority owned affiliates of Principal International, Inc. Assets under management includes assets managed by investment professionals of Principal Global Investors under dual employee arrangements with other subsidiaries of The Principal.*

*<sup>1</sup>The Principal Financial Group" and "The Principal" are registered trademarks of Principal Financial Services, Inc., a member of the Principal Financial Group.*

**Staff Strength (in Singapore) 26**

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 231.4 billion (as at 30 September 2011)
- 2) **Managed in Asia Pacific** USD 7.34 billion

## **Products/Services**

### **1) Provided by Group (Worldwide)**

Our investment capabilities encompass a range of equity, fixed income and real estate investments, as well as specialized expertise in currency management, asset allocation, stable value management and other structured investment strategies.

### **2) Provided in Singapore**

Equities, Real estate, Global Asia Pacific REITs

## **Business Contact/Client Services**

Business contact: One Raffles Quay #19-04  
North Tower  
Singapore 048583

Contact person: Lynn Sim  
Tel: (65) 6490 0280  
Fax: (65) 6333 6778  
Email: [sim.lynn@principal.com](mailto:sim.lynn@principal.com)  
Website: [www.principalglobal.com](http://www.principalglobal.com)

## **Management**

CEO, Asia – Andrea Muller  
DID: (65) 6490 0277  
Email: [muller.andrea@principal.com](mailto:muller.andrea@principal.com)

Director, Head of Institutional Sales, South & South East Asia – Sameer Dev  
DID: (65) 6490 0281  
Email: [dev.sameer@principal.com](mailto:dev.sameer@principal.com)



## RBC Dexia Trust Services Singapore Limited

### Company Profile

RBC Dexia Investor Services offers a complete range of investor services to institutions worldwide. Our unique offshore and onshore solutions, help clients grow their business and sustain enhanced performance through efficiency improvements and robust risk management practices.

RBC Dexia ranks among the world's top 10 global custodians with USD 2.73 trillion in client assets under administration.

**Staff Strength (in Singapore)** 63

### Assets under Management

- 1) Managed by Group (Worldwide) USD 2.73 trillion
- 2) Managed in Singapore USD 19.39 billion

### Products/Services

- 1) Provided by Group (Worldwide)
  - Trustee
  - Fund Administration
  - Custody
  - Transfer Agency
  - Distribution Support
  - Benefit Payments
  - Investment Finance
  - Securities Lending
  - Cash Management
  - Investment Analytics
  
- 2) Provided in Singapore
  - Trustee
  - Fund Administration
  - Custody
  - Transfer Agency

### Business Contact/Client Services

Business contact: 20 Cecil Street #28-01  
Equity Plaza  
Singapore 049705

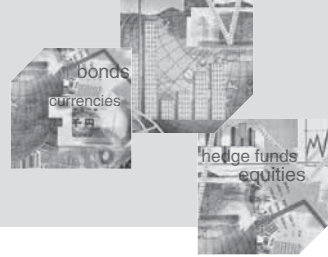
Contact person: Eric Chua – Director, Business Development  
Tel: (65) 6823 5010  
Fax: (65) 6823 5103  
Email: Eric.chua@rbcdexia.com  
Website: www.rbcdexia.com

### **Management**

Managing Director – Diana Senanayake  
DID: (65) 6823 5009  
Email: Diana.senanayake@rbcdexia.com

Director, Operations - Singapore – Dean Wheeler  
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Email: Dean.wheeler@rbcdexia.com

Senior Legal Counsel – Tan Kean Siew  
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Email: Keansiew.tan@rbcdexia.com



## Rodyk & Davidson LLP

### Company Profile

Rodyk & Davidson LLP is a leading Singapore law firm with offices in Singapore and Shanghai.

Our more than 170 lawyers serve in five core practice groups:

- Corporate
- Finance
- Intellectual Property & Technology
- Litigation & Arbitration
- Real Estate

Rodyk advises angel investors, funds, venture capital and private equity firms in the full range of venture capital and private equity transactions.

Our expertise includes:

- Establishment of Singapore registered collective investment schemes (REITS)
- Establishment of offshore mutual funds
- Fund management industry arrangements and documentation
- Unit trusts
- Private equity and venture capital

**Staff Strength (in Singapore)** 365

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Legal services
- 2) **Provided in Singapore** Legal services

### Business Contact/Client Services

Business contact: 80 Raffles Place #33-00  
UOB Plaza 1  
Singapore 048624



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Fax: (65) 6225 1838  
Email: jacqueline.loke@rodyk.com  
Website: www.rodyk.com

**Management**

Managing Partner – Philip JEYARETNAM, SC  
DID: (65) 6885 3605  
Email: philip.jeyaretnam@rodyk.com



## Royal Bank of Canada (Asia) Limited

### Company Profile

Royal Bank of Canada (RY on TSX and NYSE) and its subsidiaries operate under the master brand name RBC. We are Canada's largest bank as measured by assets and market capitalization, and among the largest banks in the world, based on market capitalization. We are one of North America's leading diversified financial services companies, and provide personal and commercial banking, wealth management services, insurance, corporate and investment banking and transaction processing services on a global basis. We employ approximately 74,000 full- and part-time employees who serve close to 15 million personal, business, public sector and institutional clients through offices in Canada, the U.S. and 55 other countries.

Royal Bank of Canada (Asia) Limited is a wholly owned subsidiary of RBC and a division of the Wealth Management businesses serving high net worth individuals, corporate and institutional clients. It is also a merchant bank which is authorized and regulated by the Monetary Authority of Singapore to carry on regulated activities and provide financial advisory services in Singapore.

**Staff Strength (in Singapore)** 79

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Available

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable

- 2) **Provided in Singapore**

The Singapore office is home to a large team of dedicated professionals who provide valuable local knowledge, the advantage of a truly international team of specialists, and access to a complete range of wealth management services through our global network of offices.

### Business Contact/Client Services

Contact person: 3 Church Street #27-01/08  
Samsung Hub  
Singapore 049483

Contact person: Matthew Yong

Tel: (65) 6536 9206

Fax: (65) 6532 2804

Email: [matthew.yong@rbc.com](mailto:matthew.yong@rbc.com)

Website: [www.rbcwminternational.com/locations.html?singapore](http://www.rbcwminternational.com/locations.html?singapore)

### **Management**

Head of Compliance – Evelyn Koh

DID: (65) 6230 1523

Email: [evelyn.koh@rbc.com](mailto:evelyn.koh@rbc.com)

Head of Wealth Management, Emerging Market – Barend Janssens

DID: (65) 6230 1569

Email: [barend.janssens@rbc.com](mailto:barend.janssens@rbc.com)

Managing Director – Matthew Yong

DID: (65) 6230 1459

Email: [matthew.yong@rbc.com](mailto:matthew.yong@rbc.com)

Head of Credit, Asia – Eugene Chan

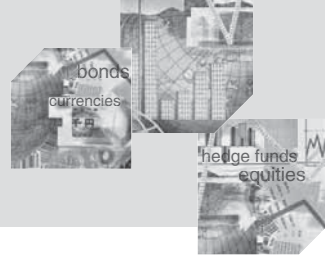
DID: (65) 6230 1528

Email: [eugene.chan@rbc.com](mailto:eugene.chan@rbc.com)

Head of Capital Market – Ronnie Lim

DID: (65) 6230 1488

Email: [ronnie.lim@rbc.com](mailto:ronnie.lim@rbc.com)



## Russell Investment Group

### Company Profile

Russell has about \$137 billion in assets under management (as of 9/30/11) and works with 2,300 institutional clients, 530 independent distribution partners and millions of individual investors globally. As a consultant to some of the largest pools of capital in the world, Russell has \$2 trillion in assets under advisement (as of 12/31/2010) and traded \$1.4 trillion last year through its implementation services business. The Russell Global Indexes calculate over 50,000 benchmarks daily covering 63 countries and more than 10,000 securities.

Founded in 1936, Russell is headquartered in Seattle, Washington, USA and has offices in Amsterdam, Auckland, Chicago, London, Melbourne, Milan, New York, Paris, San Francisco, Seoul, Singapore, Sydney, Tokyo and Toronto.

**Staff Strength (in Singapore)**                      27 (as at 30 November 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 137 billion
- 2) **Managed in Singapore**                      USD 654 million

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Investment Consulting, Implementation Services including transition management, portfolio solutions and agency FX, Investment Management, Alternative Investments, Indexes and Exchange-Traded Funds

#### 2) **Provided in Singapore**

As Above

### Business Contact/Client Services

Business contact: 16 Collyer Quay #09-00  
Singapore 049318

Contact person: Catherine Ow  
Tel: (65) 6880 5900  
Fax: (65) 6324 5595  
Email: caow@russell.com  
Website: www.russell.com

## **Management**

Chief Executive, ASEAN, India, Hong Kong and Taiwan – Mahendran Nathan

DID: (65) 6880 5908

Email: mnathan@russell.com

Practice Leader, Consulting, ASEAN, India, Hong Kong and Taiwan – Trevor Persaud

DID: (65) 6880 5917

Email: tpersaud@russell.com

Director, Corporate Administration – Elaine Ong

DID: (65) 6880 5904

Email: eong@russell.com



## Samsung Asset Management (Singapore) Pte Ltd

### Company Profile

Samsung Asset Management (Singapore) is a subsidiary of Samsung Asset Management Co., Ltd based in Seoul, Korea. We have been investing in Asia since 2008 together with our sister company in Hong Kong. From Singapore, assets are invested in India and ASEAN countries as well as sector specialized products.

**Staff Strength (in Singapore)** 9 (as of end of 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 98 billion
- 2) **Managed in Singapore** USD 200 million

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Equity, Fixed Income, MMF, Alternative Investment
- 2) **Provided in Singapore**  
Equity

### Business Contact/Client Services

Business contact: 3 Church Street #16-05  
Samsung Hub  
Singapore 049483

Contact person: Francis Park  
Tel: (65) 6506 5078  
Fax: (65) 6506 5089  
Email: kwonsik.park@samsung.com  
Website: www.samsungfund.com

### Management

MD – Chris (Chan Suk) Lee  
DID: (65) 6506 5070  
Email: Chansuk64.lee@samsung.com

Head of Operation – Francis Park  
DID: (65) 6506 5078  
Email: Kwonsik.park@samsung.com



## Schroder Investment Management (Singapore) Ltd

### Company Profile

Schroders is a global asset management company with US\$290 billion under management as at 30 September 2011. Our clients include corporations, insurance companies, local and public authorities, charities, pension funds, high net worth individuals and retail investors. We manage investments across all major asset classes in developed and emerging countries.

Schroders employ 2905 people (as at 30 September 2011) worldwide operating from 32 offices in 25 countries across Asia, Europe, the Americas and the Middle East. In Asia Pacific, Schroders employs over 650 staff and manage approximately US\$74 billion as at September 2011 on behalf of Asian client.

**Staff Strength (in Singapore)**                      206 (as at 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**      USD 290 billion (as at 30 September 2011)
- 2) **Managed in Singapore**                      USD 21.4 billion (as at 31 December 2010)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Active manager of all major asset classes in developed and emerging countries: equities, fixed income, money markets, multi asset, property and fund of hedge funds.

#### 2) **Provided in Singapore**

Access to Group products/services as above in addition to actively managed Singapore equity, fixed income and asset allocation strategies.

### Business Contact/Client Services

Business contact: 65 Chulia Street #46-00  
OCBC Centre  
Singapore 049513

Contact person: Albert Tse  
Tel: (65) 6389 7592  
Fax: (65) 6536 6626  
Email: [albert.tse@schroders.com](mailto:albert.tse@schroders.com)  
Website: [www.schroders.com](http://www.schroders.com)

**Management**

CEO Asia Pacific – Lester Gray

DID: (65) 6389 7636

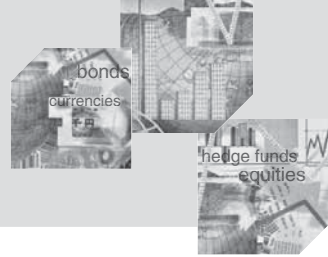
Email: Lester.gray@schroders.com

Managing Director – Susan Soh

DID: (65) 6389 7634

Email: Susan.soh@schroders.com





## Shook Lin & Bok LLP

### Company Profile

Shook Lin & Bok LLP is one of the leading and full service law firms in Singapore with a strong Asian presence and global reach. We have close to a century of rich legal heritage with a number of partners who are eminent lawyers in their respective fields. Our funds practice is one of the pioneers in this area of the law in Singapore. We advise asset and wealth managers, institutional clients and sponsors on licensing conditions and exemptions under the Singapore funds regulations, the establishment of private equity, hedge and real estate funds and the applicable safe harbours for offerings in Singapore. We have been involved in almost all of the REITs listed in Singapore. We also advise on issues relating to financial sector regulatory licences, exemptions and compliance, and assist with the making of applications and representations to the local regulators.

**Staff Strength (in Singapore)** 150

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)** Legal services – Law Firm
- 2) **Provided in Singapore** Legal services – Law Firm

### Business Contact/Client Services

Business contact: 1 Robinson Road #18-00  
AIA Tower  
Singapore 048542

Contact person: Mr Sarjit Singh Gill, SC / Mr Tan Woon Hum  
Tel: (65) 6535 1944  
Fax: (65) 6535 8577  
Email: slb@shooklin.com  
Website: www.shooklin.com

### Management

Senior Partner – Sarjit Singh Gill, SC  
DID: (65) 6439 0668  
Email: gill@shooklin.com



## Singapore Consortium Investment Management Limited

### Company Profile

Singapore Consortium Investment Management Limited ("SICIM") manages the Singapore Index Fund, an exchange traded fund listed on the SGX.

The shareholders of SICIM are Nikko Asset Management Asia Limited, Overseas-Chinese Banking Corporation Limited and UOB Asset Management.

Currently, the administrator for the Singapore Index Fund is UOB Asset Management Ltd.

**Staff Strength (in Singapore)** Not Applicable

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** USD 66.16 million

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable
- 2) **Provided in Singapore** Equities

### Business Contact/Client Services

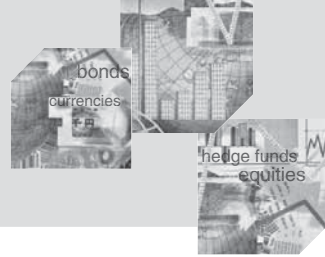
Business contact: 80 Raffles Place  
Level 3 and 5, UOB Plaza 2  
Singapore 048624

Contact person: Tay Geok San  
Tel: (65) 6531 7868  
Fax: (65) 6535 5882  
Email: [Tay.GeokSan@UOBgroup.com](mailto:Tay.GeokSan@UOBgroup.com)  
Website: [uobam.com.sg](http://uobam.com.sg)

### Management

Director – Chong Jiun Yeh  
DID: (65) 6531 7831  
Email: [Chong.JiunYeh@UOBgroup.com](mailto:Chong.JiunYeh@UOBgroup.com)

Director – Wong Ann Derk  
DID: (65) 6531 5119  
Email: [Wong.AnnDerk@UOBgroup.com](mailto:Wong.AnnDerk@UOBgroup.com)



## Singapore Unit Trusts Limited

### Company Profile

Singapore Unit Trusts Limited (SUT) was incorporated on 17 August 1959 as Malayan Unit Trusts Limited. SUT assumed its present name on 6 May 1960. SUT became a member company of the Permodalan Nasional Berhad (PNB) Group after being acquired by PNB International Limited (Labuan) in 2000 (a wholly owned subsidiary of PNB).

**Staff Strength (in Singapore)** 11 (as at 31 December 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Disclosed
- 2) **Managed in Singapore** Not Disclosed

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Permodalan Nasional Berhad, the ultimate shareholder of Singapore Unit Trusts Limited, is one of the largest asset managers in Malaysia with unit holders of the unit trust funds in its group exceeding 10 million and assets under management of approximately MYR 195 billion (as at 30 November 2011).

#### 2) **Provided in Singapore**

Discretionary Accounts and Collective Investment Schemes

### Business Contact/Client Services

Business contact: 8 Robinson Road #04-00  
ASO Building  
Singapore 048544

Contact person: Samantha Chia  
Tel: (65) 6428 5650  
Fax: (65) 6532 3764  
Email: [samanthachia@sut.com.sg](mailto:samanthachia@sut.com.sg)  
Website: [www.sut.com.sg](http://www.sut.com.sg)

## **Management**

Principal Officer – Ahmad Firdaus Abdullah

DID: (65) 6428 5610

Email: afirdaus@sut.com.sg

Chief Financial Officer – Cecilia Chooi

DID: (65) 6428 5670

Email: ceciliachooi@sut.com.sg

Company Secretary – Samantha Chia

DID: (65) 6428 5650

Email: samanthachia@sut.com.sg



## ST Asset Management Ltd

### Company Profile

Established in 2002, STAM offers integrated investment management services to institutional and private clients over a broad range of diversified asset classes that include public and private equities, fixed income instruments, loans, derivatives, structured finance and alternative investments.

**Staff Strength (in Singapore)** 51

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 2.6 billion (as at October 2011)
- 2) **Managed in Singapore** USD 2.6 billion (as at October 2011)

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Segregated portfolios, Structured Products, Collective Investment Schemes, Alternative Investments

#### 2) **Provided in Singapore**

Segregated portfolios, Structured Products, Collective Investment Schemes, Alternative Investments

### Business Contact/Client Services

Business contact: 51 Cuppage Road #10-03  
Singapore 229469

Contact person: Jason See Yong Kiat  
Tel: (65) 6828 8199  
Fax: (65) 6720 2990  
Email: [seejason@stassetmgt.com](mailto:seejason@stassetmgt.com)  
Website: [www.stassetmgt.com](http://www.stassetmgt.com)

### Management

President & Chief Executive Officer – Goh Mui Hong

DID: (65) 6828 8188

Email: [gohmh@stassetmgt.com](mailto:gohmh@stassetmgt.com)

Managing Director & Chief Operating Officer – Jason See Yong Kiat

DID: (65) 6828 8199

Email: [seejason@stassetmgt.com](mailto:seejason@stassetmgt.com)



## Standard & Poor's

### Company Profile

Standard & Poor's strives to provide investors who want to make better informed investment decisions with market intelligence in the form of credit ratings, indices, investment research, and risk evaluations and solutions.

**Staff Strength (in Singapore)** 60

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Credit ratings, indices, investment research, and risk evaluations and solutions.
- 2) **Provided in Singapore**  
Credit ratings, indices, investment research, and risk evaluations and solutions.

### Business Contact/Client Services

Contact person: Surinder D. Kathpalia – Managing Director  
Tel: (65) 6239 6363  
Fax: (65) 6438 2320  
Email: [surinder\\_kathpalia@sandp.com](mailto:surinder_kathpalia@sandp.com)  
Website: [www.standardandpoors.com](http://www.standardandpoors.com)

### Management

Managing Director – Surinder D. Kathpalia  
DID: (65) 6239 6363  
Email: [surinder\\_kathpalia@sandp.com](mailto:surinder_kathpalia@sandp.com)

Vice President, Equity Research – Lorraine Tan  
DID: (65) 6239 6348  
Email: [lorraine\\_tan@sandp.com](mailto:lorraine_tan@sandp.com)

Vice President, Sales & Client Service – Rocco StaMaria  
DID: (65) 6239 6338  
Email: [rocco\\_stamaria@sandp.com](mailto:rocco_stamaria@sandp.com)

Director, Sales & Client Service – Rudy Rahardjo

DID: (65) 6239 6328

Email: rudy\_rahardjo@sandp.com

Managing Director, Financial Services Ratings – Ritesh Maheshwari

DID: (65) 6239 6308

Email: ritesh\_maheshwari@sandp.com

Managing Director, Sovereign Ratings – Elena Okorotchenko

DID: (65) 6239 6375

Email: elena\_okorotchenko@sandp.com

Director, Client Business Management (Ratings) – Anthony Foo

DID: (65) 6239 6368

Email: anthony\_foo@sandp.com



## Standard Chartered Bank

### Company Profile

#### Standard Chartered – Leading the way in Asia, Africa and the Middle East

Standard Chartered PLC is a leading international bank, listed on the London, Hong Kong and Mumbai stock exchanges. The Standard Chartered Group is ranked amongst the top 20 FTSE-100 companies by market capitalisation.

We have over 150 years of history in banking, and operate in many of the world's most dynamic and emerging markets; leading the way in Asia, Africa and the Middle East. We have generated more than seven years of record income and profit. Standard Chartered aspires to be the best international bank for its customers across its markets. The Group now employs 80,000 people, representing 125 nationalities (with 70 nationalities represented in senior management), in more than 1,700 branches and outlets located in over 70 markets. Standard Chartered is positioned to achieve growth from opportunities in some of the world's most exciting and diverse markets. We are leading the way through our:

- Growth markets
- Innovative products and services
- Talented and diverse teams
- Sustainable business strategies

Standard Chartered is well positioned to capitalise on growing trade flows, investments and capital movements as a result of our broad geographical footprint, depth of our customer relationships, and expertise of our people. The Group is investing in dynamic markets and benefiting from the shift in economic power from West to East.

Standard Chartered derives more than 90 per cent of its profits from Asia, Africa and the Middle East, with balanced income derived from its Wholesale and Consumer Banking businesses. The bank combines deep local knowledge with global capability to offer a wide range of innovative products and services as well as award-winning solutions.

**Staff Strength (in Singapore)** Over 7,000

### Assets under Management

- 1) **Managed by Group (Worldwide)** Over USD 800 billion (Asset under custody)
- 2) **Managed in Singapore** Over USD 39 billion (Asset under custody)



## **Products/Services**

### **1) Provided by Group (Worldwide)**

#### **Our Business Focus**

The Group is committed to building a sustainable business over the long term and is trusted worldwide for upholding high standards of corporate governance, social responsibility, environmental protection and employee diversity. The Bank's heritage and values are expressed in our brand promise, 'Here for good'.

We are a full commercial bank providing products and services to financial institutions, corporate and individuals in the areas:

- Consumer Banking - credit cards, personal loans, mortgages, deposits and wealth management services, to individuals and SMEs; setting us apart from the competition in many of our markets
- Wholesale Banking - provision of securities services, cash management, trade finance, rates and foreign exchange and debt capital markets

### **2) Provided in Singapore**

Standard Chartered Singapore offers the full consumer banking and wholesale banking services.

Specifically, we offer extensive wholesale banking services to Asset Managers and Investors.

- Regional and Direct Custody
- Asset servicing
- Fund Accounting and Net Asset Value calculation
- Compliance Reporting
- Institutional Transfer Agency
- Escrows
- Cash Management services
- Rates and Foreign Exchanges
- Financial Markets
- Debt Capital Markets
- Principal Finance

**Business Contact/Client Services**

Business contact: 8A Marina Boulevard  
Level 24, Marina Bay Financial Centre Tower 1  
Singapore 018981

Contact person: Mr Udit Gambhir  
Tel: (65) 6596 4379  
Fax: (65) 6634 9564  
Email: [udit.gambhir@sc.com](mailto:udit.gambhir@sc.com)  
Website: [www.standardchartered.com](http://www.standardchartered.com)

**Management**

Director, Transaction Banking (I&I Sales) – Udit Gambhir  
DID: (65) 6596 4379  
Email: [udit.gambhir@sc.com](mailto:udit.gambhir@sc.com)

Director, Transaction Banking (I&I product) – Garry Chan  
DID: (65) 6596 9744  
Email: [garry.chan@sc.com](mailto:garry.chan@sc.com)



# State Street Bank and Trust Company

## Company Profile

State Street is a leading financial services provider serving some of the world's most sophisticated institutions. We offer a flexible suite of services that spans the investment spectrum, including investment management, research and trading, and investment servicing.

State Street's singular focus on serving the needs of institutional investors is one of its key competitive differentiators. With US\$21.5 trillion in assets under custody and administration, and US\$1.9 trillion under management as of Sep 30, 2011, State Street is an industry leader and innovator – setting standards with new products and services, and expanding the depth and breadth of client relationships.

Our office in Singapore serves as State Street's central location for all investment, operations, processing and servicing activities for portfolios of clients domiciled in South Asia.

**Staff Strength (in Singapore)** 171

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 21.5 trillion (Assets under Custody and Administration as of 30 September 2011)
- 2) **Managed in Singapore** Approximately USD 55 billion (Assets under Custody and Administration as of 30 September 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

Custody, recordkeeping, valuation and fund administration, shareholder services, foreign exchange, brokerage and portfolio solution, securities finance, deposit and short-term investment facilities, investment manager and hedge fund manager operations outsourcing, performance, risk and compliance analytics and investment research.

### 2) Provided in Singapore

Custody, recordkeeping/fund accounting, valuation and fund administration, securities finance, investment manager operations outsourcing, performance, risk and compliance analytics, foreign exchange, portfolio solution, deposit facilities and investment research.

**Business Contact/Client Services**

Business contact: 168 Robinson Road #33-01  
Capital Tower  
Singapore 068912

Contact person: Mr Nick Wright  
Tel: (65) 6826 7288  
Fax: (65) 6826 7377  
Email: [nick.wright@statestreet.com](mailto:nick.wright@statestreet.com)  
Website: [www.statestreet.com](http://www.statestreet.com)

**Management**

Managing Director and Branch Manager – Nick Wright  
DID: (65) 6826 7288  
Email: [nick.wright@statestreet.com](mailto:nick.wright@statestreet.com)

Managing Director and Deputy Branch Manager – Henry Quek  
DID: (65) 6826 7111  
Email: [henry.quek@statestreet.com](mailto:henry.quek@statestreet.com)



# State Street Global Advisors Singapore Limited

## Company Profile

State Street Global Advisors (“SSgA”) is the asset management business of State Street Corporation, one of the world’s leading providers of financial services to institutional investors, with a heritage dating back over two centuries. Backed by the strength and stability of the State Street organization, SSgA makes continual investments in our asset management and client service platform, resulting in a client-focused, solutions-driven orientation. In December 1997: State Street established an office in Singapore to provide an on-the-ground focal point for local and regional clients.

**Staff Strength (in Singapore)** 9

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 1.88 trillion (as of 30 September 2011)
- 2) **Managed in Singapore** USD 7.89 billion (as of 30 September 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

SSgA is a global investment platform that gives clients access to every major asset class, capitalization range and style, with strategies spanning investment approaches including passive, active, absolute return and fully customized portfolios.

### 2) Provided in Singapore

As Above

## Business Contact/Client Services

Business contact: 168 Robinson Road #33-01  
Capital Tower  
Singapore 068912

Contact person: Sally Choo  
Tel: (65) 6826 7500  
Fax: (65) 6826 7501  
Email: [sally\\_choo@ssga.com](mailto:sally_choo@ssga.com)  
Website: [www.ssga.com/webapp/singapore/](http://www.ssga.com/webapp/singapore/)

## Management

Managing Director – Janice Wu  
DID: (65) 6826 7500  
Email: [janice\\_wu@ssga.com](mailto:janice_wu@ssga.com)



## Swiss-Asia Financial Services Pte. Ltd.

### Company Profile

Swiss-Asia Financial Services Pte. Ltd. (SAFS) is a Singapore-registered Fund Management company which started its operations in 2004. SAFS holds a :

- Capital Markets Services Licence under the Singapore Securities and Futures Act to conduct regulated activities in Fund Management and Advisory for accredited investors.
- SEBI Foreign Institutional Investor Status enabling the company to carry out investment activities in the Indian securities market.

SAFS is a Member of IMAS, the Investment Management Association of Singapore, and is subject to its Code of Ethics and Standards of Professional Conduct.

**Staff Strength (in Singapore)** 18

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** SGD 680 million

### Products/Services

- 1) **Provided by Group (Worldwide)** Not Applicable

- 2) **Provided in Singapore**

- Fund Management : Fund of Hedge Funds, Single Manager Hedge Funds, Energy Infrastructure Funds, Hedge Fund Advisory and Research
- External Asset Management : Segregated Mandates, Advisory
- Wealth Management: Advisory and Discretionary Asset Management, Monitoring and Reporting
- Independent Asset Management

### Business Contact/Client Services

Business contact: 8 Temasek Boulevard #43-01  
Suntec City Tower Three  
Singapore 038988

Contact person: Steve Knabl – Chief Operating Officer & Managing Partner  
Tel: (65) 9654 3121  
Fax: (65) 6887 5767  
Email: [Steve.knabl@swissasia-group.com](mailto:Steve.knabl@swissasia-group.com)  
Website: [www.swissasia-group.com](http://www.swissasia-group.com)

## **Management**

CEO – Olivier Mivelaz

Email: [Olivier.mivelaz@swissasia-group.com](mailto:Olivier.mivelaz@swissasia-group.com)

Chief Operating Officer & Managing Partner – Steve Knabl

Email: [Steve.knabl@swissasia-group.com](mailto:Steve.knabl@swissasia-group.com)



## Tantallon Capital Advisors Pte Ltd

### Company Profile

Tantallon Capital Advisors ("TCA") is based in Singapore and is the advisory company to the Tantallon Fund, the Tantallon Emerging Markets Fund and the Tantallon Bass Rock Fund. The principals of the business, Nicholas Harbinson and Alexander Hill have known each other and worked together over the past 20 years in Asia. TCA holds a Capital Markets Services Licence in Fund Management issued by the Monetary Authority of Singapore.

**Staff Strength (in Singapore)** 16

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Stated
- 2) **Managed in Singapore** Not Stated

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Not Applicable

- 2) **Provided in Singapore**  
Investment Advisory services to the following:

#### Long/Short Funds

The Tantallon Fund and Tantallon Emerging Markets Fund

#### Long Only (Asia Ex Japan) Fund

The Tantallon Bass Rock Fund

### Business Contact/Client Services

Business contact: 137 Telok Ayer Street #03-05  
Singapore 068602

Contact person: Alexander Hill  
Tel: (65) 6327 3920  
Fax: (65) 6327 3924  
Email: alex@tantalloncapital.com  
Website: www.tantalloncapital.com



## **Management**

Chief Executive Officer – Nicholas Harbinson

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Email: [nick@tantalloncapital.com](mailto:nick@tantalloncapital.com)

Chief Operating Officer – Alexander Hill

DID: (65) 6327 3920

Email: [alex@tantalloncapital.com](mailto:alex@tantalloncapital.com)

Chief Financial Officer – Lee Foo Kheong

DID: (65) 6327 3922

Email: [fookheong@tantalloncapital.com](mailto:fookheong@tantalloncapital.com)



## Templeton Asset Management Ltd

### Company Profile

Templeton Asset Management Ltd is the Singapore-based subsidiary of Franklin Resources, Inc., referred to as Franklin Templeton Investments, a global investment management organisation offering a wide range of investment choices under the Franklin, Templeton, Mutual Series, Bissett, Fiduciary Trust and Darby brand names to individuals, institutions, pension plans, trusts, partnerships and others.

Headquartered in San Mateo, California, Franklin Templeton Investments has offices in over 30 countries and offers investment products and services in more than 150.

Franklin Templeton marked its presence in Singapore with the establishment of a research office, Templeton Asset Management Ltd, in 1990; it subsequently became Franklin Templeton's global centre for emerging markets fund management. Singapore has been the firm's regional hub for Asia since 1999.

**Staff Strength (in Singapore)** 124 (as at 30 September 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 659.9 billion (as at 30 September 2011)
- 2) **Managed in Singapore** USD 41.1 billion (as at 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

Franklin Templeton Investments manages a wide range of investment vehicles globally across mutual funds, insurance, trust, partnerships, wrap and separate accounts.

#### 2) Provided in Singapore:

Templeton Asset Management Ltd offers 69 recognised offshore funds in Singapore, employing diverse investment strategies across major asset classes including equity, fixed income and money market. The company is also the manager of various institutional funds and separate accounts employing equity (developed and emerging markets), fixed income and hybrid strategies.

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# The Royal Bank of Scotland plc

## Company Profile

The Royal Bank of Scotland Group plc ("RBS") is the holding company of a large global banking and financial services group. Headquartered in Edinburgh, the Group operates in the United Kingdom, the United States and internationally through its principal subsidiaries, The Royal Bank of Scotland plc, and NatWest Bank plc. Both the Royal Bank of Scotland plc and NatWest Bank plc are major UK clearing banks whose origins go back over 275 years. In the United States, the Group's subsidiary Citizens is a large commercial banking organisation. The Group has a large and diversified customer base and provides a wide range of products and services to personal, commercial and large corporate and institutional customers in over 50 countries.

The Royal Bank of Scotland plc is authorised and regulated by the Financial Services Authority to carry on regulated activities in the United Kingdom. Its Singapore Branch is also authorised and regulated by the Monetary Authority of Singapore to carry on regulated activities (including the provision of discretionary investment management services) in Singapore.

**Staff Strength (in Singapore)** 1,700

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 3.83 billion
- 2) **Managed in Singapore** USD 1.43 billion

## Products/Services

### 1) Provided by Group (Worldwide)

The RBS Group offers a full range of products and services in personal banking, private banking, corporate banking, insurance, retail and commercial banking to more than 40 million customers in 50 countries worldwide.

### 2) Provided in Singapore

RRBS Group entities in Singapore provide a full range of products across merger and acquisition, equity capital markets, debt capital markets, emerging markets, foreign exchange, interest rates, credit, equities, risk management, real estate securitization, cash management and trade finance and investment management services. Singapore is one of the global trading hubs for the bank and the Global hub for the Group Operations and Control centre which provides the infrastructure to enable our businesses across the region to serve our clients need.

The investment management services are supported by the RBS Structured Funds team, a team within RBS Global Banking and Markets responsible for the development, delivery and management of fund solutions. It develops and manages a range of index-based funds, exchange-traded funds as well as bespoke structured funds.

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Website: [www.rbs.com.sg](http://www.rbs.com.sg) (RBS Singapore branch)  
[www.rbs.com/marketaccess](http://www.rbs.com/marketaccess) (Structured Funds)

### **Management**

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## Third Avenue Management Singapore Pte Ltd

### Company Profile

Third Avenue Management LLC ("Third Avenue") is a global asset manager with approximately \$13 billion in assets under management. Third Avenue has been in the asset management business since 1986, implementing the same disciplined value approach conceived by its founder, Martin J. Whitman, a renowned value investor and expert in distressed securities and special situations.

Third Avenue Management LLC utilizes a disciplined fundamental, bottom-up approach to identify appropriate investments with the sole objective of delivering superior returns with limited investment risk, over the long term.

We are guided by one proven value philosophy, which focuses on the strength of a company's balance sheet and the discounted price of its securities. Our belief is that a strong, well-managed company can survive difficult environments, and the price of its securities will eventually reflect its true intrinsic value.

Third Avenue's research approach is opportunistic and not constrained by market capitalization, industry sector, or geographic location. Third Avenue created Third Avenue Management Singapore Private Ltd. ("TAMSPL") in 2007 in order to increase Third Avenue's presence in Asia.

**Staff Strength (in Singapore)** 4

### Assets under Management

- 1) **Managed by Group (Worldwide)** Approximately USD 13 billion  
(as of 31 October 2011)
- 2) **Managed in Singapore** 0

### Products/Services

#### 1) **Provided by Group (Worldwide)**

Third Avenue serves as investment adviser to US mutual funds, UCITS funds, sub-advised products, alternative investment funds and separately managed accounts.

#### 2) **Provided in Singapore**

TAMSPL holds a Capital Markets Service License.

### Business Contact/Client Services

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### **Management**

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General Counsel – W. James Hall  
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CEO of Third Avenue Management Singapore Private Ltd. – Anita Krishnamoorthy  
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## Thomson Reuters

### Company Profile

Thomson Reuters is the world's leading source of intelligent information for businesses and professionals. We combine industry expertise with innovative technology to deliver critical information to leading decision makers in the financial, legal, tax and accounting, healthcare and science and media markets, powered by the world's most trusted news organization. Thomson Reuters employs 55,000 people and operates in over 100 countries.

Thomson Reuters investment research and analytics solutions provide a comprehensive array of financial content and advanced analytical tools that enable buy-side financial professionals to quickly develop non-consensus insight. These solutions span the entire array of Quantitative and Fundamental analysis, attribution and risk, trading connectivity and industry leading solutions for all data requirements across the front, middle and back office. Our solutions are further enhanced by integrated publishing and collaboration tools, comprehensive global coverage of economic and financial data, award-winning news and commentary, innovative multimedia content, and quantitatively-derived alpha generating analytics.

For more information, go to [www.thomsonreuters.com](http://www.thomsonreuters.com).

**Staff Strength (in Singapore)** Not Stated

### Assets under Management

- 1) **Managed by Group (Worldwide)** Not Applicable
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) **Provided by Group (Worldwide)**

We offer an unprecedented combination of content, applications, and technologies that enable the global investment community to provide investment performance and efficiency. We deliver end to end solutions that address the unique challenges of the buy side, allowing Thomson Reuters to serve as a value-add partner across your entire business.

#### 2) **Provided in Singapore**

Singapore offers the full range of Investment Management and Research solutions.



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# Tokio Marine Asset Management International Pte Ltd

## Company Profile

Tokio Marine Asset Management International Pte. Ltd. ("TMAI") was established in July 1997 in Singapore, with the mission to be the "Best in Quality" Asian investment manager. TMAI is owned by Tokio Marine Asset Management Co., Ltd. ("TMA"), a leading Japanese pension manager with AUM of over USD 67 billion as at 30 September 2011. TMA is owned by Tokio Marine & Nichido Fire Insurance Co., Ltd., which is one of the largest and oldest non-life insurance companies in Japan. TMAI won the AsianInvestor Investment Performance Award in the Asia ex Japan Equity category in both 2009 and 2010.

**Staff Strength (in Singapore)** 28

## Assets under Management

- 1) **Managed by Group (Worldwide)** USD 67.178 billion (as at 30 September 2011)
- 2) **Managed in Singapore** USD 3.479 billion (as at 30 September 2011)

## Products/Services

### 1) Provided by Group (Worldwide)

#### 1. Equities

- Japan equities (GARP, Focus, Small Cap)
- Asian equities (provided in Singapore as mentioned below)

#### 2. Japanese Fixed Income

### 2) Provided in Singapore

#### 1. Long-only Asian equities (funds and segregated accounts)

- Asia Pacific/Asia/Far East/ Emerging Asia ex Japan
- South East Asia
- Asian Small-Mid Cap
- Customised Asian equity benchmarks

#### 2. Hedge Fund – Asian Equity Long Short Fund

#### 3. Asian/Singapore Fixed Income

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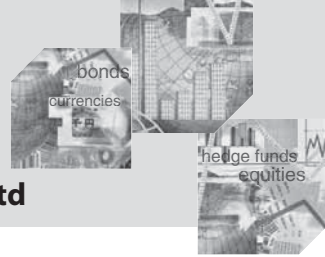
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### **Management**

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Chief Investment Officer – Lee Chiow Wei  
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## UBS Global Asset Management (Singapore) Ltd

### Company Profile

UBS Global Asset Management, a business division of UBS, is a large scale asset manager with well diversified businesses across regions, capabilities and distribution channels. We offer investment capabilities and investment styles across all major traditional and alternative asset classes. These include equity, fixed income, currency, hedge fund, real estate, infrastructure and private equity investment capabilities that can also be combined in multi-asset strategies.

Invested assets totalled some CHF 524 billion at 30 September 2011. The firm is a leading fund house in Europe, the largest mutual fund manager in Switzerland\* and one of the largest fund of hedge funds and real estate investment managers in the world.

We have around 3,800 employees located in 26 countries. Our main offices are in London, Chicago, Frankfurt, Hartford, Hong Kong, New York, Paris, Singapore, Sydney, Tokyo, Toronto and Zurich.

*\*Source: Lipper/Swiss Fund Data Swiss Promoters report (as of 30 September 2011).*

**Staff Strength (in Singapore)** 61 (as of 6 December 2011)

### Assets under Management

#### 1) Managed by Group (Worldwide)

USD 554 billion (by UBS Global Asset Management Division  
as of 30 September 2011)

#### 2) Managed in Singapore

USD 19.3 billion (by UBS Global Asset Management Singapore  
as of 31 October 2011)

### Products/Services

#### Provided by Group (Worldwide and Singapore)

UBS Global Asset Management provides a comprehensive range of investment styles and strategies. Global insight and expertise allow us to combine these capabilities in tailored solutions for clients.

We offer a wide range of investment products and services from boutique-like capabilities delivered through a global structure. We provide services including Equities, Fixed Income, Global Investment Solutions (asset allocation, currency, manager research and risk management services), Alternative and Quantitative Investments (multi-manager (or fund of funds) and single manager), Global Real Estate, Infrastructure and Private Equity, Fund Services, Client Relationships and Global Sovereign Markets.

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**Management**

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## UOB Asset Management Ltd

### Company Profile

UOB Asset Management Ltd ("UOBAM") is a wholly-owned subsidiary of the United Overseas Bank Limited. We were established in 1986 and have grown to be one of the largest local investment houses in Singapore, with regional investment offices in Malaysia and Thailand and sales offices in Brunei, Taiwan and Japan.

UOBAM offers investment management expertise to institutions, corporations and individuals, through customized portfolio management services, alternative investments and unit trusts. In terms of market coverage, we have acquired specialist's skills in equity investment in Asian, Australian, European and US markets. In the bond markets, we cover the Organization of Economic Co-operation and Development (OECD) countries to emerging markets. We aim to provide investors with innovative investment products which can help them grow their financial assets and plan for their financial future.

**Staff Strength (in Singapore)**                      109 (as of October 2011)

### Assets under Management

- 1) **Managed by Group (Worldwide)**    USD 13.98 billion (31 October 2011)
- 2) **Managed in Singapore**                      USD 7.28 billion (31 October 2011)

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Equities, Fixed Income
  
- 2) **Provided in Singapore**  
Equities, Fixed Income, Alternatives, Structured Products

### Business Contact/Client Services

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## UTI International (Singapore) Private Limited

### Company Profile

UTI Asset Management Company Limited ("UTI AMC") is the oldest and among the largest Asset Managers in India. It was established in 1964 as the Unit Trust of India by an Act of Indian Parliament. UTI AMC has the largest market share of domestic retail assets and retail clients in India. UTI AMC is one of the First Pension Fund Managers in India. UTI International (Singapore) Private Limited is 100% subsidiary of UTI International Limited, which in turn is a 100% subsidiary of UTI AMC.

**Staff Strength (in Singapore)** 10

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 13.68 billion
- 2) **Managed in Singapore** USD 268 million

### Products/Services

- 1) **Provided by Group (Worldwide)**  
Asset Management Services and Advisory Services
- 2) **Provided in Singapore**  
Fund Management and Advisory

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## Wellington International Management Company Pte Ltd

### Company Profile

Wellington International Management Company Pte Ltd ("WIM") is an affiliate of Wellington Management Company, LLP, a Boston-based firm which is registered with the US Securities and Exchange Commission as an investment adviser.

With approximately USD619 billion in client assets under management, Wellington Management serves as an investment adviser to more than 2,000 institutions located in over 50 countries.

**Staff Strength (in Singapore)** 33

### Assets under Management

- 1) **Managed by Group (Worldwide)** USD 619 billion (as of 30 September 2011)
- 2) **Managed in Singapore** Not Applicable

### Products/Services

#### 1) Provided by Group (Worldwide)

We offer a broad range of equity, fixed income, alternative, and multi-asset investment approaches, including but not limited to Global, US, Asia Pacific and other regional, sector and thematic equity; Global, Emerging Markets, sector specific, and other fixed income; currency; commodities; real assets/inflation hedged; active asset allocation portfolios and multi-strategy hedge funds for institutional clients and mutual funds.

#### 2) Provided in Singapore

As Above

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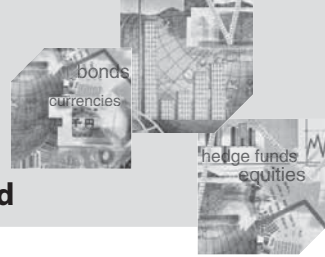
### Management

Managing Director – Charles Argyle

DID: (65) 6534 5115

Director – Theresa Tan

DID: (65) 6534 5115



## Western Asset Management Company Pte. Ltd

### Company Profile

Western Asset has over 40 years of fixed-income experience and all resources are focused on the active management of institutional clients' portfolios. From offices in Pasadena (headquarters), California, United States; New York, United States; London, England; Singapore; Hong Kong, China; Tokyo, Japan; Melbourne, Australia; and Sao Paulo, Brazil, the Firm's 902 employees perform investment services for a wide variety of global clients including charitable, corporate, health care, insurance, mutual fund, public and union organizations, and client portfolios range across an equally wide variety of mandates, from money markets to emerging markets.

**Staff Strength (in Singapore)** 19 (as at 30 September 2011)

### Assets under Management

1) **Managed by Group (Worldwide)** USD 433.5 billion (as of 30 September 2011)  
2) **Managed in Singapore** USD 3.4 billion (as of 30 September 2011)

### Products/Services

#### 1) Provided by Group (Worldwide)

- Liquidity Portfolios
- Enhanced Cash Portfolios
- Limited Duration Portfolios
- Broad Market Portfolios
- Multi-Strategy Portfolios
- Long Duration Portfolios
- Global Portfolios
- Portable Alpha Portfolios
- Inflation-Linked Portfolios
- Government-only Portfolios
- ABS/ MBS Portfolios
- Municipal Portfolios
- Corporate Portfolios
- High Yield Portfolios
- Emerging Market Portfolios
- Structured Portfolios

## 2) Provided in Singapore

- Hong Kong Dollar
- Indonesian Rupiah
- Insurance/ Customized
- Korean Won
- Malaysian Ringgit
- Pan Asia
- Philippine Peso
- Singapore Dollar
- Taiwan Dollar
- Thai Baht

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### **Management**

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## White & Case

### Company Profile

White & Case is a leading international law firm with lawyers in 38 offices across 26 countries. Our Investment Funds practice spans across the globe with funds specialists located in the Americas, Europe, Middle East and Asia.

In Asia, we have experienced funds lawyers based in our offices in Beijing, Hong Kong, Shanghai, Tokyo and Singapore who regularly represent sponsors, investment managers, underwriters and investors in establishing and structuring investment funds, making investments into funds, placing fund securities and making equity and debt investments in Asia and around the world. White & Case was awarded a Qualifying Foreign Law Practice License (QFLP) in 2008, allowing us to advise on both Singapore and international laws.

**Staff Strength (in Singapore)**                      Over 70

### Assets under Management

- 1) **Managed by Group (Worldwide)**      Not Applicable
- 2) **Managed in Singapore**                      Not Applicable

### Products/Services

- 1) **Provided by Group (Worldwide)**      Legal services
- 2) **Provided in Singapore**                      Legal services

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