

2014

Members Directory



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THE INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE



THE INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE



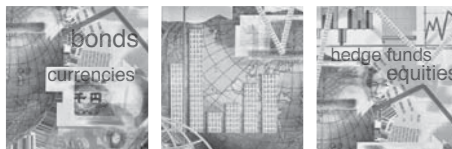
Members Directory 2014

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Overview

The Investment Management Association of Singapore (IMAS) was formed on 22 September 1997. The idea of an investment body was first mooted in November 1995 by the then Finance Minister Dr Richard Hu.

It is a representative body of investment managers spearheading the development and growth of the industry in Singapore.

By fostering high standards of professionalism and promoting exemplary practice among members, the association seeks to set the benchmark for the investment and fund management industry in Singapore.

It also serves as a forum for members in discussions as well as a collective voice where representation is needed on behalf of the investment management industry, facilitating training for its members, and contributing towards investor education.

Mission and Objectives

Mission

As a representative body of investment managers, IMAS will spearhead the development and growth of the industry in Singapore, by fostering high standards of professionalism amongst practitioners and creating public awareness of, and interest in the industry.

Objectives

- To promote professionalism and exemplary practice among members in their conduct of the investment management business.
- To provide a forum for members to discuss issues or matters relating to the investment management industry.
- To represent members collectively in discussions with, or assist any member to make any representation or recommendation to, any government, government representative, supervisory authority, whether local or foreign, which are concerned with the investment management industry.
- To promote the education of the investing public on investments and the investment management industry.
- To improve professionalism and standards of research and fund management expertise in Singapore.
- To promote the investment and fund management industry.

Aberdeen Asset Management Asia Limited

Company Profile

Aberdeen Asset Management Asia Limited ('Aberdeen Asia') is part of the UK-listed Aberdeen Asset Management PLC, a FTSE 100 company. We have been investing in Asia for over twenty five years and since 1992 as the regional headquarters of the Aberdeen Asset Management Group in Singapore. We have dedicated investment teams for regional equities, fixed income, property and tailored solutions. Assets are invested in a variety of country and regional funds, as well as specialist products, for leading institutions, other asset managers and retail investors. As well as Singapore, we have offices in eight other countries across Asia.

Staff Strength (in Singapore) 199 (end Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 324.6 billion (end Sep 2013)

2) Managed in Singapore USD 96.7 billion*(end Sep 2013)

**Figure includes USD 1.6 billion advised in Singapore*

Products/Services

1) Provided by Group (Worldwide)

Equities, Fixed Income, Property, Aberdeen Solutions

2) Provided in Singapore

Equities, Fixed Income, Property, Aberdeen Solutions

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Director – Ian Macdonald

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Absolute Asia Asset Management Limited

Company Profile

Absolute Asia Asset Management was established in 1998 in Singapore and is wholly owned by Natixis Global Asset Management (NGAM). Absolute Asia AM holds a CMS– Fund Management license in Singapore. Specializing in Asia Pacific ex Japan equities, its unique approach to investment has won recognitions and awards from consultants and rating agencies.

NATIXIS Global Asset Management Singapore (name registration no. 5310272FD), a business development unit of the Natixis Global Associates, is the distribution arm of NGAM, provides a single point of access to the diverse expertise of NGAM’s affiliates worldwide, and takes a consultative approach tailoring this expertise to the needs of our clients with a full spectrum of equity, fixed-income and alternative strategies and products.

Staff Strength (in Singapore) 21

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 783 billion (as at end Jun 2013)
- 2) **Managed in Singapore** USD 855 million (as at end Dec 2013)

Products/Services

1) **Provided by Group** (Worldwide)

NGAM’s affiliates include well known players in the respective core investment fields of Fixed Income (Loomis Sayles, Natixis Asset Management), Equities (Hansberger, Harris Associates L.P., Absolute Asia AM, Natixis Asset Management, Vaughn Nelson Investment Management), & money market (Riech and Tang Funds). In the field of alternatives, the firm has affiliates in Real Estate (AEW) & hedge fund of funds (Aurora Investment LLC). Natixis Global Associates Asia serves as the point of access for these capabilities.

2) **Provided in Singapore**

Absolute Asia Asset Management Limited provides investment management and sub advisory services to clients worldwide. Our clientele includes insurance companies, pension funds, private banks, fund of funds and family offices. We managed a variety of different long only Asia Pacific ex Japan equities strategies. The funds that we managed or sub-advised include Lux SICAV funds and French FCP.

Business Contact/Client Services

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Acadian Asset Management (Singapore) Pte Ltd

Company Profile

Acadian Asset Management LLC is a US Securities and Exchange Commission-registered and Boston-headquartered investment management firm that, along with its wholly owned Singapore and UK affiliates, specializes in the active investment management of global and international strategies. As of November 30, 2013, the combined entities managed in excess of US\$64 billion on behalf of pension funds, endowments, foundations, governments and other institutions worldwide.

Started in 1999, Acadian Asset Management (Singapore) Pte Ltd is licensed with the Monetary Authority of Singapore.

Staff Strength (in Singapore) 12

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 64 billion (as of 30 Nov 2013)
- 2) **Managed in Singapore** USD 849 billion (as of 30 Nov 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Products offered by both Acadian Asset Management LLC and Acadian Asset Management (Singapore) Pte Ltd include:

Long-Only Equity – a range of regional and style approaches including Global, EAFE, Europe, U.S. Japan, Emerging Markets, Frontier Markets and Small-Cap

Beta One and Beta Zero Equity – a range of approaches including Global, U.S., Europe and Japan

Managed Volatility – portfolios designed to match the market return with lower than market volatility

Emerging Markets Debt – an approach offering exposure to fixed income instruments in a range of emerging markets

2) **Provided in Singapore**

See “Products/ Services Provided by Group”

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Management

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Aditya Birla Sun Life Asset Management Company Pte. Ltd

Company Profile

Aditya Birla Sun Life Asset Management Company Pte. Ltd (ABSLAMC) is a wholly owned subsidiary of Birla Sun Life Asset Management Company Limited (BSLAMC), which is part of the Aditya Birla Financial Services Group (ABFSG).

BSLAMC is a joint venture between the Aditya Birla Group and Sun Life Financial Inc, a leading international insurance organization from Canada. The Aditya Birla Group is one of India's leading conglomerates with over US\$40 billion in revenue.

BSLAMC is the 4th largest asset management company in India with approximately US\$15 billion of assets under management; BSLAMC has received recognitions from various institutes of international repute such as Lipper, Outlook Money and The Asset Magazine, Hong Kong. BSLAMC has subsidiaries in Singapore, Dubai and Mauritius.

ABSLAMC Singapore holds a Capital Market Service (Fund Management) license issued by the Monetary Authority of Singapore (MAS) under the Securities and Futures Act (SFA). It is also an exempt financial adviser under the Financial Advisers Act (FAA).

Staff Strength (in Singapore) Not Stated

Assets under Management

- 1) **Managed by Group** (Worldwide) Approximately USD 15 billion
- 2) **Managed in Singapore** Approximately USD 740 million

Products/Services

1) **Provided by Group** (Worldwide)

Asset Management and Advisory Services to investors both within and outside India for a wide range of products including Indian debt, equity, hybrid, structured products and alternative assets.

2) **Provided in Singapore**

Access to parent's products and services in addition to actively managed debt, equity and asset allocation strategies.

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Management

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Aegis Portfolio Managers Pte Ltd

Company Profile

Aegis Portfolio Managers Pte Ltd is based in Singapore which specializes in listed and unlisted equity investments in Asia. The focus is primarily in companies which are owner-operated in emerging growth industries; particularly information & telecommunication technologies, environmental & green technologies, pharmaceutical & healthcare, and consumer & lifestyle sectors. Aegis takes a long term bottom-up value investing style and a multi-manager portfolio management approach. Aegis offers portfolio mandates with no fixed annual management fees, only performance fees with high water marks – since the year 2000. Aegis is a manager-owned investing organization.

Staff Strength (in Singapore) 7

Assets under Management

- 1) **Managed by Group** (Worldwide) Unavailable
- 2) **Managed in Singapore** Unavailable

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Stated

- 2) **Provided in Singapore**

Offshore Funds, Individually Managed Portfolios and Family Office Accounts

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Fund Manager – Ho Wah Hin

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Fund Manager – Damien Long

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Director – Wu Chiaw Ching

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Director – Mark Flesher

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Risk Officer – Tan Meng Wee

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Fund Manager – Gregory Jonathan See

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AGF Asset Management Asia Ltd

Company Profile

Established in 1996, AGF Asset Management Asia Ltd provides investment research and advisory services on Asian ex-Japan markets for AGF Management Limited and other clients.

The firm is a wholly-owned subsidiary of AGF Management Limited, a premier Canadian-based investment management firm. AGF Management Limited has a long history with more than 50 years in the business, providing industry leading investment management services through our core business AGF Investments. AGF Investment's team of seasoned professionals manage a diversified family of award-winning products featuring investment strategies that span the globe within balanced, fixed income, equity and specialty asset categories. AGF Management Limited today serves more than one million investors and manages over \$36 billion (C\$) in assets under management (AUM) with offices in Canada, the United States, Europe and Asia.

AGF Asset Management Asia Ltd focuses on Asian ex-Japan equities and practices a "growth at reasonable price" investment philosophy.

Staff Strength (in Singapore) 6

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 35 billion (as at 31 Oct 2013)
- 2) **Managed in Singapore** Not Stated

Products/Services

- 1) **Provided by Group** (Worldwide)
Wide spectrum of products by asset class and investment style
- 2) **Provided in Singapore**
Asian ex Japan equities

Business Contact/Client Services

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Senior Manager Finance & Admin – Lina Loo
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AIA Singapore Private Limited

Company Profile

AIA Singapore has been growing with the community and serving our customers since 1931.

As The Real Life Company, AIA Singapore is committed to helping families in Singapore lead fuller lives. We aim to make insurance easily accessible by providing quality financial advice and developing innovative products and services, driven by consumer insights to ensure a sound financial future for our customers and their families, across generations.

Staff Strength (in Singapore) 897 (as at end Nov 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) USD125,421 million (as at 31 May 2013)
- 2) **Managed in Singapore** USD 27,030 million (as at 31 May 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Life insurance, retirement planning, corporate solutions, accident and health insurance as well as wealth management solutions

2) **Provided in Singapore**

Life insurance, retirement planning, corporate solutions, accident and health insurance as well as wealth management solutions

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AllianceBernstein (Singapore) Ltd

Company Profile

AllianceBernstein L.P. (AllianceBernstein) is a research-driven organization that is global in scope and client-focused in its mission. It delivers to client around the world preeminent investment services in key asset classes : Bernstein Value Equities, Alliance Growth Equities, AllianceBernstein Blend Strategies, AllianceBernstein Fixed Income and AllianceBernstein Alternative Investments. Our depth of research, worldwide presence and breadth of services allow us to offer a full array of investment products, both global and local, in every major market. Our broad spectrum of clients ranges from retail investors, to high-net-worth individuals and families, to major institutions and corporations.

AllianceBernstein (Singapore) Ltd. is an indirect wholly-owned subsidiary of AllianceBernstein.

Staff Strength (in Singapore) 44

Assets under Management

1) Managed by Group (Worldwide) USD 446 billion (as of 31 Oct 2013)
2) Managed in Singapore USD 796 million (as of 31 Oct 2013)

Products/Services

1) Provided by Group (Worldwide)

Bernstein Value Equities, Alliance Growth Equities, AllianceBernstein Blend Strategies, AllianceBernstein Fixed Income and AllianceBernstein Alternative Investments.

2) Provided in Singapore

Not Stated

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Management

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Chief Executive Officer – Mr Suchet Padhye

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Allianz Global Investors Singapore Limited

Company Profile

Allianz Global Investors (“AllianzGI”), the asset management subsidiary of Allianz SE, is one of the leading active global asset managers with EUR314 billion in total assets under management as at 30 June 2013.

Allianz Global Investors Singapore Limited (“AllianzGI Singapore”), a part of AllianzGI, has managed collective investment schemes and discretionary funds in Singapore since 1999.

The Investment management team of AllianzGI based in Singapore specializes in the management of fixed income assets including equities and real-estate investment trusts (REITs). The team manages investment funds and discretionary accounts. Investors include Singapore government-linked institutions and insurance companies in South East Asia. The Singapore office also acts as a distribution agent of mutual funds managed by AllianzGI Investment platforms.

Staff Strength (in Singapore) 23 (as of 30 Sep 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) EUR 314 billion (as at 30 Jun 2013)
- 2) **Managed in Singapore** SGD 3.98 billion (as at 30 Sep 2013)

Products/Services

1) **Provided by Group** (Worldwide)

A broad range of asset classes and investment strategies, including equity, fixed income, multi asset and total return strategies. Additionally, we offer expertise in Fiduciary Management and Risk Management/Overlays.

2) **Provided in Singapore**

Discretionary management & advisory services specializes in the management of fixed income assets including equities and real-estate investment trusts (REITs). Also, as a distribution agent of mutual funds managed by AllianzGI Investment platforms.

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Management

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Head of Distribution – Mr Ben Tai
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Head of Business and Client Management – Ms Serene Tay
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Head of Compliance – Mr Yezdi Chinoy
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Alpha Investment Partners Limited

Company Profile

Alpha Investment Partners Limited is a real estate investment manager headquartered in Singapore with offices in Seoul, Shanghai, Taipei and Tokyo and is a unit of Keppel Land Limited.

Equipped with a strong business network, our team of professionals aims to achieve superior investment performance by capitalizing on our unique insights into the region's property markets offering investors the competitive advantage akin to a local player. We establish the highest standards of corporate governance, reporting and compliance to meet investors' expectations and strive to integrate sustainability practices into our invested assets.

Staff Strength (in Singapore) 30

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** USD 8.3 billion when fully invested

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Applicable

- 2) **Provided in Singapore**

- Asia No.1 Property Fund**

- The Asia No. 1 Property Fund is a closed-end property fund invested in a number of Asian cities including Beijing, Hong Kong, Seoul, Singapore and Tokyo.

- Alpha Core-Plus Real Estate Fund**

- The Alpha Core-Plus Real Estate Fund is a closed-end property fund that targets the mature markets of Japan, Hong Kong, Singapore and South Korea.

- Alpha Asia Macro Trends Fund**

- The Alpha Asia Macro Trends Fund is a closed-end property fund which targets investments in China, Hong Kong, India, Japan, Singapore and South Korea.

- Alpha Asia Macro Trends Fund II**

- The Alpha Asia Macro Trends Fund II is a follow-on fund to with a similar investment mandate to its predecessor.

- Alpha Real Estate Securities Fund**

- The Alpha Real Estate Securities Fund is an open-ended fund that invests in listed REITs and real estate securities globally.

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Chief Investment Officer – Mr Alvin Mah
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Executive Director, Research/Portfolio Management – Mr Young Lok Kuan
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Director, Asset Management – Ms Carina Lim
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Amansa Capital Pte. Ltd.

Company Profile

Amansa Capital Pte. Ltd. ("ACPL") is a fund management company established in February 2006 in Singapore. ACPL holds a Capital Markets Services Licence in Fund Management issued by the Monetary Authority of Singapore since January 2008.

The company's founder is Akash Prakash, who has over 15 years of experience as an investment manager across multiple regions and with a deep India expertise. We cater to investors looking for absolute returns over a long term time horizon. Our mission is to use our knowledge, expertise and corporate network to unearth quality, long term investment opportunities that help our investors make the most of their capital. Whilst we have a mandate to invest in Asia and other markets around the world, we place a heavy emphasis on investment opportunities in India.

ACPL has an international institutional investor base and acts as the Investment Manager to a number of funds, including the flagship Amansa Holdings Pte. Ltd. The funds have a strong absolute return orientation and invest across the market cap spectrum through a consolidated portfolio that is constructed through fundamental bottom up stock selection.

Our stock selection is focused on companies that are not covered by sell side research, and are thus not on the radar of international investors. We deploy a very rigorous research process when selecting investee companies and use our extensive network and connectivity to get an independent view on investee companies.

Staff Strength (in Singapore) 6

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Disclosed

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Applicable
- 2) **Provided in Singapore**
Fund Management

Business Contact/Client Services

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Amundi Singapore Limited

Company Profile

Amundi is an investment specialist in traditional and absolute return investing with USD1 trillion of assets under management as at 30 September 2013. Amundi's expertise extends across all asset classes, including cash/money market, equities, fixed income, global currencies as well as fund of hedge funds. Amundi has a presence in more than 30 countries worldwide. Our office in Singapore was established in 1989 as a hub for Amundi Group's operations in Southeast Asia, serving institutional investors, insurance companies, private and retail banks, and independent financial advisors. With more than 20 years in Asia, Amundi Singapore is well-resourced and well-equipped to serve our clients and partners in the region.

Staff Strength (in Singapore) 61

Assets under Management

1) Managed by Group (Worldwide) USD 1 trillion
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

- 1) Fixed Income: Global, US, European, Credit, Asian and Emerging Market
- 2) Money Market: Global and European
- 3) Currencies: Global, Asian and Emerging Market
- 4) Equities: Global, European, Asian and Emerging Markets
- 5) Balanced Portfolio: Global and Asian Balanced
- 6) Absolute Return: Fixed Income, Volatility
- 7) Specialized Funds: Structured products, Funds of Hedge Funds and Private Equities, ETFs, SRI, Quantitative, Indexed Funds as well as Multi-management Products

2) Provided in Singapore

- 1) Global and Asian Fixed Income
- 2) Global and Asian Currencies
- 3) Asian Equities
- 4) Absolute Return Global and Asian Balanced
- 5) Shariah Investment Strategies (Shariah Equities and Sukuk Management)

Business Contact/Client Services

Business contact: 168 Robinson Road #24-01
Capital Tower
Singapore 068912

Contact person: Client Services Team
Tel: (65) 6536 4822
Fax: (65) 6536 4322 / 6538 4047
Email: Sales.ClientService@sg.amundi.com
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Management

CEO – Jenny Sofian
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COO – Winston Thng
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APS Asset Management Pte Ltd

Company Profile

Established in 1995, APS Asset Management Pte Ltd (APS) is a Singapore-based boutique fund management firm. The principal activity of APS is to provide fund management, specialising in Asia Pacific equity investments.

The firm is registered as a fund manager with the Monetary Authority of Singapore and investment advisor with the U.S. Securities and Exchange Commission.

We aim to provide high quality, value-added portfolio management services to investors. Our clients are mainly large financial institutions such as pension funds and foundations as well as financial intermediaries including fund of funds, private wealth management and family offices based in North America, Europe and Asia Pacific.

Staff Strength (in Singapore) 35 (as at Oct 2013)

Assets under Management

1) **Managed by Group** (Worldwide) USD 2.8 billion

2) **Managed in Singapore** USD 2.8 billion

Products/Services

1) **Provided by Group** (Worldwide)
Fund Management

2) **Provided in Singapore**
Fund Management

Business Contact/Client Services

Business contact: 3 Anson Road #23-01
Springleaf Tower
Singapore 079909

Contact person: Client Services
Tel: (65) 6333 8600
Fax: (65) 6333 8900
Email: cs@aps.com.sg
Website: www.aps.com.sg

Management

Chief Executive Officer – Wong Kok Hoi

DID: (65) 6333 8600

Email: cs@aps.com.sg

Chief Compliance Officer – Sim Poh Heng

DID: (65) 6333 8600

Email: cs@aps.com.sg

Chief Operations Officer – Lu Lan Fang

DID: (65) 6333 8600

Email: cs@aps.com.sg

Managing Director – Sim Teow Pin

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Email: cs@aps.com.sg



Aquarius Investment Advisors Pte Ltd.

Company Profile

We are an investment management firm focused on Indian investment opportunities for international investors. We have been active in the area of private equity since 1997 and have made a range of investments. Our services include

- Private investment in public equities (PIPEs)
- Private equity fund management
- Equity and debt market access
- Structured finance & corporate finance advisory
- Fund management
- Strategic advisory

Staff Strength (in Singapore) 6

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Stated

Products/Services

- 1) **Provided by Group** (Worldwide)
Equity and Fixed Income
- 2) **Provided in Singapore**
Fund Management services to accredited investors

Business Contact/Client Services

Business contact: 50 Raffles Place #31-04
Singapore Land Tower
Singapore 048623

Contact person: Mr A S Thiyagarajan
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Email: thyagu@aquarius.com.sg
Website: www.aquarius.com.sg

Management

Managing Director – A S Thiyagarajan

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Email: thyagu@aquarius.com.sg

Manager – K Kala

DID: (65) 62236175

Email: kala@aquarius.com.sg



Arisaig Partners (Asia) Pte Ltd

Company Profile

Arisaig Partners is an independent, investment management company founded in October 1996. The firm's focus is exclusively on listed, dominant consumer staples businesses in emerging markets. The head office is in Singapore, where our dealers and the operations team are based. In addition we run research offices in Hong Kong, Mumbai, Cape Town (where the Africa research team is based), Rio de Janeiro (where the Latin America research team is based) and the United Kingdom. Arisaig Partners has 43 employees including 22 investment professionals.

Staff Strength (in Singapore) 21

Assets under Management

1) Managed by Group (Worldwide) USD 5.21 billion (as at end Nov 2013)

2) Managed in Singapore As Above

Products/Services

1) Provided by Group (Worldwide)

Investment Management and Advisory

Long-only equity funds investing in listed, dominant consumer staples companies.

- Arisaig Asia Consumer Fund
- Arisaig Africa Consumer Fund
- Arisaig Latin America Consumer Fund
- Arisaig Global Emerging Markets Consumer Fund
- Arisaig Global Emerging Markets Consumer UCITS Fund

2) Provided in Singapore

As Above

Business Contact/Client Services

Business contact: 7A Lorong Telok
Singapore 049020

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Tel: (65) 6532 3378
Fax: (65) 6532 6618
Email: cheeseng@arisaig.com.sg
Website: www.arisaig-partners.com

Management

Founding Partner/Director – Lindsay Cooper

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Director – Chua Chee Seng

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Email: cheeseng@arisaig.com.sg

Director – Suren Senanayake

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Aris PrimePartners Asset Management Pte Ltd

Company Profile

PrimePartners Asset Management (PPAM) established its first closed-end private equity fund in 1995. PPAM was the first of two fund managers to be awarded a boutique fund manager license in Singapore. The group has raised total pools of funds aggregating approximately USD300M, the largest being the Prime Enterprises II, L.P. with a fund size of US\$ 180 million.

Through its investment vehicles, PPAM has a separate, distinct and independent private equity investment mandate which focuses on corporate finance event driven transactions with clear exit strategies such as pre-IPOs, IPOs, RTOs, privatizations, M&As, re-listings, restructuring and mezzanine financing.

In January 2014, PPAM entered into a joint venture with Aris GSK Solutions Pte Ltd, an independent boutique asset management and investment advisory firm set up in Singapore by an experienced team of Swiss Wealth Managers and Investment Advisers.

The venture now called Aris PrimePartners Asset Management (APAM) expands the scope of products and services of PPAM beyond Asia, whilst adding to private equity offerings, discretionary portfolio management, active advisory and Family Office Services. With the use of innovative products for private and institutional clients APAM now encompasses customers and business relationships globally.

Staff Strength (in Singapore) 10

Assets under Management

- 1) **Managed by Group** (Worldwide) Undisclosed
- 2) **Managed in Singapore** Undisclosed

Products/Services

1) **Provided by Group** (Worldwide)

Family Office Services, Private Equity, Discretionary Portfolio Management, Advisory Services

2) **Provided in Singapore**

Family Office Services, Private Equity, Discretionary Portfolio Management, Advisory Services

Business Contact/Client Services

Business contact: 20 Cecil Street #21-02
Equity Plaza
Singapore 049705

Contact person: Teo Ek Tor – Chairman
Giuseppe Giammarino – CEO
Sacha Di Maio – CIO
Ler Kon Wen – Director, Investment (Private Equity)
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Email: info@arisprime.com
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Management

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Email: ektor@arisprime.com

CEO – Giuseppe Giammarino
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CIO – Sacha Di Maio
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Director, Investment (Private Equity) – Ler Kon Wen
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Email: kwler@arisprime.com



ARN Investment Partners Pte Ltd

Company Profile

ARN is management-owned and has been in operation since May 1997.

ARN is licensed by the Monetary Authority of Singapore and holds a Capital Markets Services licence.

Business focus is primarily on absolute return focusing on Asia ex Japan equities.

Staff Strength (in Singapore) 7

Assets under Management

1) **Managed by Group** (Worldwide) Not Applicable

2) **Managed in Singapore** USD 38 million

Products/Services

1) **Provided by Group** (Worldwide)
Not Applicable

2) **Provided in Singapore**

Business of Fund Management and provision of financial and investment advisory services

Business Contact/Client Services

Business contact: 3 Phillip Street #11-04
Royal Group Building
Singapore 048693

Contact person: Christopher Wong
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Management

Managing Director – Christopher Wong
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Director – Lydia Hun
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Portfolio Manager – Mark Chua
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Ashmore Investment Management (Singapore) Pte Ltd

Company Profile

Ashmore is one of the world's leading investment managers dedicated to emerging markets with a history of consistently outperforming the market. Headquartered in London, the business was founded in 1992 as part of the Australia and New Zealand Banking Group. In 1999, Ashmore became independent and was listed on the London Stock Exchange in October 2006. With over 250 employees globally, Ashmore has offices in London, USA, Singapore, Australia, Brazil, China, Colombia, India, Japan, Russia, Turkey and Indonesia. It manages US\$78.5 billion (at 30 September 2013) in pooled funds, segregated accounts and structured products.

Staff Strength (in Singapore) 12

Assets under Management

- 1) Managed by Group** (Worldwide) USD 78.5 billion (as at 30 Sep 2013)
2) Managed in Singapore USD 14.3 billion (as at 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

- i) Ashmore offers a number of investment themes including external debt, local currency, corporate debt, blended debt, equity, alternatives (incorporating distressed debt, private equity and real estate) overlay/liquidity and multi-strategy.
- ii) Institutional and wholesale distribution

2) Provided in Singapore

- i) Asia focused investment management and trading
- ii) Institutional and wholesale distribution

Business Contact/Client Services

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 Singapore 049145

Contact person: Michael Winter
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 Website: www.ashmoregroup.com

Management

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Compliance Officer – Elaine Cheung

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Atlas Asset Management Pte. Ltd.

Company Profile

Atlas Asset Management Pte. Ltd. (Atlas) was established in Singapore in 2008. It was then known as Heritage Asset Management, a subsidiary of Banque Heritage SA in Geneva. On 1 December 2013, the Company was acquired by its current owners and has become a fully independent asset management company. The Company will continue to focus on the provision of discretionary and advisory services to predominantly Asian investors and expand the customer base to other parts of the world. The investment focus will be primarily on Asia, resources-based companies as well as private equity. The Company intends to set up and manage investment funds domiciled in Singapore and other jurisdictions. The Company works closely with a global network of corporate advisors and asset managers. Atlas offers its services to qualified private investors and institutions only.

Staff Strength (in Singapore) 5

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Stated
 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)
 Not Applicable

2) **Provided in Singapore**

- Discretionary and advisory services
- Management of mutual funds and similar vehicles

Business Contact/Client Services

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 UOB Plaza 1
 Singapore 048624

Contact person: Lim Chew Hwee
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 Website: www.atlasam.com.sg

Management

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CEO/Head of Investment Solutions – Lim Chew Hwee

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Head of Legal and Compliance – Albert Chew

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Email: albert.chew@atlasam.com.sg

Head of HR and Operations – Joanne Teo

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Email: joanne.teo@atlasam.com.sg

Head of Client Relationship – Eileen Tan

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Aviva Investors Asia Pte Limited

Company Profile

Aviva Investors is a global asset management business dedicated to building and providing investment solutions for clients which include local government organisations, pension funds, wholesale and retail banks, insurance companies, charities and private wealth managers. We employ over 1,000 people in 15 countries. With assets under management in excess of USD 371 billion (as at 30 June 2013) across a range of fixed income, equity, real estate, money market and alternative strategies, our clients benefit not only from our unique access to, and experience of, local markets but also from the availability of considerable global resources.

Staff Strength (in Singapore) 63 (as at 30 Jun 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 371 billion (as at 30 Jun 2013)
2) Managed in Singapore SGD 6.046 billion (as at 30 Jun 2013)

Products/Services

1) Provided by Group (Worldwide)

Aviva Investors is fully committed to first-rate management across a range of asset classes, namely, fixed income, real estate, equity, and global investment solutions.

2) Provided in Singapore

As Above

Business Contact/Client Services

Business contact: 6 Temasek Boulevard #32-08
 Suntec Tower Four
 Singapore 038986

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 Tel: (65) 6491 0560
 Fax: (65) 6491 0577
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 Website: www.avivainvestors.sg

Management

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Chief Executive, Asia Pacific Real Estate – Ian HALLY

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AXA Investment Managers Asia (Singapore) Limited

Company Profile

AXA Investment Managers is a multi-expert asset management company within the AXA Group, a global leader in financial protection and wealth management. AXA IM is one of the largest European-based asset managers with approximately US\$725 billion in assets under management as of the end of September 2013. AXA IM employs over 2,100 people around the world and operates out of 22 countries.

AXA Investment Managers Asia has been operating in Asia since 1998. With offices in Hong-Kong, Beijing, Singapore, Sydney, Tokyo as well as joint-ventures in Shanghai, Seoul and Mumbai, AXA IM has a wide presence in Asia.

Staff Strength (in Singapore) 23

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 725 billion
- 2) **Managed in Singapore** USD 7.5 billion

Products/Services

- 1) **Provided by Group** (Worldwide)
 - Multi Assets Clients Solutions, Fixed Income, Equity and Alternatives
- 2) **Provided in Singapore**
 - Fixed Income and Equity

Business Contact/Client Services

Business contact: One George Street #14-02/03
Singapore 049145

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Management

Pan Asia CIO – Kevin Chen
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Head of Asia Pacific – Jean-Pierre Leoni
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Baker&McKenzie.Wong&Leow

Company Profile

As Baker & McKenzie's member firm in Singapore, Baker & McKenzie.Wong & Leow is part of the world's leading and most internationally diverse law firm.

With broad global coverage and comprehensive local knowledge gained from 30 years of operating in Singapore, we offer a unique combination of skills to help you effectively manage the opportunities and challenges of operating in Singapore and the rest of Asia.

Baker & McKenzie.Wong & Leow is a joint law venture between Baker & McKenzie and Wong & Leow LLC, a Singapore law firm. This enables us to advise you on both domestic and cross-border issues as one of the few full service law firms in the country. Through Wong & Leow LLC, we have full rights of audience in the Singapore courts and can therefore assist with any contentious issues that you may be facing.

Staff Strength (in Singapore) 264

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Applicable

Products/Services

- 1) **Provided by Group** (Worldwide) Legal Services
- 2) **Provided in Singapore** Legal Services

Business Contact/Client Services

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Marina Boulevard Centre Tower 1
Singapore 018981

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Management

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General Manager – Danielle Quinell

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Chief Operating Officer – Edmund Ng

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BlackRock (Singapore) Limited

Company Profile

BlackRock is one of the world's leading providers of investment, advisory and risk management solutions.

As at 30 September 2013, BlackRock's assets under management totalled US\$4.096 trillion. BlackRock offers products that span the risk spectrum to meet clients' needs, including active, enhanced and index strategies across markets and asset classes. Through BlackRock Solutions® – the natural evolution of our long-standing investment in developing sophisticated and highly integrated systems – we offer risk management, strategic advisory and enterprise investment system services to a broad base of institutional investors.

Staff Strength (in Singapore) 63 (as of 30 Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 4.096 trillion (as of 30 Sep 2013)
2) Managed in Singapore USD 11.2 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

BlackRock is a provider of global investment management services which include equity, fixed income, cash management, alternative investment, real estate, advisory strategies and Exchange-Traded Funds. BlackRock offers a wide range of investment solutions reflecting the varied needs and preferences of our clients, including rigorous fundamental and quantitative active management designed to maximize alpha, to highly efficient indexing strategies designed to gain efficient exposure to the world's capital markets. Through BlackRock Solutions® we offer risk management, strategic advisory and enterprise investment system services. BlackRock also provides transition management services.

2) Provided in Singapore

All BlackRock group products and services (refer above).

Business Contact/Client Services

Business contact: 20 Anson Road #18-01
Twenty Anson
Singapore 079912

Contact person: Mr Kevin Hardy – Managing Director
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Email: kevin.hardy@blackrock.com
Website: www.blackrock.com

Management

Managing Director – Kevin Hardy
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Email: kevin.hardy@blackrock.com

Director – James Phoon
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Email: james.phoon@blackrock.com



BNP Paribas Investment Partners Singapore Limited

Company Profile

BNP Paribas Investment Partners (BNPP IP) is the dedicated autonomous asset management business of the BNP Paribas Group. BNP Paribas Investment Partners offers the full range of investment management services to both institutional and retail clients around the world. A client-centric organisation, BNPP IP is structured around three major axes: Institutional, Distribution and Asia Pacific & Emerging. More than 750 investment professionals work across our various business lines, each specialising in a particular asset class or type of product. With total assets under management of €473 billion as of 30 September 2013, BNP Paribas Investment Partners is one of the largest investment management firms in the world.

Source: BNP Paribas Investment Partners, as of 30 September 2013.

Staff Strength (in Singapore) 20 (as of 7 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 640 billion (as of 30 Sep 2013)
2) Managed in Singapore USD 1.58 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

- Multi-expertise investment capabilities: BNP Paribas Asset Manager, the largest BNPP IP Partner, encompasses the major asset classes with investment teams operating around the globe
- Specialist investment Partners: these focus on a particular asset class or field (mainly alternative and multi-management), operating as boutique-like structures
- Local and regional solution providers: local asset managers covering a specific geographical region and/or clientele (the majority in emerging markets)

2) Provided in Singapore

- Asian fixed income
- Manager and fund selection, as well as model portfolio advisory
- Segregated portfolio and Collective Investment Schemes

Business Contact/Client Services

Business contact: 10 Collyer #33-01
Ocean Financial Centre
Singapore 049315

Contact person: Ms Adeline Ng / Mr Tan Puay Lit
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Email: Sing_enquiries@asia.bnpparibas.com
Website: www.bnpparibas-ip.com.sg

Management

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Deputy CEO/Head of Institutional Sales, South East Asia – Tan Puay Lit
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Head of Private Wealth, Asia Pacific – Christian Bucaro
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Email: christian.bucaro@asia.bnpparibas.com

Head of Sales, Asia Pacific (FundQuest Advisor) – Sebastien Cabanel
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Chief Financial Officer – Janardan Mishra
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Compliance – Winnie Foo
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Marketing – Ginny Shi
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Email: ginny.shi@asia.bnpparibas.com



Brandes Investment Partners (Asia) Pte. Ltd.

Company Profile

Brandes Investment Partners (Asia) Pte Ltd (“Brandes Asia”) was incorporated in 2012 and has received a Capital Markets Services License under the Securities and Futures Act of Singapore. As may be permitted under local law, Brandes Asia will provide portfolio management services to clients throughout Asia. Brandes Asia will rely on research and portfolio construction activities conducted by Brandes Investment Partners, L.P. (“Brandes”).

Brandes, founded in 1974, is a leading investment advisory firm, applying a Graham & Dodd, value-oriented approach to global markets, to manage equity and fixed income assets for a broad range of institutional and private clients worldwide. With a focus on company-specific research and analysis, they seek to identify companies whose stock prices are trading below Brandes’ estimates of their underlying business values. Consistently applied within a long-term context, portfolios are fully invested. They follow their time-tested value discipline in all market conditions. Reflecting their team-oriented approach, all purchase and sell decisions are made by investment committees

Headquartered in San Diego, California, Brandes and its related entities, in addition to Singapore, have offices in Wisconsin, Dublin, and Toronto, and employ more than 340 staff worldwide.

Staff Strength (in Singapore) 2

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 27 billion
- 2) **Managed in Singapore** Recently licensed

Products/Services

- 1) **Provided by Group** (Worldwide)
Equity and Fixed Income
- 2) **Provided in Singapore**
Equity and Fixed Income

Business Contact/Client Services

Business contact: 12 Marina View
#23-01 Asia Square Tower 2
Singapore 018961

Contact person: Edward Sherman
Email: edward.sherman@brandes.com

Chris Garrett
Email: chris.garrett@brandes.com

Website: www.brandes.com

Management

CEO – Edward Sherman
Email: edward.sherman@brandes.com

Executive Director – Chris Garrett
Email: chris.garrett@brandes.com



Brandywine Global Investment Management (Asia) Pte Ltd

Company Profile

Founded in 1986, Brandywine Global Investment Management, LLC, offers an array of equity, fixed income, and balanced portfolios that invest in U.S., international, and global markets. As of 30 September 2013, Brandywine Global manages USD48 billion in assets. The firm is a wholly owned, independently operated subsidiary of Legg Mason, Inc. (NYSE: LM), and is headquartered in Philadelphia, with satellite offices in San Francisco, Singapore, and London.

¹Brandywine Global Investment Management (Europe) Limited is authorized and regulated by the Financial Services Authority (the "FSA").

Staff Strength (in Singapore) 4

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 48,000.00 million
- 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)

Global/International Fixed Income, Large Cap Value Equity, Absolute Value Equity (Small, Mid, SMID, Micro), and Diversified Value Equity.

Portfolio Management, Research, Trading, Client Service, Marketing, Operations.

2) **Provided in Singapore**

Client Service, Research

Business Contact/Client Services

Business contact: 6 Battery Road #15-01
Singapore 049909

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Management

Director/CEO – John Tsao

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Office Manager/Executive Assistant – Cleo Loh

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Fixed Income Research Analyst – Carol Lye

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Email: carol.lye@brandywineglobal.com

Fixed Income Research Analyst – Glenn Tan

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Email: glenn.tan@brandywineglobal.com



Capital Group

Company Profile

Capital Group is one of the largest and most experienced global investment management organizations. Since 1931, Capital Group has been singularly focused on delivering superior, consistent results for long-term investors using high-conviction portfolios, rigorous research and individual accountability. Today millions of individual and institutional investors around the world trust their long-term assets to Capital Group.

Its members, Capital International, Inc. and Capital Research Company established branch offices in Singapore in 1989 and 2004, respectively. Capital Group provides investment services to institutions based in Asia Pacific (ex Japan) and the Middle East, through Capital International, Inc., and manages assets of the American Funds family, through Capital Research Company.

Staff Strength (in Singapore) 82 (as at 30 Sep 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 1,264 billion (as at 30 Sep 2013)
(AUM managed by Capital Group)
- 2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide)

Capital Group offers an extensive range of investment strategies delivered via segregated mandates and a number of pooled funds. Investment strategies offered would include a range of global equity, multiregional equity, regional equity, fixed income and objective-oriented mandates.

2) **Provided in Singapore**

Not Applicable

Business Contact/Client Services

Business contact: One Raffles Quay
33rd Floor North Tower
Singapore 048583

Contact person: Mr Jan Kees van Heusde
– Relationship Manager & Senior Vice President
Tel: (65) 6437 0641
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Ms Agnes Tan – Assistant Vice President
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Management

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Senior Vice President, Legal – Pierre-Marie Bouvet
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CFA Singapore

Company Profile

Established in September 1987, CFA Singapore (formerly known as the Singapore Society of Financial Analysts -SSFA) is a professional society that brings together practitioners in the investment and fund management industry in Singapore. Its principal objective is to promote and uphold professional standards and ethical practice in financial analysis and investment management in Singapore. CFA Singapore is the 8th largest Member Society of CFA Institute. With over 3,300 members, CFA Singapore is a founding member of the Investment Management Association of Singapore (IMAS).

Staff Strength (in Singapore) 7

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Applicable

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Applicable

- 2) **Provided in Singapore**

The Society runs a whole host of programmes for members, CFA candidates and also the investment community, including Professional Development talks and seminars, Networking sessions, CFA information sessions and examination review classes, and Career Development talks.

Business Contact/Client Services

Business contact: 10 Shenton Way #13-02
MAS Building
Singapore 079117

Contact person: Francis Er
Tel: (65) 6227 8560
Fax: (65) 6323 7657
Email: ed@cfasingapore.org
Website: www.cfasingapore.org

Management

President – Tan Chin Hwee, CFA

Email: president@cfasingapore.org

Deputy President – Paul Bernard, CFA

Email: paul@bernardventures.com

Honorary Treasurer – Marcus Lam, CFA

Email: marcus.hc.lam@sg.pwc.com

Honorary Secretary – Lim Liying, CFA

Email: limly@slfsa.com.sg



Chan & Goh LLP

Company Profile

Our law firm specializes in providing legal services to the fund management industry since its establishment in 2000. We assist in the establishment and registration of Singapore-domiciled and offshore funds and collective investment schemes for both retail investors and accredited/institutional investors, including exchange traded funds (ETFs), hedge funds, private equity funds, alternative investment funds, venture capital funds, business trusts and real estate investment trusts. We provide advisory services, including licensing and compliance issues, and assist in drafting various corporate agreements. We work closely with the MAS on regulatory issues and regulated funds and the SGX in relation to ETFs.

Staff Strength (in Singapore) 10

Assets under Management

1) **Managed by Group** (Worldwide) Not Applicable
2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide) Not Applicable
2) **Provided in Singapore** Legal services

Business Contact/Client Services

Business contact: 50 Craig Road #03-01
Singapore 089688

Contact person: Colin Chan / Jocelyn Yeo
Tel: (65) 6222 5752 / 98412791 / 97692574
Fax: (65) 6222 4010
Email: colin@changoh.com.sg / jocelyn@changoh.com.sg
Website: www.changoh.com.sg

Management

Managing Partner – Colin Chan
DID: (65) 6222 5752
Email: colin@changoh.com.sg

Partner – Vincent Chan
DID: (65) 6222 5752
Email: vincent@changoh.com.sg

Partner – Goh Boon Ming
DID: (65) 6222 5752
Email: boonming@changoh.com.sg



Chartered Asset Management Pte Ltd

Company Profile

Chartered Asset Management Pte Ltd ("CAM") was established in 1994 as a boutique fund management firm under Regulation 41(1)(e) of the Securities Industry Regulations of Singapore. CAM currently holds a Capital Markets Services Licence for fund management activities under the Securities and Futures Act 2001. Our clientele is strictly "Accredited investors as defined in the Securities and Futures Act (Cap 289).

CAM is headquartered in Singapore and has two wholly owned subsidiaries, Chartered Asset Management (Hong Kong) Pte Ltd and Chartered Asset Management Investment (Mauritius) Pte Ltd.

Staff Strength (in Singapore) 11

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** USD 431.5 million (as at 30 Sep 2013)

Products/Services

- 1) **Provided by Group** (Worldwide) Not Applicable
- 2) **Provided in Singapore** Fund management and advisory services

Business Contact/Client Services

Business contact: 51 Emerald Hill Road
Singapore 229327

Contact person: Colin Lee
Tel: (65) 6835 8863
Fax: (65) 6835 8865
Email: colinlee@cam.com.sg
Website: www.cam.com.sg

Management

Managing Director – Mr Colin Lee

DID: (65) 6835 8863

Email: colinlee@cam.com.sg

Executive Director – Ms Low Siew Kheng

DID: (65) 6835 8860

Email: siewkheng@cam.com.sg



CIMB-Principal Asset Management (S) Pte Ltd

Company Profile

CIMB-Principal Asset Management (S) Pte Ltd was incorporated in Singapore on 18 May 2006 and is wholly owned by CIMB-Principal Asset Management Berhad, which has been managing discretionary and mutual funds in Malaysia for more than 15 years.

CIMB-Principal Asset Management (S) Pte Ltd obtained its Capital Markets Services License in Fund Management on 24 April 2007 and is the Manager for the CIMB FTSE ASEAN 40 and the CIMB S&P Ethical Asia Pacific Dividend Exchange-Traded Funds, Asia ex Japan equity funds (Conventional/Islamic) and Global fund-of-funds.

Staff Strength (in Singapore) 10

Assets under Management

1) Managed by Group (Worldwide) USD 14.18 billion (as of 30 Nov 2013)
2) Managed in Singapore USD 1.01 billion (as of 30 Nov 2013)

Products/Services

1) Provided by Group (Worldwide)

Equity funds, Islamic funds, Bond funds, Cash funds, Warrant funds, Exchange Traded Funds and other products

2) Provided in Singapore

CIMB FTSE ASEAN 40 Exchange-Traded Fund, CIMB S&P Ethical Asia Pacific Dividend Exchange-Traded Fund, Asia ex Japan equity funds (Conventional/Islamic), Global fund-of-funds

Business Contact/Client Services

Business contact: 50 Raffles Place #22-03A
Singapore Land Tower
Singapore 048623

Contact person: Nicholas Yap
Tel: (65) 6210 8490
Fax: (65) 6210 8489
Email: nicholas.yap@cimb.com
Website: www.cimb-principal.com.sg

Management

Chief Executive Officer – Ken Goh Zee Wei
DID: (65) 6210 8488
Email: ken.goh@cimb.com



Citicorp Trustee (Singapore) Limited

Company Profile

With an on-the-ground presence in over 95 countries, Citi is a global leader in domestic and cross-border securities services for the world's top issuers, intermediaries and investors. Citi provides complete investment services for institutional, alternative and wealth managers, delivering middle office, fund services, custody, and investing and financing solutions that are focused on clients' specific challenges and customized to their individual needs.

Citicorp Trustee (Singapore) Limited (CTSL), an indirect wholly-owned subsidiary of Citigroup Inc., is a dedicated legal vehicle established to provide highly professional trustee services to Collective Investment Schemes (CIS) in Singapore.

Staff Strength (in Singapore) Not Stated

Assets under Management

1) Managed by Group (Worldwide) (as of 31 Mar 2013)

Assets under custody and administration: \$13.5 trillion

Assets under administration: \$2.9 trillion

- Funds: \$1.3 trillion
- Alternatives: \$480 billion
- Middle Office: \$1.1 trillion

2) Managed in Singapore

Not Stated

Products/Services

1) Provided by Group (Worldwide)

Please refer to the link for details of product/services offered:

http://www.citigroup.com/transactionservices/home/securities_svcs/index.jsp

2) Provided in Singapore

Not Stated

Business Contact/Client Services

Business contact: 8 Marina View #16-00

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Singapore 081960

Contact person: Alvin Goh

Tel: (65) 6657 5008

Fax: (65) 6657 5612

Email: Alvin.nc.goh@citi.com

Website: www.citigroup.com

Management

Head, Securities and Fund Services – Alvin Goh

DID: (65) 6657 5008

Email: Alvin.nc.goh@citi.com

Director, Client Sales Management – Vanessa Lim

DID: (65) 6657 5006

Email: Vanessa.po.lim@citi.com

Director, Regional Head of Fund Administration and ETF Product – Prasanna Jha

DID: (65) 6657 5607

Email: Prasanna.jha@citi.com

Head, Fiduciary Services – Mei Lee Liew

DID: (65) 6657 5063

Email: Mei.lee.liew@citi.com

City of London Investment Management (Singapore) Pte. Ltd

Company Profile

City of London Investment Group PLC is a leading emerging market and natural resource asset management group, specializing in the provision of products and services predominantly to institutional clients who include some of the leading institutions and endowment funds in the United States and Europe. City of London operates its business from offices in London, US, Dubai and Singapore.

Staff Strength (in Singapore) 7

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 3.3 billion
- 2) **Managed in Singapore** USD 622 million

Products/Services

1) **Provided by Group** (Worldwide)

Emerging Markets Equity, Natural Resource, Global Equity, Frontier Equity

2) **Provided in Singapore**

Not Applicable

Business Contact/Client Services

Business contact: 20 Collyer Quay #10-04
Singapore 049319

Contact person: Glenn Lee – Managing Director Singapore Office
Tel: (65) 6236 9137
Fax: (65) 6532 3997
Email: glenn.lee@citlon.com.sg
Website: www.citlon.co.uk

Management

CEO – Barry Oliff

Director – Carlos Yuste

COO – Tom Griffith

Compliance – Kevin Toland



Clifford Chance Pte Ltd

Company Profile

Clifford Chance is one of the world's leading law firms, with 36 offices in 26 countries. The firm has legal resources across the key markets of Africa, the Americas, Asia Pacific, Europe and the Middle East and in the core areas of commercial activity such as capital markets, corporate and M&A, finance and banking, real estate, tax, pensions and employment, and litigation and dispute resolution.

In Asia Pacific, Clifford Chance has offices in Bangkok, Beijing, Hong Kong, Perth, Seoul, Shanghai, Singapore, Sydney and Tokyo.

Clifford Chance was awarded the Qualifying Foreign Law Practice (QFLP) licence in 2008, allowing the firm to provide Singapore law advice across the existing areas of practice.

Staff Strength (in Singapore) Over 130

Assets under Management

1) **Managed by Group** (Worldwide) Not Applicable
2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide) Legal Services
2) **Provided in Singapore** Legal Services

Business Contact/Client Services

Business contact: 12 Marina Boulevard
25th Floor Tower 3
Marina Bay Financial Centre
Singapore 018982

Contact person: Wern Sern Bin
Tel: (65) 6506 2761
Fax: (65) 6410 2288
Email: wernsern.bin@cliffordchance.com
Website: www.cliffordchance.com

Management

Managing Partner – Geraint Hughes

DID: (65) 6410 2212

Email: geraint.hughes@cliffordchance.com

Partner – Kai-Niklas Schneider

DID: (65) 6410 2255

Email: kai.schneider@cliffordchance.com



Daiwa Asset Management (Singapore) Ltd.

Company Profile

Daiwa Asset Management (Singapore) Ltd. was incorporated in 1994. The company's immediate holding corporation is Daiwa Asset Management Co. Ltd., a company incorporated in Japan. The ultimate holding corporation is Daiwa Securities Group Inc., a company also incorporated in Japan.

Daiwa Asset Management is responsible for the Daiwa Securities Group's asset management operations. The company develops and manages investment trust products, and markets them through a multitude of sales channels, including not only Daiwa Securities and other retail securities companies but also major banks and post offices throughout Japan and the defined contribution pension funds of business corporations. The company uses the fund management know-how it has acquired over many years to help investors steadily build their assets and meet their investment goals.

Staff Strength (in Singapore) 17

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 118.17 billion (as at 30 Sep 2013)
- 2) **Managed in Singapore** USD 1.73 billion (as at 30 Sep 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Creates and manages investment trust products

2) **Provided in Singapore**

Provide fund management and investment advisory services

Business Contact/Client Services

Business contact: 3 Phillip Street #16-04
Royal Group Building
Singapore 048693

Contact person: Mr Hiroyuki Chida
Tel: (65) 6223 6712
Fax: (65) 6223 8629
Website: www.daiwa-am.co.jp

Management

Managing Director – Hiroyuki Chida
DID: (65) 6223 6712

Daiwa SB Investments (Singapore) Ltd.

Company Profile

Daiwa SB Investments (Singapore) Ltd, is a wholly owned subsidiary of Daiwa SB Investments Ltd. Tokyo, an asset management subsidiary of two major financial institutions in Japan namely Daiwa Securities Group and Sumitomo Mitsui Financial Group. We are the leading globally operating asset management company in Japan.

We provide professional asset management services such as active global equity and fixed income as well as regional equity and fixed income products to institutional investors worldwide including governments, central banks, corporations, pension funds and trust funds.

Staff Strength (in Singapore) 10

Assets under Management

- 1) Managed by Group** (Worldwide) USD 52 billion
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

Equities – Global, Asia, Pacific Basin, Japanese, Europe, US, Emerging markets
 Fixed Income – Global Bond, Balanced Bond, Japanese Bonds Hedge funds of funds

2) Provided in Singapore

Asian Equities – Singapore, Malaysia, Thailand, Indonesia, Philippines, India, Australia and New Zealand

Business Contact/Client Services

Business contact: 152 Beach Road #06-01/02
 The Gateway East
 Singapore 189721

Contact person: Mr Tadashi Sato
 Tel: (65) 6297 6811
 Fax: (65) 6297 6822
 Email: tadashi_sato@daiwasbi.com.sg
 Website: www.daiwasbi.co.jp/english/index/html

Management

Managing Director – Mr Tadashi Sato
 DID: (65) 6297 5688
 Email: tadashi_sato@daiwasbi.com.sg



Deutsche Asset Management (Asia) Limited

Company Profile

Deutsche Asset & Wealth Management offers individuals and institutions traditional and alternative investments across all major asset classes. Deutsche Asset Management (Asia) Limited is the asset management entity in Singapore within Deutsche Asset & Wealth Management.

Staff Strength (in Singapore) 39 (as of 30 Apr 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 786 billion (as of 31 Oct 2013)
2) Managed in Singapore USD 2,372 million (as of 31 Oct 2013)

Products/Services

1) Provided by Group (Worldwide)

DeAWM operates four lines of business aligned under a global strategy.

1. Active Investment Management provides innovative retail investment products, including mutual funds and structured funds, for retail clients, institutions, pension funds, insurance companies and sovereign wealth funds as well as (U)HNWI.
2. Passive Asset Management brings together the origination, arrangement and distribution of structured investment products, systematic and Beta/Beta+ strategies, across assets and product wrapper to institutional and private investors.
3. The Alternatives and Real Assets platform includes the real estate (private and securities), infrastructure (private and securities), commodities and clean technology private equity investment businesses of DeAWM.
4. The Alternatives and Fund Solutions platform provides investment solutions across a range of alternative asset classes and vehicles, including hedge funds; fund derivatives and financing; private equity and private markets; and retirement products.

2) Provided in Singapore

As Above

Business Contact/Client Services

Business contact: One Raffles Quay #20-00
South Tower
Singapore 048583

Contact person: Siok Kuan Tham – Chief Executive Officer
Tel: (65) 6423 6795
Fax: (65) 6534 7406
Email: Siok-kuan.tham@db.com
Website: www.deam-singapore.com

Management

CEO and Head of Fixed Income, Singapore – Siok Kuan Tham
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Email: siok-kuan.tham@db.com

Head of Asset Management Coverage South Asia, Global Client Group
– Rajan Raju
DID: (65) 6423 5097
Email: rajan.raju@db.com

Head of Equity – Scott Jaffray
DID: (65) 6423 5487
Email: scott.jaffray@db.com

Head of Compliance – Emma Eun Jeong Jang
DID: (65) 6423 5448
Email: eun-jeong.jang@db.com



Duxton Asset Management Pte Ltd

Company Profile

Duxton Asset Management (“Duxton”) is a specialized asset manager, with leading expertise in agricultural land and securities and emerging markets. Duxton has approximately USD 650 million in Assets Under Management (“AUM”) through a number of investment and advisory mandates.

Duxton holds a Capital Markets Services License issued by the Monetary Authority of Singapore to conduct fund management for accredited and/or institutional investors.

Staff Strength (in Singapore) 22

Assets under Management

Duxton has approximately USD 650 million of assets under management and advisory. This includes a segregated mandate by a large European pension fund, one of the most sophisticated global investors in agricultural sector, to invest EUR 150 million of its assets in agriculture and food production related Assets.

- 1) **Managed by Group** (Worldwide) USD 650 million
- 2) **Managed in Singapore** USD 650 million

Products/Services

1) **Provided by Group** (Worldwide)

Duxton Mandates

1. Duxton has been appointed by Deutsche Asset Management (Asia) Limited as the delegated investment manager for DWS Vietnam Fund and the DWS Global Agricultural Land & Opportunities Fund.
2. Duxton runs a non-discretionary mandate with a large European pension fund to invest into agricultural and food production related assets.
3. Duxton also runs a number of non-discretionary mandates for direct agricultural investments.

Duxton Products

1. Duxton Agricultural Land Fund – focusing on direct agricultural investments.
2. Orchid Funds SICAV – Duxton Agricultural Commodities and Equities Sub-Fund – a UCI Part II fund domiciled in Luxembourg that focuses on listed agricultural and commodity assets.
3. Duxton Pagoda Fund – focusing on listed equities in Vietnam & ASEAN.
4. DMS Platform SICAV-SIF – Duxton Global Agricultural Fund (previously Duxton Agricultural Land Trust) – a SICAV-SIF fund domiciled in Luxembourg that focuses on fund of fund and other listed agricultural investments.
5. DMS Platform SICAV-SIF – The Real Asset Fund – a SICAV-SIF fund domiciled in Luxembourg that focuses on fund of fund and listed equities in various sectors and commodity assets.

2) Provided in Singapore

As Above

Business Contact/Client Services

Business contact: 40 Duxton Hill
Level 2 and Level 4
Singapore 089618

Contact person: Jessie Goh
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Fax: (65) 6220 7956
Email: jessie.goh@duxtonAM.com
Website: www.duxtonAM.com

Management

Group Chairman – Edouard Peter
DID: (65) 6511 0351
Email: ed.peter@duxtonAM.com

Chief Executive Officer– Stephen Duerden
DID: (65) 6511 0363
Email: stephen.duerden@duxtonAM.com

Managing Director/Chief Investment Officer – Desmond Sheehy
DID: (65) 6511 0368
Email: desmond.sheehy@duxtonAM.com



Eastspring Investments (Singapore) Limited

Company Profile

Eastspring Investments, part of Prudential Corporation Asia, is UK-based Prudential plc asset management business in Asia. It is one of the region's largest asset managers, with operations in 14 markets (including offices in US and Europe) with about US\$97 billion (£60 billion) in assets under management (as at 30 September 2013). Eastspring Investments (Singapore) Limited was established in Singapore in 1994 and is a wholly-owned subsidiary of Prudential plc. It manages a wide range of funds across various asset classes to service both retail and institutional clients. The company houses the regional investment team and is the largest of its 11 Asia offices with just under 300 staff members.

Staff Strength (in Singapore) 279 (as at 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide)

Prudential plc has about US\$647.63 billion (£427 billion) in assets under management (as at 30 Jun 2013).

2) Managed in Singapore

Eastspring Investments (Singapore) Limited has approximately US\$63.21 billion in funds under management, of which approximately US\$44.76 billion are discretionary funds managed in Singapore (as at end Sep2013).

Products/Services

1) Provided by Group (Worldwide)

Prudential plc is a leading financial services group and has been in existence for over 160 years. It provides insurance and financial services directly and through its subsidiaries and affiliates throughout the world.

2) Provided in Singapore

Eastspring Investments (Singapore) Limited's service offerings include a wide variety of investment capabilities across a range of asset classes, which include:

- Asia Equity
- Fixed Income
- Global Emerging Market Equity
- Global Asset Allocation
- Private Equity

Business Contact/Client Services

Business contact: 10 Marina Boulevard #32-01
Marina Bay Financial Centre Tower 2
Singapore 018983

Contact person: Jackie Chew – Chief Executive Officer, Singapore
Tel: (65) 6349 9100 (General)
Fax: (65) 6509 5382
Website: www.eastspringinvestments.com.sg

Management

Chief Executive Eastspring Investments
– Guy Strapp

Chief Executive Officer Eastspring Investments (Singapore) Limited
– Jackie Chew

Company Profile

Ernst & Young is a global leader in assurance, tax, transaction and advisory services. Worldwide, our 167,000 people are united by our shared values and an unwavering commitment to quality. We have nearly 46,000 people serving financial services clients globally, of whom 18,000 belong to our dedicated financial services organizations (FSO). There are four FSO Regions (one for each Area) that bring together the geographic, sector, service line and regulatory strengths in financial services. The FSOs include three of our industry sectors (Asset Management, Banking & Capital Markets and Insurance) and provide clients with integrated assurance, tax, transaction and advisory services.

Staff Strength (in Singapore) more than 2,000 (100 partners)

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide)

Ernst & Young is a leader in serving the global financial services marketplace. Our dedicated financial services industry professionals around the world provide integrated services to our three financial services segments: Asset Management, Banking and Capital Markets, and Insurance clients. With a global presence and industry-focused advice, Ernst & Young's financial services provide high-quality assurance, tax, transaction and advisory services, including operations, process improvement, risk and technology, to financial services companies worldwide.

2) **Provided in Singapore**

In Singapore, we have a history of 124 years, with 100 partners and over 2,000 people offering assurance, tax, transaction and advisory services. Our client portfolio includes blue-chip multinational corporations, public listed companies and public sector organisations across various industries.

We have a broad asset management client base comprising an extensive portfolio of fund managers managing or advising global funds as well as start-up funds. We provide extensive, value-added advice particularly to boutique fund managers striking out on their own, providing the necessary support in helping them through the initial stages of setting up, liaising with the legal advisors and the regulators. Through our extensive experience and exposure to the various challenges faced by our clients, we have a comprehensive and in-depth understanding of the demands of the market place and the regulatory requirements.

Business Contact/Client Services

Business contact: One Raffles Quay
North Tower, Level 18
Singapore 048583

Contact person: Brian Thung
Tel: (65) 6309 6227
Fax: (65) 6532 7662
Email: Brian.Thung@sg.ey.com
Website: www.ey.com

Management

Country Managing Partner – Max Loh
DID: (65) 6309 8828
Email: Max.Loh@sg.ey.com

Head of Financial Services Office Singapore – Winston Ngan
DID: (65) 6309 6918
Email: Winston.Ngan@sg.ey.com



FIL Investment Management (Singapore) Limited

Company Profile

Fidelity Worldwide Investment is a global leader in asset management, providing investment products and services to individuals and institutions in the UK, continental Europe, the Middle East and Asia Pacific. Established in 1969, the company has over 6,000 staff in 24 countries and manages or administers client assets of US\$329bn*. It has over 7 million customer holdings and manages about 700 equity, fixed income, property and asset allocation funds. The company's fund managers receive research from one of the largest proprietary research teams, based in 12 countries around the world. Fidelity Worldwide Investment is an independent asset management company which is privately owned.

**as at 30 September 2013. Includes US\$260 assets under management and US\$69 administration*

Staff Strength (in Singapore) Not Stated

Assets under Management

- | | |
|--|--|
| 1) Managed by Group (Worldwide) | USD 260 under management and USD 69 under administration (as at 30 Sep 2013) |
| 2) Managed in Singapore | Not Stated |

Products/Services

1) Provided by Group (Worldwide)

Fidelity provides has a range of investment solutions covering all regions, industrial sectors and asset classes. This includes equity, fixed income, cash, multi-asset, real estate and active extension strategies.

2) Provided in Singapore

Fidelity in Singapore provides a broad range of over 80 funds under the SICAV umbrella. We also manage segregated mandates and discretionary portfolios.

Business Contact/Client Services

Business contact: 8 Marina View #35-06
Asia Square Tower 1
Singapore 018960

Contact person: Yunar Teo
Tel: (65) 6511 2211
Fax: (65) 6536 1960
Email: Yunar.teo@fil.com
Website: www.fidelity.com.sg

Management

Managing Director – Mike Nikou
DID: (65) 6511 2207



First State Investments (Singapore)

Company Profile

First State Investments is the international operation of Colonial First State Global Asset Management ('CFSGAM'), and the specialist asset management business of the Commonwealth Bank of Australia. CFSGAM has offices in Sydney, Melbourne, Auckland, London, Edinburgh, Frankfurt, Paris, New York, Hong Kong, Singapore, Jakarta and Tokyo.

First State Investments is represented in China as First State Cinda, which is a joint venture with China Cinda Asset Management Corporation. The joint venture's head office is located in Shenzhen, China, with a branch office in Beijing.

First State Investments is a signatory to the UN Principles of Responsible Investment since March 2007 with a dedicated sustainability and responsible investment team.

Staff Strength (in Singapore) 50

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 167.5 billion (as at 30 Sep 2013)
- 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)

First State Investments offers investment solutions to institutional, intermediary and retail investors globally across a diverse range of asset classes. These include Australian equities, worldwide equities, Asia Pacific and global emerging markets equities, global resources equities, global property securities, global listed infrastructure securities, direct infrastructure investments, global fixed interest and credit, emerging market debt and short term investments, multi-asset solutions and asset allocation strategies.

2) **Provided in Singapore**

In Singapore, First State Investments provides access to the Group's investment capabilities. In particular, Asia-Pacific and global emerging markets equities, worldwide equities, Asian fixed interest and multi-asset solutions including asset allocation strategies are managed out of Singapore.

In addition, we currently offer 14 Singapore-registered collective investment schemes and 28 restricted schemes. These include award-winning funds such as First State Dividend Advantage, First State Bridge, First State GEM Leaders, and First State Regional China Fund.

Business Contact/Client Services

Business contact: 1 Temasek Avenue #17-01
Millenia Tower
Singapore 039192

Contact person: Alexis Ng – Managing Director, Southeast Asia
and Head of Distribution, Asia
Tel: (65) 6538 0008
Fax: (65) 6538 0800
Email: alexis.ng@firststate.com.sg
Website: www.firststateinvestments.com

Management

Managing Director, South East Asia and Head of Distribution, Asia – Alexis Ng
Email: alexis.ng@firststate.com.sg

Global Head of Equity Dealing – Todd Prado
Email: todd.prado@firststate.com.sg

Head of Finance, Asia – Adrianus Djayawinata
Email: adrianus.djayawinata@firststate.com.sg



Firth Investment Management Pte Ltd

Company Profile

Firth Investment Management Pte Ltd, a company incorporated in Singapore, is an independent investment manager focused exclusively on investing in listed smaller capitalisation Asia ex-Japan companies. It holds a Capital Markets Services License issued by the Monetary Authority of Singapore and is thereby licensed under the Securities and Futures Act to conduct the regulated activity of fund management.

Staff Strength (in Singapore) 7

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** USD 182 million

Products/Services

- 1) **Provided by Group** (Worldwide) Not Applicable
- 2) **Provided in Singapore** Investment Management

Business Contact/Client Services

Business contact: 180 Cecil Street #13-03
 Bangkok Bank Building
 Singapore 069546

Contact person: Simon Rigby
 Tel: (65) 6327 5972
 Fax: (65) 6410 9661
 Email: simon.rigby@firthinvestment.com
 Website: www.firthinvestment.com

Management

Director, CIO – Richard Firth
 DID: (65) 6327 5982
 Email: richard.firth@firthinvestment.com

Director – John McCartney
 DID: (65) 6327 5981
 Email: john.mccartney@firthinvestment.com

Director, CEO – Simon Rigby
 DID: (65) 6327 5972
 Email: simon.rigby@firthinvestment.com



Foord Asset Management (Singapore) Pte. Limited

Company Profile

Foord Asset Management (Singapore) Pte. Limited is part of Foord Asset Management ("Foord"), which is a global investment boutique with operations in Singapore, Cape Town and Luxembourg. Founded by Dave Foord, in 1981, it is grounded in private client management, has a vision for long-term investment stewardship and has over 30 years experience in managing money with an absolute return focus. The group manages asset allocation and single asset class portfolios exceeding USD 10 billion for institutional and retail investors. Foord's flagship UCITS product is the USD 2 billion Foord International Fund, a Luxembourg-domiciled fund with a superb 16 years track record.

Staff Strength (in Singapore) 8 (as at 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 10 billion (as at 30 Nov 2013)

2) Managed in Singapore USD 3.3 billion (as at 30 Nov 2013)

Products/Services

1) Provided by Group (Worldwide)

UCITS, Collective Investments Schemes in South Africa, Singapore domiciled fund and segregated investment mandates.

- Foord Balanced Fund
- Foord Flexible Fund of Funds
- Foord International Feeder Fund
- Foord International Fund
- Foord International Trust
- Foord Equity Fund
- Foord Global Equity Fund (Luxembourg)
- Foord Global Equity Fund

2) Provided in Singapore

Investment management services to Foord Global Equity Fund.

Investment advisory services to Foord UCITS Funds domiciled in Luxembourg.

Business Contact/Client Services

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UOB Plaza
Singapore 048624

Contact person: Prakash Desai – COO and Director
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Fax: (65) 6521 1109
Email: info@foord.com.sg / prakash.desai@foord.com.sg
Website: www.foord.com

Management

Founder/Director – David Foord
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Email: dave@foord.com.sg

CEO and Director – Carolyn Levin
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Email: carolyn.levin@foord.com.sg

COO and Director – Prakash Desai
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Email: prakash.desai@foord.com.sg

CIO – Vincent Ee
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Email: vincent.ee@foord.com.sg



Fullerton Fund Management Company Ltd

Company Profile

Fullerton Fund Management ("Fullerton") is an investment specialist, with expertise across all asset classes, including equities, fixed income, money market, currencies and alternatives. Headquartered in Singapore, Fullerton is also supported by offices in China and Japan.

Prior to its establishment in 2003, the fund management team operated as an in-house fund management division of Temasek Holdings. Fullerton is regulated by the Monetary Authority of Singapore.

Staff Strength (in Singapore) 98 (as at 31 Oct 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 10 billion (as at 31 Oct 2013)

2) Managed in Singapore See Worldwide

Products/Services

1) Provided by Group (Worldwide)

Fullerton manages Asian and emerging market mandates for institutional and retail clients across equities, fixed income, money markets and alternatives.

2) Provided in Singapore

See Worldwide

Business Contact/Client Services

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Tower 2, The Atrium@Orchard
Singapore 238891

Contact person: Business Development Group
Tel: (65) 6828 6100
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Email: info@fullerton.com.sg
Website: www.fullertonfund.com

Management

Chief Executive and Investment Officer – Manraj Sekhon

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Email: manrajsekhon@fullerton.com.sg

Chief Operating Officer – Wah Geok Sum

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Email: geoksum@fullerton.com.sg

Head of Business Development – Trevor Chudleigh

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GMO Singapore Pte Limited

Company Profile

GMO, founded in 1977, is a privately held global investment management firm servicing clients in the corporate, public, endowment and foundation marketplaces. We manage client assets using a blend of traditional judgments with innovative quantitative methods to find undervalued securities and markets.

Our success in consistently providing value added performance across a broad range of asset classes is founded on several key factors that include: discipline, value orientation, investment research and constant innovation. Our broad-based value added over benchmarks has led many of our clients to request that we allocate assets and advise them on a global scale.

We serve our global client base from our headquarters in Boston and offices in San Francisco, London, Amsterdam, Zurich, Singapore and Sydney. GMO employs more than 100 investment professionals and more than 500 employees worldwide.

Staff Strength (in Singapore) 13

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 112 billion (as of 30 Sep 2013)
- 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)

GMO is a leading provider of investment strategies including equity and fixed income strategies across global developed and emerging markets, asset allocation, forestry and absolute return strategies.

2) **Provided in Singapore**

As Above

Business Contact/Client Services

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Singapore 049909

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Management

Managing Director – Glen Howard

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Director – Jonathan Goll

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Email: jonathan.goll@gmo.com

Business and Compliance Manager – Cindy Tan

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Goldman Sachs Asset Management

Company Profile

Goldman Sachs Asset Management is the asset management arm of The Goldman Sachs Group, Inc. (NYSE: GS), which manages US\$767.4 billion as of 30 September 2013. Goldman Sachs Asset Management has been providing discretionary investment advisory services since 1988 and has investment professionals in all major financial centers around the world. The company offers investment strategies across a broad range of asset classes to institutional and individual clients globally. Founded in 1869, Goldman Sachs is a leading global investment banking, securities and investment management firm, providing a wide range of services worldwide to a substantial and diversified client base that includes corporations, financial institutions, governments and high net worth individuals. Our goal is to provide our clients with cutting edge investment products, which are managed in an environment of rigorous risk management. Given that Singapore is the hub for the firm's operations throughout South East Asia, GSAM maintains a significant presence in Singapore.

Staff Strength (in Singapore) 103 (as of 30 Sep 2013)

Assets under Management

- 1) Managed by Group** (Worldwide) USD 767.4 billion (as of 30 Sep 2013)
(Firmwide AUM includes assets managed by GSAM and its investment advisory affiliates)
- 2) Managed in Singapore** USD 2.9 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

GSAM offers products in Fundamental Equity, Quantitative Investment Strategies, Global & US Fixed Income, and Alternative Investments; and Advisory services. All GSAM activities are subjected to and supported by: Firmwide and GSAM Risk Management teams, Operations, Control, and other supporting professionals & structures.

2) Provided in Singapore

Fundamental Equity Portfolio Management, Fundamental Equity Trading and Portfolio Construction, Fixed Income Portfolio Management, Fixed Income Trading, Quantitative Equity Portfolio Management, Central Trading, GSIP*, Sales and Marketing, Business Development Services, Product Development, Consultant Relations, Compliance, Technology and Operations.

**Goldman Sachs Investment Partners' (GSIP) is GSAM's alternative investment platform focused on public and private investments globally*

Business Contact/Client Services

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South Lobby
Singapore 039393

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Website: www2.goldmansachs.com/who-we-are/locations/index.html#Singapore

Management

Managing Director – Sheila Patel
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Managing Director – Divyata Ashiya
DID: (65) 6889 1000

Managing Director – Paul Burgess
DID: (65) 6889 1000

Managing Director – Kevin Ohn
DID: (65) 6889 1000

Managing Director – Gerald Peterson
DID: (65) 6654 1000

Managing Director – Owi Ruivivar
DID: (65) 6889 1000

Managing Director – Prashant Khemka
DID: (65) 6889 1000



Havenport Asset Management Pte Ltd

Company Profile

Havenport Asset Management is an Asia Pacific Equities specialist, headquartered in Singapore. Havenport was incorporated in July 2010 and received its Capital Market License from the MAS on 1 February 2011. We are 100% employee owned. All of our resources are devoted to alpha generation, and to providing exceptional service to our clients.

Staff Strength (in Singapore) 14

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Stated
- 2) **Managed in Singapore** USD 1.0 billion (as of 31 Oct 2013)
in Asia Pacific ex Japan equities

Products/Services

- 1) **Provided by Group** (Worldwide) Not Stated
- 2) **Provided in Singapore** Regional Asia Pacific ex Japan equities

Business Contact/Client Services

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Singapore 179934

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Management

Managing Partner – Patrick Tan
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Managing Partner – Joseph Tern
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Partner – Valerie Ang
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Henderson Global Investors (Singapore) Limited

Company Profile

Founded in 1934, Henderson is a leading investment manager, providing a wide range of investment products and services to institutions and individuals in Asia, Europe and North America. Henderson manages over US\$114.7 billion (as at 30 September 2013) across multiple asset classes (equities, fixed income, private equity and property) and employs around 1,000 people worldwide.

Since July 1995, the Singapore office has been Henderson's headquarters for Asia-Pacific investment, with the dual purpose of managing and monitoring local investment opportunities and attracting new funds to manage from the region.

Staff Strength (in Singapore) 42

Assets under Management

1) Managed by Group (Worldwide) USD 114.7 billion (as at 30 Sep 2013)
2) Managed in Singapore USD 5.6 billion (as at 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Equities, Fixed Income, Hedge Funds, Private Equity and Property

2) Provided in Singapore

Pan Asian Equities, Private Equity, Hedge Funds and Property

Business Contact/Client Services

Business contact: 6 Battery Road #12-01
 Singapore 049909

Contact person: Alexander Henderson – Managing Director, Asia
 Email: alexander.henderson@henderson.com

Richard Clarke – Director, Institutional Marketing
 Email: richard.clarke@henderson.com

Hur Ming Wong – Head of Retail Sales, Singapore
 Email: ming.wong@henderson.com

Tel: (65) 6836 3900

Fax: (65) 6221 0039

Website: www.henderson.com.sg

Management

Managing Director, Asia – Alexander Henderson

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Director of Property, Asia – Chris Reilly

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HSBC Global Asset Management (Singapore) Limited

Company Profile

We provide a comprehensive range of investment management solutions and are committed to delivering consistent investment performance, world class service and a broad range of solutions for both Wholesale and Institutional businesses, drawing on internal investment capabilities when appropriate and external managers as required, through our multi-manager capability.

A cornerstone of our strategy is a focus on corporate governance to ensure that we fulfill our fiduciary responsibilities to clients. The aim of focusing on governance in everything we do is to ensure that we are responsibly fulfilling the trust that our clients are placing in us. The interests and indeed ultimate success of our clients is integral to our business strategy and governance approach.

Staff Strength (in Singapore) 22

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 419 billion (as of Sep 2013)
- 2) **Managed in Singapore** USD 4.5 billion (as of Sep 2013)

Products/Services

- 1) **Provided by Group** (Worldwide)
 - Emerging Market Debt, Asian Fixed, Emerging Markets equities etc
- 2) **Provided in Singapore**
 - Asian Fixed, Managed Solutions, Equities etc

Business Contact/Client Services

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 HSBC Building
 Singapore 049320

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 Tel: (65) 6658 2777
 Fax: (65) 6225 4324
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 Website: www.assetmanagement.hsbc.com/sg

Management

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Business Manager – Siddharth Balwalli

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Compliance Head – Suat Lay Ng

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Compliance Manager – Agnes Tan

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Finance Manager – Meng Hong Tok

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HSBC Securities Services

Company Profile

HSBC Securities Services provides comprehensive global, regional and domestic fund services including fund administration, global custody, corporate trust and loan agency and sub-custody settlement and services to the international asset management industry.

Leading services include complex accounting, valuation and web-based investor services for traditional funds, hedge funds, fund of funds and private equity funds; trustee and depository services; performance consultancy services to institutional and corporate clients worldwide as well as cash management, foreign exchange, securities lending, corporate trusteeship, issuing and paying agency services, management and corporate secretarial, compliance and regulatory reporting.

Staff Strength (in Singapore) 223

Assets under Management

1) Managed by Group (Worldwide) Not Applicable
2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide)

Fund accounting and administration, transfer agency services, global custody, sub-custody and clearing and corporate trust and loan agency, as well as providing access to the entire range of industry-leading products and services offered by the HSBC Group.

2) Provided in Singapore

Fund accounting and administration, transfer agency services, global custody, sub-custody and clearing and corporate trust and loan agency, as well as providing access to the entire range of industry-leading products and services offered by the HSBC Group.

Business Contact/Client Services

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Singapore 049320

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Website: www.hsbcnet.com

Management

Chief Executive Officer, HSBC Institutional Trust Services (Singapore) Limited
and Head of HSBC Securities Services, Singapore – Tony Lewis
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Head of Sales and Business Development, HSBC Securities Services, Singapore
– John Butler
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Indea Capital Pte Limited

Company Profile

Indea Capital Pte Limited was established in 2002 and is a dedicated manager committed to provide outstanding investment management services in Indian equities and equities benefiting from the development of India as a major economy.

Staff Strength (in Singapore) Not Stated

Assets under Management

1) Managed by Group (Worldwide) Not Stated
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide) Not Stated
2) Provided in Singapore Not Stated

Business Contact/Client Services

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Singapore 068602

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Website: www.indeacapital.com

Management

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Email: peter@indeacapital.com



ING Investment Management Asia Pacific (Singapore) Ltd

Company Profile

ING Investment Management (ING IM) is a global asset manager with USD238 billion in assets managed for institutions and individual investors worldwide. As the principal asset manager of ING Group, ING IM employs over 1,100 staff and is active in 18 countries across Europe, America, Asia and the Middle East.

ING Investment Management Asia Pacific (Singapore) Ltd has been offering offshore collective investment schemes and segregated mandates in Singapore since 1996 and is responsible for marketing ING's expertise and products to clients in Asia ex Japan. We position Singapore as our Asian investment centre where we manage our flagship Asian debt strategies.

**Figures as of 30 September 2013*

Staff Strength (in Singapore) 23 (as of 1 Oct 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 230 billion (as of 30 Jun 2013)
2) Managed in Singapore USD 7 billion (as of 30 Jun 2013)

Products/Services

1) Provided by Group (Worldwide)

We offer clients investment products and solutions across asset classes, geographies and styles:

- Equity
- Fixed Income
- Multi-Asset

2) Provided in Singapore

As Above

Business Contact/Client Services

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Singapore 018983

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Management

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Head, Institutional Business Development Asia ex Japan – Tan Lih Yann

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Head, Wholesale Business Development Asia ex Japan – Ch'ng Chia Chia

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JL Capital Pte Ltd

Company Profile

JL Capital Pte Ltd is a Singapore based Fund Management company founded by James Loh in June 2002. JL Capital is a holder of the Capital Markets Services License (CMS License) issued by the Monetary Authority of Singapore (MAS) to conduct Fund Management, and has been granted the Foreign Institutional Investors (FII) certification by the Securities and Exchange Board of India (Sebi), which allows JL Capital to invest into the Indian Securities Market through sub-accounts created for each investment entity/ fund managed by JL Capital. JL Capital has built successful hedge fund strategy platforms focusing on Asian markets.

Staff Strength (in Singapore) 10

Assets under Management

1) Managed by Group (Worldwide) USD 165 million (as of 1 Dec 2013)
2) Managed in Singapore USD 165 million (as of 1 Dec 2013)

Products/Services

1) Provided by Group (Worldwide)

Swordfish Fund – Global Macro strategy with an Asian focus

2) Provided in Singapore

Swordfish Fund – Global Macro strategy with an Asian focus

Business Contact/Client Services

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 Singapore 188778

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Management

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 Email: jamesloh@jlcap.com

Director, Finance and Admin – Natalie Loh
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 Email: natalieloh@jlcap.com



JPMorgan Asset Management (Singapore) Limited

Company Profile

J.P. Morgan Asset Management is a division of JPMorgan Chase & Co. and is one of the world's largest asset managers. With a heritage of more than two centuries, a broad range of core and alternative strategies, and investment professionals operating in every major world market, we offer investment experience and insight that few other firms can match.

We have a global network of close to 807 investment professionals located in more than 35 countries worldwide and assets under management of over USD 1.5 trillion (data as at 30 September 2013). This enormous global investment capability is based on a strong local market presence across three regions – Asia Pacific, Europe and the US – and brings together an incredible pool of specialist investment knowledge and expertise which further enhances our capabilities to provide our clients with the very best products.

Our global reach and the breadth of our knowledge and commitment allows us to help investors to reach their financial goals by providing them with a range of professionally managed funds, excellence in investment performance, and the highest quality of client service.

Staff Strength (in Singapore) 49 (as at 30 Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 1.5 trillion (as of 30 Sep 2013)
2) Managed in Singapore USD 7.6 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)
Not Stated

2) Provided in Singapore

A wide range of JPMAM offshore funds have been (i) registered with Monetary Authority of Singapore as recognized schemes in Singapore and (ii) notified with Monetary Authority of Singapore as restricted foreign schemes in Singapore.

In Singapore, we offer global investment management services to institutional clients across the range of asset classes, including equities, fixed income, cash, currency, alternatives, real estate, infrastructure, hedge funds and private equity. We are also included under the Central Provident Fund Investment Schemes (CPFIS) to manage products under the CPFIS.

Business Contact/Client Services

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Singapore 068912

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Steven Billiet – Chief Executive Officer, Singapore
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Fax: (65) 6536 3361
Email: steven.r.billiet@jpmorgan.com

Website: www.jpmorganam.com.sg

Management

Sales

Head of Sovereign and Institutional,
South, South East Asia, Australia and New Zealand – Rachel Farrell

Client Advisor, Sovereign & Institutional,
South & South East Asia – Jason Green

Head of Fund Sales, South East Asia – Brian Tan

Head of Global Liquidity, South Asia – Ben Ford

Investment Managers

Head of Pacific Regional Group, Singapore – Michael Koh

Head of Emerging Market Equity Research, Asia Pacific – Paul Chan

Business

Chief Executive Officer, Singapore – Steven Billiet

Chief Operating Officer, Singapore – Christina Chua



Kotak Mahindra (UK) Limited

Company Profile

Established in 1985, the Kotak Mahindra group is one of India's leading financial services conglomerates. In February 2003, Kotak Mahindra Finance Ltd. (KMFL), the Group's flagship company, received a banking license from the Reserve Bank of India (RBI). With this, KMFL became the first non-banking finance company in India to become a bank – Kotak Mahindra Bank Limited.

The consolidated balance sheet of Kotak Mahindra group is over INR1.14 lakh cr and the consolidated net worth of the Group stands at INR17868cr (approx. USD2.9bn) as on September 30, 2013. The Group offers a wide range of financial services that encompass every sphere of life. From commercial banking, to stock broking, mutual funds, life insurance and investment banking, the Group caters to the diverse financial needs of individuals and the corporate sector. The Group has a wide distribution network through branches and franchisees across India, and international offices in London, New York, Dubai, Abu Dhabi, Mauritius and Singapore. For more information, please visit the company's website at <http://www.kotak.com/>

All data as on 30 Sep 2013

Staff Strength (in Singapore) 23

Assets under Management

1) Managed by Group (Worldwide) USD 9.7 billion (as on 30 Sep 2013)
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

The services provided by the Kotak group include:

- Commercial banking
- Retail banking
- Corporate and Investment banking
- Stock broking
- Asset management
- Life insurance

2) Provided in Singapore

Asset management and advisory services to overseas investors through a range of **Indian Equity strategies** (including large cap, midcap, concentrated and thematic) and **Indian Debt strategies**

Business Contact/Client Services

Business contact: 16 Raffles Quay #35-02/03
Hong Leong Building
Singapore 048581

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Fax: (65) 6226 2348
Email: Ruchit.puri@kotak.com
Website: www.agf.com

Company Profile

KPMG in Singapore is part of a global network of professional services firms providing Audit, Tax and Advisory services. Our website is located at kpmg.com.sg

The independent member firms of the KPMG network operate in 156 countries and have more than 152,000 professionals worldwide. The independent member firms of the KPMG network are affiliated with KPMG International Cooperative (KPMG International), a Swiss entity. Each KPMG firm is a legally distinct and separate entity and describes itself as such.

KPMG member firms' are some of the leading financial advisers to the Investment Management industry. Integrated teams of professionals from our Audit, Tax and Advisory practices provide clients with an in-depth understanding of the markets in which they operate, and offer strategies spanning the fund lifecycle from value creation to realisation.

Staff Strength (in Singapore) more than 2,000

Assets under Management

- 1) Managed by Group** (Worldwide) Not Applicable
- 2) Managed in Singapore** Not Applicable

Products/Services**1) Provided by Group** (Worldwide)

KPMG's Global Investment Management network includes asset management professionals in audit, advisory and tax. With member firms in more than 150 countries and experienced investment management practices in virtually every major investment centre, clients can expect to gain local insights and global experience to help them deliver consistently high quality results and value.

2) Provided in Singapore

In Singapore, we have a wide asset management client base which includes fund managers, hedge funds, mutual funds, alternative investments, real estate investment trusts, trustees, custodians, administrators, family offices and Government sponsored vehicles. Drawing from local industry insight and technical knowledge, our investment management professionals offer audit, tax and advisory services and assist our clients in their pursuit of business growth, enhanced performance, governance and compliance objectives.

Business Contact/Client Services

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Management

Head of Investment Management – David Waller
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Director – Regulatory and Compliance – Allwyn Barreto
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Email: abarreto@kpmg.com.sg

Partner – Taxation – Larry Sim
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Legg Mason Asset Management Singapore Pte. Limited

Company Profile

Legg Mason Asset Management Pte. Limited ("LMAMS"), a wholly-owned subsidiary of Legg Mason, Inc. ("Legg Mason"), a U.S. financial services holding company that provides asset management services through its subsidiaries.

Legg Mason was founded in 1899 and is listed on the New York Stock Exchange, Inc. under the symbol "LM" and It houses a selection of prestigious investment management businesses, each operating autonomously and focusing on a distinct segment of the market. As of 31 October 2013, Legg Mason was responsible for US\$669.9 billion of assets under management invested in a broad range of financial instruments including global equities, fixed interest securities, and currencies.

Staff Strength (in Singapore) 29 (as of Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 669.9 billion (as of 31 Oct 2013)
2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide)

Legg Mason is a diversified group of best-in-class investment managers who are recognized for their proven investment expertise and long-term performance. The principal investment affiliates of Legg Mason are among the industry leaders in their respective areas of specialization, with unique investment approaches that have been developed over decades.

2) Provided in Singapore

Legg Mason Asset Management Singapore Pte. Limited houses the Legg Mason Global Distribution division and is focused on the distribution of Legg Mason's Singapore domiciled unit trusts and Legg Mason's offshore domicile mutual fund ranges. It is authorised to market, promote, offer and arrange for sale and redemption of shares/units in these Funds.

Business Contact/Client Services

Business contact: 1 George Street #23-02
Singapore 049145

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Management

Managing Director and Regional Head – Asia – Lennie Lim
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Director, Business Development – Pek Siew Hoon
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Lion Global Investors Limited

Company Profile

Lion Global Investors, a member of the Oversea-Chinese Banking Corporation Limited (OCBC) Group, is one of the largest asset management companies in Southeast Asia, with group assets under management of S\$30.8 billion (US\$24.4 billion) as at 31 December 2013. Established as an Asian asset specialist since 1986, Lion Global Investors' core competencies are in managing Asian equities and fixed income strategies and funds to both institutional and retail investors. Its large and experienced investment team of over 40 investment professionals averaging 15 years of financial industry experience is firmly dedicated to Asian and global equities and fixed income markets.

Lion Global Investors' network of regional offices outside of Singapore includes Malaysia, Brunei and China.

Lion Global Investors is 70% owned by Great Eastern Holdings Limited, a subsidiary of OCBC Bank and 30% owned by Orient Holdings Private Limited, a wholly-owned subsidiary of OCBC Bank.

For more information, visit www.lionglobalinvestors.com.

Staff Strength (in Singapore) 140

Assets under Management

1) Managed by Group (Worldwide) USD 24.4 billion (as at 31 Dec 2013)
2) Managed in Singapore As Above

Products/Services

1) Provided by Group (Worldwide)

Global and Asian Fixed Income Securities and Structured Credits, Asian Equities, Multi-Asset Strategies

2) Provided in Singapore

As Above

Business Contact/Client Services

Business contact: 65 Chulia Street #18-01
OCBC Centre
Singapore 049513

Contact person: Patricia Khoo – Chief Marketing Officer
June Chua – Director, Business Development
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Management

Chief Executive Officer – Gerard Lee
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Chief Operating Officer – James Tan
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Chief Marketing Officer – Patricia Khoo
Email: PatriciaKhoo@lionglobalinvestors.com



Loomis Sayles Investments Asia Pte Ltd

Company Profile

Since 1926, investors at Loomis Sayles have been committed to active portfolio management. Our belief in active management has been supported by a simultaneous commitment to in-depth, in-house research and analysis that enables us to build portfolios one security at a time with a long-term view. This active, researchdriven approach makes us fundamental bottom-up investors. It is the deep research that is adaptable to different market conditions which allows us to remain disciplined in each investment style through every business cycle.

Loomis Sayles is headquartered in Boston, Massachusetts, and maintains offices in Singapore and London. We have more than 600 employees worldwide, with more than 240 investment professionals. The Singapore office serves as the regional hub for Asia (including Japan).

Staff Strength (in Singapore) 7

Assets under Management

1) Managed by Group (Worldwide) USD 194 billion (as of 30 Sep 2013)
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

Loomis Sayles offers a broad range of actively managed fixed income, equity and alternative strategies across global developed and emerging markets, providing clients with access to both traditional and highly specialized asset classes.

2) Provided in Singapore

Client service, investment research, sales and distribution support and consultant relations.

Business Contact/Client Services

Business contact: 10 Collyer Quay #14-06
Ocean Financial Centre
Singapore 049315

Contact person: Paul Ong – Managing Director
Tel: (65) 6922 8686
Fax: (65) 6222 5015
Email: paul.ong@loomissayles.com
Website: www.loomissayles.com

Management

Managing Director – Paul Ong
DID: (65) 6922 8686
Email: paul.ong@loomissayles.com



Manulife Asset Management (Singapore) Pte. Ltd.

Company Profile

Manulife Asset Management (Singapore) Pte. Ltd. (Registration No. 200709952G) is part of - Manulife Asset Management - the asset management arm of Manulife Financial, a global leader in wealth management services.

Manulife Asset Management is a leading global institutional asset manager, with expertise across a full range of asset classes – equity, fixed income and alternatives such as real estate, timberland and agriculture. Manulife Asset Management has investment offices in 17 countries around the world, including 10 countries in Asia; China, Japan, Hong Kong, Singapore, Taiwan, Indonesia, Thailand, Vietnam, Malaysia and the Philippines. Our clients benefit from the in-depth local market expertise of empowered, inter-connected investment professionals across Asia, North America and Europe, underpinned by world-class investment and risk management processes and the backing of a global leader in financial services, Manulife Financial Corporation.

Staff Strength (in Singapore) 25 (as at 30 Sep 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 257 billion (as of 30 Sep 2013)
- 2) **Managed in Singapore** SGD 3.65 billion (as of 30 Sep 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Traditional: Equity, Fixed Income, Balanced, Asset Allocation
Absolute Return: LDI, Portable Alpha, 130/30
Alternatives: Agriculture, Timberland, Real Estate, Oil & Gas
Style: Core, Growth, Active and Passive; Quantitative and Fundamental

2) **Provided in Singapore**

Traditional: Equity, Fixed Income, Balanced, Asset Allocation
Styles: Core, Growth, Active and Fundamental

Business Contact/Client Services

Business contact: 1 Kim Seng Promenade #11-07/08
Great World City West Tower
Singapore 237994

Contact person: Lawrence Wee – Managing Director,
Head of Institutional Sales, South East Asia
Tel: (65) 6501 5401
Fax: (65) 6235 1138
Email: Lawrence_Wee@manulifeam.com

Gillian Chung – Product Development and Management
Tel: (65) 6501 5434
Fax: (65) 6235 1138
Email: Gillian_CE_Chung@manulifeam.com

Website: www.manulifeam.com

Management

Senior Managing Director – Jillian Avis Kathryn Smith



Marcuard Asia Pte Ltd

Company Profile

Marcuard Asia is an international boutique wealth management firm that specialises in tailoring financial solutions for wealth preservation and risk management to high net worth individuals and families. Marcuard Asia is part of Marcuard Heritage Group which focuses on wealth management, as well as wealth planning. The group's history traces back to Banque Marcuard, which was once established in Switzerland in 1746. Today, Marcuard has offices in Singapore, Zurich, Lugano, Moscow, Limassol/Cyprus, London and Montevideo/Uruguay.

Our corporate independence enhances our value proposition as an objective and unbiased wealth manager and allows us to be fully transparent. We adopt a flat management / advisory fee model, rather than a transaction driven brokerage model.

Staff Strength (in Singapore) 6

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 2.2 billion (as of 31 Oct 2013)
- 2) **Managed in Singapore** USD 0.55 billion (as of 31 Oct 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Discretionary Portfolio Management via mandate, Investment Advisory Services, Multi Family Office Services, Trust Services, Tax & Legal Advisory Services

2) **Provided in Singapore**

Discretionary Portfolio Management via mandate, Investment Advisory Services

Business Contact/Client Services

Business contact: 1 George Street #07-01
Singapore 049145

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Email: cynthia.see@marcuardheritage.com
Website: www.marcuardheritage.com

Management

Chief Executive Officer – Sinan Bodmer

DID: (65) 6222 6080

Email: sinan.bodmer@marcuardheritage.com

Chief Operating Officer – Armando Beer

DID: (65) 6327 4031

Email: armando.beer@marcuardheritage.com

Director Haruhito Imakoji

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Email: haruhito.imakoji@marcuardheritage.com

Head of Portfolio Management – Yong Sern Chow

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Martin Currie Asia Pte. Limited

Company Profile

Martin Currie Asia Pte Limited (“MCAP”) is a wholly owned subsidiary of Martin Currie Limited and is ultimately owned by Martin Currie (Holdings) Limited (collectively known as the “Martin Currie Group”).

The Martin Currie Group is headquartered in Edinburgh, UK and has offices in Singapore, New York, London, Melbourne and Zurich. Founded in 1881, Martin Currie has a long history in finance and began investing in global equities in the 1920s, developing Asian and emerging-market capabilities thereafter. Martin Currie manage active equity portfolios for a global client base of financial institutions, charities, foundations, endowments, pension funds, family offices, government agencies and investment funds.

MCAP was granted a Capital Markets Services licence by the Monetary Authority of Singapore to conduct the regulated activities of dealing in securities and fund management effective 12 March 2013. The Singapore office is the Asian hub for the Martin Currie Group’s operations in Asia.

Staff Strength (in Singapore) 7

Assets under Management

- | | |
|--|-------------------------------------|
| 1) Managed by Group (Worldwide) | USD 8.8 billion (as of 31 Oct 2013) |
| 2) Managed in Singapore | USD 2.4 billion (as of 31 Oct 2013) |

Products/Services

1) **Provided by Group** (Worldwide)

Managing specialist active equity portfolios is our only business.

We make these available on a segregated and pooled basis, and in a variety of product structures, to meet the needs of investors around the world. Our pooled funds include a SICAV and specialist offshore mutual funds.

We manage three principal strategy types, each defined by its particular risk framework:

1. **Core** – index-relative, targeting incremental outperformance of a defined benchmark within a predetermined risk framework.
2. **Alpha** – unconstrained, targeting significant outperformance over a cycle. Risk-aware, but not risk-constrained.
3. **Absolute return** – targets a real return above cash, with low absolute drawdowns.

2) Provided in Singapore

MCAP performs investment research and provides active equity portfolio management of investment mandates relating to the Asian markets of the Martin Currie Group.

The central dealing desk in Singapore deals in securities within the Asian markets on behalf of the Group's clients and in support of the Group's investment management operations.

MCAP also markets the Group's global investment capabilities and distributes collective investment schemes managed by the Group.

Business Contact/Client Services

Business contact: 3 Anson Road #23-02
Springleaf Tower
Singapore 079909

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Website: www.martincurrie.com

Management

CEO and Executive Director – Paul Danes
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Email: pdanes@martincurrie.com

Director, Head of Pan-Asia Sales & Client Services – Kimon Kouryalas
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Email: kkouryalas@martincurrie.com

Director, Sales & Client Services for Asia – Mike Gibb
DID: (65) 6592 6754
Email: mjibb@martincurrie.com



Maybank Asset Management Singapore Pte Ltd

Company Profile

Maybank Asset Management Singapore (MAMS) is a unit of Maybank Asset Management Group (MAMG), the asset management arm of Malayan Banking Berhad (Maybank). Maybank is one of the top five banking groups in South East Asia.

MAMG, through its asset management units, offers a wide range of investment solutions in equity, fixed income, money market and private equity to institutional and retail clients, as well as high net worth individuals. It has operations in key ASEAN countries including Malaysia, Singapore, Indonesia and Thailand with an investment team size of about 35 investment professionals. MAMG focuses on the Asia excluding Japan and the Middle East markets.

MAMS was incorporated on 30 June 2008 and holds a Capital Market Services (Fund Management) license from the Monetary Authority of Singapore. It is the regional investment centre for MAMG for both fixed income and equities.

Staff Strength (in Singapore) 14 (as at 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 3.8 billion (as at 30 Nov 2013)

2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

(1) Investment solutions in fixed income, equities, balanced, money market and private equity, (2) generally in a single country or regional basis for Asia excluding Japan and Middle East, and (3) in conventional or Shariah compliant strategies.

2) Provided in Singapore

Investment solutions in fixed income, equities, balanced and money market in the Singapore market or Asia excluding Japan, and (3) in conventional or Shariah compliant strategies.

Business Contact/Client Services

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Singapore 059305

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Email: melissatreloar@maybank-am.com.sg
Website: www.maybank-am.com

Management

Chief Executive Officer – Goh Seng Kee
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Head of Compliance – Evelyn Dermawan
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Email: liowsumei@maybank-am.com.sg

Head, Marketing, Distribution & Product Strategy – Melissa Treloar
DID: (65) 6231 5912
Email: melissatreloar@maybank-am.com.sg

Regional Head of Equities – Low Say Ping
DID: (65) 6231 5924
Email: lowsayingping@maybank-am.com.sg

Head of Operations – Tan Boon Guan
DID: (65) 6231 5926
Email: tanboonguan@maybank-am.com.sg



MFS International Singapore Pte. Ltd.

Company Profile

MFS Investment Management is an active global asset manager with more than 85 years of experience. We managed \$385 billion USD (as of September 30, 2013) in global and regional equity and fixed income products. MFS has been serving institutional investors in Asia for more than 14 years. We have a strong commitment to the institutional business in Asia and have offices in Singapore, Hong Kong, Tokyo and Sydney.

Staff Strength (in Singapore) 21

Assets under Management

1) Managed by Group (Worldwide) USD 385 billion (as of 30 Sep 2013)
2) Managed in Singapore USD 46.5 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)
Fund Management

2) Provided in Singapore
Fund Management

Business Contact/Client Services

Business contact: 501 Orchard Road #13-01
Wheelock Place
Singapore 238880

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Management

Chief Executive Officer
Senior Managing Director, Asia ex Japan – Jonathan Tiu
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Email: jtiu@mfs.com



Morgan Stanley Investment Management Company

Company Profile

Morgan Stanley Investment Management (MSIM) is a client-centric organization dedicated to providing investment and risk-management solutions to investors worldwide. Our global presence, thought-leadership, and breadth of products and services enable us to partner with clients to design solutions that are both flexible and tailored to meet the ever-evolving challenges of today's financial markets.

With over three decades of asset management experience, our investment strategies span the risk/return spectrum across geographies, investment styles and asset classes, including equity, fixed income, alternatives and private markets. Each of our investment teams have a unique talent pool of experienced investment professionals backed by the broad reach, access and resources of Morgan Stanley.

Staff Strength (in Singapore) 44 (as of 30 Nov 2013)

Assets under Management

- 1) Managed by Group** (Worldwide) Approx USD 360 billion in assets
(as of 30 Sep 2013)
- 2) Managed in Singapore** USD 20.4 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Global Investment Solutions for Investors Worldwide

The extensive range of MSIM's services and products reflects our continuous effort to provide solutions that meet the needs of investors worldwide. We partner with clients and consultants strategically to tackle a variety of challenges, from portfolio architecture, risk management and alternative investment exposure to funded-status volatility management and increased portfolio efficiency. Through these strategic partnerships, we help clients develop comprehensive investment policies, implement original investment solutions and strive to enhance overall return potential. MSIM also designs structured products that take advantage of a full gamut of instruments available in the financial markets, from long-only strategies to long-short, event driven, global macro, global tactical asset allocation and portable alpha solutions.

2) Provided in Singapore

Not Stated

Business Contact/Client Services

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Capital Square
Singapore 049481

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Website: www.morganstanley.com

Management

CEO – Sam Rhee
DID: (65) 6834 6800



Morningstar Research Pte. Limited

Company Profile

Morningstar, Inc. is a leading provider of independent investment research in North America, Europe, Australia, and Asia. The company offers an extensive line of products and services for individual investors, financial advisors, asset managers, and retirement plan providers and sponsors. Morningstar provides data on approximately 437,000 investment offerings, including stocks, mutual funds, and similar vehicles, along with real-time global market data on more than 10 million equities, indexes, futures, options, commodities, and precious metals, in addition to foreign exchange and Treasury markets. Morningstar also offers investment management services through its registered investment advisor subsidiaries and has approximately \$176 billion in assets under advisement or management as of Sept. 30, 2013. The company has operations in 27 countries.

Staff Strength (in Singapore) 10

Assets under Management

1) Managed by Group (Worldwide) USD 176 billion (as of 30 Sep 2013)
2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide)

Investment Research Software:

Morningstar Direct: Global fund performance measurement; database of 330,000 investments; advanced analytics, graphics and customizable reports.

Morningstar Encorr: Integrated capital markets research and asset allocation modeling.

Enterprise Solutions:

Morningstar Advisor Workstation: all inclusive advisor practice management software; advisors can research investments, build portfolios, assesses a portfolios risk and present reports.

Website Solutions:

Customized on line investment tools/calculators to enhance advisor and banking retail web sites; fund data feeds; real time market data feeds; fact sheets and filings.

Consulting:

Model portfolios, Fund select Lists, Risk Tolerance Questionnaires, Retirement Income solutions, Fund of Funds and Manager Search

Market Data:

Customizable market data workstations deployed via the web or as desktop software; Real-Time market data covering all major equity exchanges and indexes, as well as FOREX and Treasury markets.

Equity and Qualitative Fund Research/Ratings**2) Provided in Singapore**

Same products and services as provided by Group (worldwide)

Business Contact/Client Services

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Samsung Hub
Singapore 049483

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Email: Cynthia.case@morningstar.com
Website: [http:// sg.morningstar.com](http://sg.morningstar.com)

Management

Vice President – Cynthia Case
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Sales Director – Shihan Abeyguna
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Email: Shihan.abeyguna@morningstar.com

Sales Director – Bobby Bok
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Morse Consulting Asia Pte Limited

Company Profile

Morse is known for innovative thinking and first-hand industry knowledge specialising in target operating model design and implementation, change management, risk management, investment manager operational due diligence, fee benchmarking and project delivery.

Staff Strength (in Singapore) 3

Assets under Management

- 1) Managed by Group** (Worldwide) Not Applicable
2) Managed in Singapore Not Applicable

Products/Services

- 1) Provided by Group** (Worldwide)
 Business Review, Operating Model Design, Change Management
- 2) Provided in Singapore**
 Business Review, Operating Model Design, Change Management

Business Contact/Client Services

Business contact: 9 Raffles Place,
 Level 18, Republic Plaza II
 Singapore 048619

Contact person: Jason Morrissey
 Tel: (65) 9028 4776
 Fax: (65) 6823 6866
 Email: jason.morrissey@morseconsulting.asia
 Website: www.morseconsulting.asia

Management

Managing Director – Jason Morrissey
 DID: (65) 9028 4776
 Email: jason.morrissey@morseconsulting.asia



Navigator Investment Service Ltd

Company Profile

Navigator is an integrated investment portfolio administration service that functions like a hub to bring together the products and tools for you and your Financial Adviser to efficiently manage your investment portfolio. The Navigator platform is administered by Navigator Investment Services Ltd (the “Company”), which is licensed by the Monetary Authority of Singapore as a Capital Markets Services (CMS) Licensee under the Securities and Futures Act (Cap. 289), to deal in securities and provide custodial services.

The Company is an indirect wholly-owned subsidiary of Aviva Plc, the insurance group, serving over 43 million customers across Europe, North America and Asia Pacific.

Staff Strength (in Singapore)

In Singapore, the Company shares the Management Team of Aviva Ltd

Assets under Management

- | | |
|---------------------------------|------------------|
| 1) Managed by Group (Worldwide) | Not Applicable |
| 2) Managed in Singapore | USD 1.52 billion |

Products/Services

- 1) Provided by Group (Worldwide)
Not Applicable

2) Provided in Singapore

Platform Administration – deal in securities and provide custodial services.
Unlisted Collective Investment Scheme (Unit Trusts)

Business Contact/Client Services

Business contact: 4 Shenton Way #01-00
SGX Centre 2
Singapore 068807

Contact person: Peter Gibson
Tel: (65) 6216 7276
Email: peter_gibson@aviva-asia.com
Website: www.aviva.com.sg

Management

Chief Operating Officer – Peter Gibson
DID: (65) 6216 7276
Email: peter_gibson@aviva-asia.com

Neuberger Berman Singapore Pte. Ltd.

Company Profile

Neuberger Berman Singapore Pte. Ltd. (the "Company") is a wholly owned subsidiary of Neuberger Berman Group LLC ("NBG," collectively referred to, together with its subsidiaries and affiliates, including the Company, as "Neuberger Berman").

Founded in 1939, Neuberger Berman is a private, employee-controlled asset management firm serving the investment needs of institutional and individual investors, globally. It is headquartered in New York and employs around 2,000 employees, approximately 450 of which are investment professionals in 30 offices, 26 cities and 16 countries worldwide (as of 31 December 2013).

Staff Strength (in Singapore) 19

Assets under Management

1) Managed by Group (Worldwide) USD 242 billion (as of 31 Dec 2013)

2) Managed in Singapore USD 500 million (as of 31 Dec 2013)

Products/Services

1) Provided by Group (Worldwide)

Neuberger Berman provides a broad range of global investment solutions, in equity, fixed income, and alternative investment strategies, to institutional and individual investors through customized separately managed accounts and mutual funds.

2) Provided in Singapore

Neuberger Berman Singapore Pte. Ltd. undertakes fund management activities, including the investment management of Emerging Market Debt strategies and the distribution of Neuberger Berman's investment strategies and products.

Business Contact/Client Services

Business contact: 10 Collyer Quay #15-05
Ocean Financial Centre
Singapore 049315

Contact person: Vincent Lim – Senior Vice President, Business Development (Southeast Asia)

Tel: (65) 6645 3764

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Email: vincent.lim@nb.com

Website: www.nb.com

Management

Head of Asia (ex Japan and Korea) – Nick Hoar

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Email: nick.hoar@nb.com

Business Development (Southeast Asia) – Vincent Lim

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Email: vincent.lim@nb.com



New Silk Road Investment Pte Ltd

Company Profile

New Silk Road (“NSR”) specializes in Asia ex Japan equities. It currently runs a long only, absolute return fund that seeks to invest in well-run Asian franchises. NSR adopts a fundamental, long term approach to value investing. With the help of a group of experienced analysts, the portfolio manager runs a concentrated portfolio of stocks based on the results of NSR’s proprietary research.

Staff Strength (in Singapore) 14

Assets under Management

- 1) Managed by Group** (Worldwide) Not Applicable
2) Managed in Singapore Over USD 1 billion

Products/Services

1) Provided by Group (Worldwide)

- Asia Landmark Fund – A long absolute return, Asia Ex-Japan equity fund
- Asia Discovery Emerging Companies Fund – A small to mid-cap long absolute return, Asia Ex-Japan equity fund

2) Provided in Singapore

Not Applicable

Business Contact/Client Services

Business contact: 50 Collyer Quay #08-01
 OUE Bayfront
 Singapore 049321

Contact person: Hoong Yik Luen
 Tel: (65) 6593 6155
 Fax: (65) 6220 0380
 Email: enquiries@nsr.com.sg
 Website: www.nsr.com.sg



Nikko Asset Management Asia Limited

Company Profile

Established in 1959, the Nikko Asset Management Group (“Nikko AM”) has grown to become one of the largest regional asset management company headquartered in Asia with total AUM of US\$162 billion* and with distributor networks comprising of banks, brokers, IFAs and life insurance companies.

In Singapore, Nikko Asset Management Asia Limited (“Nikko AM Asia”) is a leading asset manager which combines the strengths of two award-winning asset managers formerly known as DBS Asset Management Ltd and Nikko Asset Management Singapore Limited.

**Consolidated assets under management and advice of Nikko AM and its subsidiaries as at 31 December 2013.*

Staff Strength (in Singapore) 84 (as of 31 Dec 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 162 billion*
- 2) **Managed in Singapore** USD 10.6 billion (as of 31 Dec 2013)
 comprising assets under management and
 advice of Nikko AM Asia

Products/Services

1) **Provided by Group** (Worldwide)

Nikko AM manages a wide range of **Asian Equities** and **Asian Fixed Income** strategies for retail and institutional investors around the world, and also offers specialist third-party expertise through the **World Series Fund Platform®** operating a “best-in-class” approach across a broad range of asset classes.

2) **Provided in Singapore**

Nikko AM Asia’s Singapore-based team provides a full range of Asia-focused investment solutions which aim to help investors achieve portfolios’ diversification and optimization, such as **Asia Pacific Ex-Japan Equities** and **Asian Fixed Income**.

Business Contact/Client Services

Business contact: 8 Cross Street #08-01
 PWC Building
 Singapore 048424

Contact person: General Enquiries Hotline
Tel: (65) 6500 5700
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Email: SGContactUs@nikkoam.com
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Management

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Head of Intermediary Business Development – Alastair DING
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Legal Counsel – Don WANG
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Head of Institutional Business Development – Elaine KOH
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Head of Human Resources, Asia ex Japan – Evelyn LUM
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Head of Marketing – Joyce KOH
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Head of Product Development & Management – Phillip YEO
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Head of Operations and Technology – Rob SCOTT
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Head of Compliance, Asia ex Japan – Rodney LIM
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Email: rodney.lim@nikkoam.com



Nomura Asset Management Singapore Limited

Company Profile

Nomura Asset Management Co., Ltd (NAM), with about USD 299 billion (as of September 2013) in assets under management, is a leading asset manager and a key subsidiary of Nomura Holdings Inc. Our clients include sovereigns, public and private pension funds, government organizations, central banks, institutions, mutual funds, and private investors.

Nomura Asset Management Singapore Limited (NAMS) is wholly-owned by NAM and is the Group's regional hub for Pacific Basin ex Japan investment management. NAMS offers a broad range of products and strategies including our core Asia Pacific ex Japan (regional & country) equity, fixed income and specialized products. Through us, our clients in the region have direct access to our Group's global investment capabilities in Japan, global and emerging market equities, fixed income, quantitative and alternative products.

We are committed to provide our clients with consistent investment performance and optimal quality asset management services through in-depth research and innovative risk management techniques.

Staff Strength (in Singapore) 64 (as of Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 299 billion (as of Sep 2013)
2) Managed in Singapore USD 15.11 billion (as of Sep 2013)

Products/Services

1) Provided by Group (Worldwide)
Equities, Fixed Income, Alternative Investments

2) Provided in Singapore
Equities, Fixed Income, Alternative Investments

Business Contact/Client Services

Business contact: 10 Marina Boulevard #33-03
Marina Bay Financial Centre Tower 2
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Management

Managing Director – Yasuhiro Yokota

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Head of Corporate Administration – Takafumi Sudo

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Email: sudo@namsg.com.sg

Head of Compliance – Yoshimi Masuda

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Email: yoshimi@namsg.com.sg



The Northern Trust Company Singapore

Company Profile

Northern Trust is a leading provider of asset servicing and asset management to large corporations, institutions and affluent individuals worldwide. Headquartered in Chicago, Northern Trust has over 14,000 employees, offices in 18 U.S. states and 18 international locations across North America, Europe, Middle East and the Asia Pacific region. For 124 years, Northern Trust has earned distinctions as an industry leader in combining exceptional service and expertise with innovative products and technology.

In Asia Pacific, Northern Trust has offices in 6 locations – Bangalore, Beijing, Hong Kong, Melbourne, Singapore and Tokyo. We have over 27 years of experience in APAC servicing clients ranging from government entities, sovereign wealth funds, insurance companies, asset managers, foundations, universities and pension funds. The Singapore branch is the designated asset servicing hub for the region and a platform to a range of asset management services.

Staff Strength (in Singapore) 160

Assets under Management

1) Managed by Group (Worldwide)

USD 5.24 trillion (assets under custody, as of 30 Sep 2013)

USD 846.2 billion (assets under management, as of 30 Sep 2013)

2) Managed in Singapore

Not Applicable

Products/Services

1) Provided by Group (Worldwide)

Custody, valuation reporting, foreign exchange, investment risk & analytical services, cash management, securities lending, commission recapture, transition management, fund administration, investment operations outsourcing, trade execution analysis, benefit payments, pooling solutions, investment management (spanning passive management, active equities, active fixed income, money markets, multi-manager, alternatives) and wealth management.

2) Provided in Singapore

Custody, valuation reporting, foreign exchange, investment risk & analytical services, asset management and cash management.

Business Contact/Client Services

Business contact: One George Street #12-06
Singapore 049145


Contact person: Asset Servicing: George Hindmarsh
– Head of Business Development, Asia Pacific
Tel: (65) 6437 6521
Email: george_hindmarsh@ntrs.com

Asset Management: Chen Ee Fang
– Institutional Business Development, Asia Pacific
Tel: (65) 6437 6701
Email: ee_fang_chen@ntrs.com

Website: www.northerntrust.com

Management

Country Manager Singapore Branch – William Mak
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Email: william_mak@ntrs.com



NS Global Management Pte Ltd

Company Profile

Established in Geneva in 1964, Notz Stucki is one of Europe's largest wealth management companies. With over 40 years' experience in managing portfolios, the Group offers its clients financial services that include discretionary management, investment advice and investment funds.

Having pioneered the concept of selecting the best managers, Notz Stucki develops tailored solutions for both private and institutional clients.

The Group is present in the world's major financial centres.

Staff Strength (in Singapore) 7

Assets under Management

1) Managed by Group (Worldwide) USD 7.5 billion
2) Managed in Singapore USD 141 million

Products/Services

- 1) Provided by Group** (Worldwide)
Discretionary management, investment advice and investment funds
- 2) Provided in Singapore**
Discretionary Asset Management and Investment Advisory

Business Contact/Client Services

Business contact: 25 Duxton Hill #01-01
Singapore 089608

Contact person: Etienne Billaud
Tel: (65) 6227 1668
Fax: (65) 6222 2286
Email: singapore@notzstucki.com
Website: www.notzstucki.com/en/

Management

Director Herwig Van Hove

DID: (65) 6227 1668

Email: Herwig.vanhove@notzstucki.com

Director – Etienne Billaud

DID: (65) 6227 1668

Email: Etienne.billaud@notzstucki.com

Director – Cedric Stadelmann

DID: (65) 6227 1668

Email: Cedric.stadelmann@notzstucki.com



NTUC Income Insurance Co-operative Limited

Company Profile

NTUC Income is an organization that was made different from the start with an initial capital of S\$1.2 million in 1970, in response to a growing need for affordable insurance.

Today, we have matured into a leading insurer and progressive organization with more than 2 million customers placing their trust in us. Our insurance plans benefit large numbers of Singaporeans from all strata of society, and the company supports the community through financial contributions to the labour movement, charities and other worthy causes.

We have about 1,200 insurance advisers, 1,600 office staff, and a network of 5 branches located island-wide.

NTUC Income is rated "AA-" by independent credit ratings agency, Standard & Poor's, making us one of the strongest financial institutions in Singapore and the region.

Staff Strength (in Singapore) 1,631 office staff and 1,234 tied agents
(as at 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) Not Applicable
2) Managed in Singapore USD 23.70 billion (as at 30 Nov 2013)

Products/Services

1) Provided by Group (Worldwide)
Not Applicable

2) Provided in Singapore
Life Insurance, General Insurance, Health Insurance

Business Contact/Client Services

Business contact: 75 Bras Basah Road
NTUC Income Centre
Singapore 189557

Contact person: Peter Tay – VP & Head, Corporate Office
Tel: (65) 6210 5873
Fax: (65) 6210 5873
Email: peter.tay@income.com.sg
Website: www.income.com.sg

Management

Chief Executive – Ken Ng
DID: (65) 6877 3101
Email: ken.ng@income.com.sg



OCBC Securities Private Limited

Company Profile

OCBC Securities Private Limited (“OCBC SECURITIES”) is a wholly-owned subsidiary of OCBC Bank and member of the Singapore Exchange Securities Trading Limited (SGX-ST) and the Singapore Exchange Derivatives Trading Limited (SGX-DT).

We are one of the leading stock and futures broking firms in Singapore providing full brokerage services for equities and derivatives trading. State-of-the-art technology is employed to deliver speedy multi-market electronic execution of trades for our customers.

OCBC Securities offers a wide array of superior products and services to our customers; we are constantly looking at expanding our product-offerings which range from broking to wealth management products.

Our customer base consists of both individuals and institutional investors. They include foreign banks, financial institutions, public-listed corporations, professional traders, as well as retail and high net-worth individuals.

Staff Strength (in Singapore) 500

Assets under Management

1) Managed by Group (Worldwide) Not Applicable
2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide) Not Stated
2) Provided in Singapore Not Stated

Business Contact/Client Services

Business contact: 18 Church Street #01-00
OCBC Centre South
Singapore 049479

Contact person: Tan Shen-Lin
Tel: (65) 6531 4386
Fax: (65) 6534 0025
Email: shen-lintan@ocbcsec.com
Website: www.iocbc.com

Management

Managing Director – Raymond Chee

DID: (65) 6531 9898

Email: cheeslraymond@ocbcsec.com

General Manager/Executive Director – Yeow Chin Wee

DID: (65) 6531 9468

Email: chinweeyeow@ocbcsec.com



Oclaner Asset Management Pte Ltd

Company Profile

Oclaner Asset Management is a leading asset management company offering comprehensive financial services to Ultra High Net Worth families and Institutions.

With our industry experienced team of international partners and board of directors, we possess a wealth of global experience, spanning over 30 years. Acting as a bridge between Asia and Europe, we seek to use our global network of relationships to uncover quality long term investments that help our clients make the most of their capital.

Drawing from this network and experience, we offer 3 comprehensive services; Family Office, Investments Management and exclusive Direct Investment deal management.

Staff Strength (in Singapore) 20

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Stated
- 2) **Managed in Singapore** Below SGD 2 billion

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Stated

- 2) **Provided in Singapore**

Family Office Advisory: We have dedicated family office structures covering the specific needs of Ultra High Net Worth Families. Our distinctive family office model revolves around empowering our clients with control over the management and objectives of the family office.

Investment Management: Our investment management offering provides a comprehensive solution to both institutional and individual clients. We adopt an asset allocation platform that builds an optimal portfolio based on investment profiles by creating personalised strategies.

Direct Investments: Through our strategic partnerships and strong network of global relationships, we are able to develop access to exclusive alternative strategies and business opportunities.

Business Contact/Client Services

Business contact: One Temasek Avenue #37-04/05
Millenia Tower
Singapore 039192

Contact person: Dharuma Tharu
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Fax: (65) 6336 2805
Email: dharuma.tharu@oclaner.com
Website: www.oclaner.com

Management

Chief Executive Officer – Ivo Bartoletti
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Email: ivo.bartoletti@oclaner.com

Chief Investment Officer – Sylvain Baude
DID: (65) 6643 5110
Email: sylvain.baude@oclaner.com

Chief Operating Officer – Dharuma Tharu
DID: (65) 6643 5110
Email: dharuma.tharu@oclaner.com



Oddo Asset Management Pte Ltd

Company Profile

Oddo Asset Management, incorporated in 1987, is a leading European independent asset management firm headquartered in Paris. Oddo AM provides specialized investment management solutions to institutional investors and third party distributors. Our clients include pension funds, insurance companies, multi-managers, financial institutions, private banks and IFAs. We manage European long only equities and fixed income strategies as well as a number of absolute return and alternative strategies.

Staff Strength (in Singapore) 2

Assets under Management

1) Managed by Group (Worldwide) USD 18.75 billion
2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide)
European equities, fixed income, convertibles, absolute return and alternative

2) Provided in Singapore
Asian fixed income and global sukus

Business Contact/Client Services

Business contact: 9 Raffles Place #58-00
Republic Plaza
Singapore 048619

Contact person: Roy Diao
Tel: (65) 6589 0672
Email: rdiao@oddoam.com
Website: www.oddo.fr

Management

CEO/Head of Sales – Roy Diao
DID: (65) 6589 0672
Email: rdiao@oddoam.com

2) Provided in Singapore

Products Managed by Singapore Office

Segregated Accounts :

Mandates: Single country, ASEAN & Asia ex-Japan

Mutual Funds:

NPB Asia Sicav Fund

Products managed: Equities

Business Contact/Client Services

Business contact: 43 Duxton Road
Singapore 089507

Contact person: Andrew Kwek – CEO
Tel: (65) 6227 9928
Fax: (65) 6225 9912
Email: andrewkwek@pheim.com.sg
Website: www.pheim.com.sg

Management

CEO – Andrew Kwek
DID: (65) 6227 9928
Email: andrewkwek@pheim.com.sg

Head, Investments – Teo Chon Kiat
DID: (65) 6227 8471
Email: chonkiat@pheim.com.sg

Operations Manager – Jasmine Tham
DID: (65) 6227 9928
Email: jasminetham@pheim.com.sg

Senior Compliance Officer – Rajiv Premkumar
DID: (65) 6227 9928
Email: rajiv@pheim.com.sg

Phillip Capital Management (S) Ltd

Company Profile

Phillip Capital Management is the Singapore-based dedicated investment management business of the PhillipCapital Group. The firm was founded originally as a JV with Allied Irish Bank in 1994. Following the divestment of Phillip Capital's interest in AIB Govett, the Company was established in 2000 with Jeffrey Lee serving as Managing Director and CIO.

Phillip Capital Management specialises in managing public funds and segregated accounts for retail and institutional investors. The company manages the largest authorised Singapore dollar money market fund sold in Singapore and is a major participant in the short-term SGD credit market. Alongside that, the company manages a range of global and Asian strategies across the fixed income and equity asset classes. Phillip Capital Management has investment offices across the region in Kuala Lumpur, Bangkok, Jakarta and Hong Kong.

Staff Strength (in Singapore) 21

Assets under Management

1) **Managed by Group** (Worldwide) USD 3.2 billion
 2) **Managed in Singapore** USD 1.5 billion

Products/Services

1) **Provided by Group** (Worldwide) Not Provided

2) **Provided in Singapore**

- Phillip Money Market Fund
- Phillip Asia Pacific Growth Fund
- Phillip Global Opportunities Fund
- Phillip Singapore Real Estate Income Fund
- Phillip Income Fund
- Phillip USD Money Market Fund
- Pi7 and Pi5 Global Portfolios
- Lighthouse Strategic Balanced Growth Fund

Business Contact/Client Services

Business contact: 250 North Bridge Road #06-00
Raffles City Tower
Singapore 179101

Contact person: Vivien Lim, Camelia Lee
Tel: (65) 6671 7232
Fax: (65) 6538 3066
Email: pcm_marketing@phillip.com.sg
Website: www.phillipfunds.com

Management

Managing Director & CIO – Jeffrey Lee Chay Kiong
DID: (65) 66717238
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Chief Operating Officer – Lee Ken Hoon
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Email: leekenhon@phillip.com.sg

Co-Chief Investment Officer – Linus Lim Wen Sheong
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Email: linuslim@phillip.com.sg



PIMCO Asia Pte Ltd

Company Profile

The principal activities of the firm are those relating to investment management and investment advisory. The firm holds a Capital Markets Services License under the Securities and Futures Act to conduct the regulated activities of fund management, dealing in securities and trading in futures contracts. The immediate holding corporation is PIMCO Global Advisors LLC, incorporated in the United States of America and the ultimate holding corporation is Allianz SE, incorporated in the Federal Republic of Germany.

Staff Strength (in Singapore) 40 (as of 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 1.97 trillion (as of 30 Sep 2013)

2) Managed in Singapore USD 7.26 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Fixed Income: Total Return, Long Duration, Moderate Duration, Credit Mortgages, Global, Emerging Markets, Municipals.

Cash: Low Duration, Enhanced Cash, Money Market.

Equities: StocksPLUS (derivatives-based equity exposure with active bond management)

Alternatives: Absolute Return Strategies, Commodities, Real Estate, Real Return, Tactical Allocation, Diversified Income, Multi Asset

2) Provided in Singapore

Asset management services, including portfolio management

Business Contact/Client Services

Business contact: 501 Orchard Road #09-03
Wheelock Place
Singapore 238880

Contact person: Ana Dhoraingam
Michael Thompson
Tel: (65) 6491 8000
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Email: sginfo@pimco.com
Website: www.pimco.com

Management

Chief Executive Officer – Ana Dhoraisingam

DID: (65) 6491 8000

Executive Vice President

Co-Head of Emerging Asia, Portfolio Management – Ramin Toloui

DID: (65) 6491 8000

Executive Vice President

Head of Wealth Management, Asia ex-Japan – Michael Thompson

DID: (65) 6491 8000

Vice President, Compliance Manager – Ann Tan

DID: (65) 6491 8000



PineBridge Investments Singapore Limited

Company Profile

PineBridge Investments Singapore Limited ("PineBridge Singapore") was incorporated in 1996 to undertake investment activities in the region, covering developed and emerging market equities and bonds. As at 30 September 2013, it had responsibility for the investment of assets in excess of SG \$4.80 billion. PineBridge Singapore managed collective investment schemes and/or discretionary funds in Singapore since 1998.

PineBridge Singapore is a part of PineBridge Investments which is a group of international companies that provides investment advice and markets asset management products and services to its clients around the world. It operates as a multi-strategy investment manager with approximately 220 investment professionals which include portfolio managers, traders and research analysts located in core geographies, supported by world-class infrastructure, with over US \$69.1 billion in assets under management as of 30 September 2013. PineBridge Investments is a leading asset manager with long-term track records across listed equity, fixed income and alternative investments strategies, and a rich heritage managing assets for one of the world's largest insurance and financial services companies.

Staff Strength (in Singapore) 16 (as of 30 Nov 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 69.1 billion (as of 30 Sep 2013)
- 2) **Managed in Singapore** USD 3.82 billion (as of 30 Sep 2013)

Products/Services

1) **Provided by Group** (Worldwide)

Asset allocation, equities, fixed income and private equity solutions.

2) **Provided in Singapore**

Asset allocation, equities, fixed income and private equity solutions.

The principal activities of PineBridge Singapore consist of managing funds and providing investment / fund management and advisory, consultancy and related services for investments.

PineBridge Singapore markets Singapore domiciled collective investment schemes (CIS) under the authorised scheme and Ireland / Dublin domiciled Undertakings for Collective Investment in Transferable Securities (UCITS) under the recognised scheme.

Business Contact/Client Services

Business contact: 1 Robinson Road #21-01
AIA Tower
Singapore 048542

Contact person: Kheng-Lai Tan – CEO & Managing Director, Fund Management
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Website: www.pinebridge.com

Management

Vice President & Director, Business Development – Michael Chae
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Senior Manager, Business Development – Kelvin Leong
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Pioneer Investment Management Limited (Singapore Branch)

Company Profile

At Pioneer Investments, we are committed to delivering an excellent level of service and offering competitive, high quality investment products across both traditional and non-traditional asset classes to clients that we believe can stand the test of time. Our clients include institutions, corporations, intermediaries and private investors around the world.

Pioneer Investments is focused on managing risk and aim to deliver attractive return to our investors, who have entrusted us with their assets.

Today, Pioneer Investments has a presence in 27 countries worldwide and an experienced team of around 2,000 employees globally, including over 340 investment professionals. To ensure an innovative and consistent product strategy at global level, we have three specialized Global Investment Hubs in Boston, Dublin and London.

The Singapore office is a branch office of Pioneer Investment Management Limited (Dublin) and is responsible for marketing and trade execution incidental to the management of funds.

Staff Strength (in Singapore) 22 (as at 30 Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) EUR 169 billion (as at 30 Sep 2013)

2) Managed in Singapore Not Applicable

Products/Services

1) Provided by Group (Worldwide)

Mutual Funds, Hedge Funds and Managed Accounts

2) Provided in Singapore

Mutual Funds and Managed Accounts

Business Contact/Client Services

Business contact: 80 Raffles Place #54-03/04
 UOB Plaza 1
 Singapore 04864

Contact person: Clients Services Team
Tel: (65) 6415 7100
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Email: clientservicessg@pioneerinvestments.com
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Management

Head of Asia & Middle East – Jack Lin

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Chief Operating Officer, Asia Pacific & Middle East – Michelle Ang

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Email: michelle.ang@pioneerinvestments.com

Pramerica Fixed Income (Asia) Limited

Company Profile

Pramerica Fixed Income (Asia) Limited ("PFIA") was established on June 6, 2000. PFIA is a wholly-owned subsidiary of Pramerica Investment Management and operates as the Singapore-based office of Pramerica Fixed Income. PFIA is focused on managing Asian Fixed-income mandates and has a strong core competency in Asian credit analysis and risk management.

Pramerica Fixed Income is the primary public fixed income asset management unit of Pramerica Investment Management. Pramerica Fixed Income offers both traditional and alternative fixed income strategies to institutional and retail investors worldwide. Pramerica Fixed Income believes that research-driven security selection is the most consistent strategy for adding value to client portfolios. The firm complements this base strategy with active sector rotation, duration management, and superior trading execution. Risk budgeting is central to this approach. Pramerica Fixed Income and its predecessors have managed fixed income accounts for institutional clients since 1928. Pramerica Fixed Income is headquartered in the USA (Newark, NJ), with affiliated investment offices in London and Singapore.

Staff Strength (in Singapore) 9

Assets under Management

- 1) Managed by Group** (Worldwide) USD 397 billion
(Pramerica Fixed Income as of 30 Sep 2013)
- 2) Managed in Singapore** USD 904 million (PFIA as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Pramerica Fixed Income's business includes many fixed income strategies and products, including traditional multi-sector and single-sector fixed income strategies for institutional clients, insurance companies, and mutual funds; as well as alternative products such as hedge strategies and collateralized loan obligations (CLOs), with an emphasis on credit-related strategies.

2) Provided in Singapore

PFIA manages Asian fixed income mandates and specializes in credit research and risk analysis of Asian corporate bonds.

Business Contact/Client Services

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Singapore Land Tower
Singapore 048623

Contact person: Philip Hsin – Managing Director
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Fax: (65) 6535 6126
Email: philip.hsin@pramericafinancial.com
Website: www.pramericafi.com

Management

Managing Director – Philip Hsin
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PricewaterhouseCoopers LLP

Company Profile

PwC firms help organisations and individuals create the value they're looking for. We're a network of firms in 157 countries with close to 184,000 people who are committed to delivering quality in assurance, tax and advisory services. PwC has won the "Best auditor-Funds Advice" at the AsianInvestor 2013 Service Provider Awards and this is the fifth time we have won the award since 2008.

Providing organisations with the advice they need, wherever they may be located, our highly qualified, experienced professionals listen to different points of view to help organisations solve their business issues and identify and maximise the opportunities they seek. Our specialisation in the asset management industry allows us to help co-create solutions with our clients and identify ways to add value to them.

"PwC" refers to the network of member firms of PricewaterhouseCoopers International Limited, each of which is a separate and independent legal entity. PwC China, Hong Kong, Singapore and Taiwan work together on a collaborative basis, subject to local applicable laws. Collectively, we have around 700 partners and strength of 17,000 people.

Staff Strength (in Singapore) More than 2400 with over 100 Partners

Assets under Management

- | | |
|--|----------------|
| 1) Managed by Group (Worldwide) | Not Applicable |
| 2) Managed in Singapore | Not Applicable |

Products/Services

- 1) Provided by Group (Worldwide)**
Assurance, Advisory and Tax

2) Provided in Singapore

We provide a wide range of services to help asset management organisations solve business issues, identify and maximise opportunities. We serve as business advisors to a wide spectrum of clients such as traditional and alternative asset managers, distributors, investment partnerships, offshore funds and structured vehicles covering a vast array of investment products. Our industry specialisation enables us to identify trends and understand your challenges, thereby customising solutions for you. We also regularly conduct seminars and speak at external conferences as part of our commitment to be the leaders in the professional services arena of the asset management industry. Each line of service is staffed with highly qualified, experienced professionals and leaders in our profession.

Business Contact/Client Services

Business contact: 8 Cross Street #17-00
PwC Building
Singapore 048424

Contact person: Justin Ong
Tel: (65) 6236 3708
Fax: (65) 6236 3300
Email: justin.ong@sg.pwc.com
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Management

Partner – Justin Ong
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Email: justin.ong@sg.pwc.com

Partner – Robert Grome
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Partner – Darren Lim
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Partner – Paul Pak
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Partner – Lim Maan Huey
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Principal Global Investors (Singapore) Limited

Company Profile

Principal Global Investors is the institutional asset management arm of the Principal Financial Group®. Comprised of a network of specialized investment boutiques, it currently manages \$US300 billion of assets as at 30 Sep 2013 on behalf of a broad range of sophisticated investors. The multi-boutique firm offers expertise in fixed income, equity, and real estate investments as well as currency management, asset allocation, stable value management, and other structured investment strategies.

Staff Strength (in Singapore) 24

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 300 billion (as at 30 Sep 2013)
 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)

Equity, fixed income and real estate investments, as well as specialized expertise in currency management, asset allocation, stable value management and other structured investment strategies

2) **Provided in Singapore**

Equity, Real estate and Global Asia Pacific REITs

Business Contact/Client Services

Business contact: One Raffles Quay #19-01
 North Tower
 Singapore 048583

Contact person: Lynn Sim
 Tel: (65) 6490 0280
 Fax: (65) 6333 6778
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 Website: www.principalglobal.com

Management

Chief Executive-Asia – Andrea Muller
 DID: (65) 6490 0277
 Email: muller.andrea@principal.com



RBC Investor Services Trust Singapore Limited

Company Profile

RBC Investor & Treasury Services (RBC I&TS) is a leading specialist provider of asset servicing, custody, payments and treasury services for financial and other institutional investors worldwide. We serve clients from 18 locations across North America, Europe and the Asia-Pacific region. We deliver custodial, advisory, financing and other services to safeguard clients' assets, maximize liquidity and manage risk in multiple jurisdictions. RBC I&TS is ranked among the world's top 10 global custodians, with USD 3 trillion (CAD 3.1 trillion) in client assets under administration.

Staff Strength (in Singapore) 37 (as at 1 Jan 2014)

Assets under Management

1) Managed by Group (Worldwide) USD 3 trillion
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

RBC I&TS' comprehensive product and service offering includes custody, fund/investment administration, shareholder services, performance management and compliance monitoring, distribution, transaction banking including trade finance, correspondent banking, insourced solutions and broker dealer services, and treasury services, including cash management, foreign exchange services and global securities lending.

2) Provided in Singapore

Refer to above.

Business Contact/Client Services

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Equity Plaza
Singapore 049705

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Management

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Director, Operations – Singapore – Dougal Watts

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Senior Legal Counsel – Tan Kean Siew

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Rodyk & Davidson LLP

Company Profile

Rodyk & Davidson LLP is a leading Singapore law firm with offices in Singapore and Shanghai.

Our team of more than 200 lawyers serves in five core practice groups:

- Corporate
- Finance
- Intellectual Property & Technology
- Litigation & Arbitration
- Real Estate

Rodyk advises angel investors, funds, venture capital and private equity firms in the full range of venture capital and private equity transactions.

Our expertise includes:

- Establishment of Singapore registered collective investment schemes (REITS)
- Establishment of offshore mutual funds
- Fund management industry arrangements and documentation
- Unit trusts
- Private equity and venture capital.

Staff Strength (in Singapore) 400

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Applicable

Products/Services

- 1) **Provided by Group** (Worldwide) Legal services
- 2) **Provided in Singapore** Legal services

Business Contact/Client Services

Business contact: 80 Raffles Place #33-00
UOB Plaza 1
Singapore 048624

Contact person: Jacqueline LOKE
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Website: www.rodyk.com

Management

Managing Partner – Philip JEYARETNAM, SC
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Royal Bank of Canada, Singapore Branch

Company Profile

Royal Bank of Canada (RY on TSX and NYSE) and its subsidiaries operate under the master brand name RBC. We are one of Canada's largest banks and one of the largest banks in the world, based on market capitalization. We are one of North America's leading diversified financial services companies, and provide personal and commercial banking, wealth management services, insurance, investor services and capital markets products and services on a global basis. We employ approximately 79,000 full- and part-time employees who serve more than 15 million personal, business, public sector and institutional clients through offices in Canada, the U.S. and 44 other countries.

Royal Bank of Canada, Singapore Branch and Royal Bank of Canada (Asia) Limited are licensed by the Monetary Authority of Singapore to conduct regulated activities and provide financial advisory services for high net worth individuals and accredited investors.

Staff Strength (in Singapore) 169

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** Not Applicable

Products/Services

- 1) **Provided by Group** (Worldwide)
Not Applicable

- 2) **Provided in Singapore**

The Singapore office is home to a large team of dedicated professionals who provide valuable local knowledge, the advantage of a truly international team of specialists, and access to a complete range of wealth management services through our global network of offices.

Business Contact/Client Services

Business contact: 8 Marina View #26-01
Asia Square Tower 1
Singapore 018960

Contact person: Grace Barki
Tel: (65) 6230 1888
Fax: (65) 6532 2804
Email: grace.barki@rbc.com
Website: www.rbcwminternational.com/locations.html?singapore

Management

Head of Wealth Management, Emerging Market – Barend Janssens
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Email: barend.janssens@rbc.com

Head of Wealth Management, South East Asia – Grace Barki
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Email: grace.barki@rbc.com

Regional Head, Brunei and China Strategy – Matthew Yong
DID: (65) 6230 1838
Email: matthew.yong@rbc.com

Chief Operating Officer, Wealth Management Emerging Market – Samir Dewan
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Email: samir.dewan@rbc.com

Head of Credit Sales and Solutions, Wealth Management Asia – Joseph Howe
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Email: joseph.howe@rbc.com

Head of Client Solution Group, Asia – Enoch Tan
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Email: enoch.tan@rbc.com

Head of Compliance, Wealth Management Asia – Evelyn Koh
DID: (65) 6230 1523
Email: evelyn.koh@rbc.com



Russell Investment Group Pte Ltd

Company Profile

Russell Investments (Russell) is a global asset manager and one of only a few firms that offers actively managed, multi-asset portfolios and services that include advice, investments and implementation. Working with institutional investors, financial advisors and individuals, Russell's core capabilities extend across capital markets insights, manager research, portfolio construction, portfolio implementation and indexes.

Russell has approximately USD246.9 billion in assets under management (as of 30 September 2013) and works with over 2,400 institutional clients, more than 580 independent distribution partners and advisors, and individual investors globally. As a consultant to some of the largest pools of capital in the world, Russell has USD2.4 trillion in assets under advisement (as of 30 June 2013).

Staff Strength (in Singapore) Not Stated

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 246.9 billion
- 2) **Managed in Singapore** Not Stated

Products/Services

1) **Provided by Group** (Worldwide)

Investment Management, Investment Consulting, Implementation Services (including transition management, portfolio solutions and agency FX), Alternative Investments and Indexes.

2) **Provided in Singapore**

All of the above

Business Contact/Client Services

Business contact: 16 Collyer Quay #09-00
Singapore 049318

Contact person: Tay Li Chuan
Tel: (65) 6880 5004
Fax: (65) 6324 5595
Email: Ltay@russell.com
Website: www.russell.com/asia

Management

Managing Director – Lim Meng Tat
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Email: MLim@russell.com



Schroder Investment Management (Singapore) Ltd

Company Profile

Schroders is a global asset management company with US\$415.8 billion under management as at 30 September 2013. Our clients include corporations, insurance companies, local and public authorities, charities, pension funds, high net worth individuals and retail investors. We manage investments across all major asset classes in developed and emerging countries.

Schroders employs 3500 people (as at 30 September 2013) worldwide operating from 37 offices in 27 countries across Asia, Europe, the Americas and the Middle East. In Asia Pacific, Schroders employs over 721 staff and manages approximately US\$100 billion as at September 2013 on behalf of Asian clients.

Staff Strength (in Singapore) 247 (as at Sep 2013)

Assets under Management

- 1) Managed by Group** (Worldwide) USD 415.8 billion (as at 30 Sep 2013)
2) Managed in Singapore USD 20.8 billion (as at 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Active manager of all major asset classes in developed and emerging countries: equities, fixed income, money markets, multi asset, property and fund of hedge funds

2) Provided in Singapore

Access to Group products/services as above in addition to actively managed Asian equity, Asian fixed income and asset allocation strategies

Business Contact/Client Services

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Lily Choh – Head of Institutional Business - Southeast Asia
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Management

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Sidley Austin LLP

Company Profile

Sidley Austin is a premier law firm with 1,700 lawyers and 19 offices. It is one of the few international law firms to have maintained on-the-ground capabilities in Asia-Pacific for over 30 years. Across the region, Sidley now has 130 lawyers in Singapore, Beijing, Hong Kong, Shanghai, Sydney and Tokyo, qualified in Singapore, US, English, Hong Kong and Japanese law. Sidley's award-winning Investment Funds team in Asia is ranked top-tier by leading legal publications and advises on:

- Hedge fund formation
- Private equity fund formation
- Real estate fund formation
- Exchange-traded and retail (registered) funds
- Regulatory matters

Staff Strength (in Singapore) 33

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
 2) **Managed in Singapore** Not Applicable

Products/Services

- 1) **Provided by Group** (Worldwide)
 Legal Services

2) **Provided in Singapore**

Sidley Austin is a recognized world leader in investment funds and ranks among the leading law firms that represent investment funds, based on assets under management (The American Lawyer). We offer a leading capability in local and international law with a one-stop service to clients looking to access markets and investors across the region. Sidley's Singapore office has a Qualifying Foreign Law Practice (QFLP) licence enabling it to provide Singapore law advice. Our lawyers work closely with the fund management industry and regulators, and are regularly at the forefront of development of financial services legislative reform.

Business Contact/Client Services

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 Singapore 049909

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Management

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Associate – Cai Si Xuan
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Singapore Consortium Investment Management Limited

Company Profile

Singapore Consortium Investment Management Limited ("SICIM") manages the Singapore Index Fund (the "Fund"), an open-ended unit trust constituted in Singapore on 12 November 1996. The Fund was also admitted to the official list of the SGX-St on 20 December 1996.

The shareholders of SICIM are Nikko Asset Management International Limited, Overseas-Chinese Banking Corporation Limited and UOB Asset Management Ltd.

Currently, the administrator for SICIM and the Singapore Index Fund is UOB Asset Management Ltd.

Staff Strength (in Singapore) Not Applicable

Assets under Management

1) Managed by Group (Worldwide) Not Applicable
2) Managed in Singapore USD 66.90 million (as at 30 Nov 2013)

Products/Services

1) Provided by Group (Worldwide) Not Applicable
2) Provided in Singapore Equities

Business Contact/Client Services

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 Level 3 and 5, UOB Plaza 2
 Singapore 048624

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Management

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 Email: Chong.JiunYeh@UOBgroup.com

Director – Wong Ann Derk
 DID: (65) 65315119
 Email: Wong.AnnDerk@UOBgroup.com



Singapore Unit Trusts Limited

Company Profile

Singapore Unit Trusts Limited (SUT) was incorporated on 17 August 1959 as Malayan Unit Trusts Limited.

SUT assumed its present name on 6 May 1960. SUT became a member company of the Permodalan Nasional Berhad (PNB) Group after being acquired by PNB International Limited (Labuan) in 2000 (a wholly owned subsidiary of PNB).

Staff Strength (in Singapore) 11 (as at 30 Nov 2013)

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Disclosed
- 2) **Managed in Singapore** Not Disclosed

Products/Services

1) **Provided by Group** (Worldwide)

PNB, the ultimate shareholder of Singapore Unit Trusts Limited, is one of the largest asset managers in Malaysia with unit holders of the unit trust funds in its group exceeding 11 million and assets under management of approximately USD70 billion (as at 30 November 2013).

2) **Provided in Singapore**

Discretionary Accounts and Collective Investment Schemes

Business Contact/Client Services

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ASO Building
Singapore 048544

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Management

Executive Director and Principal Officer – Mohd. Fadzil bin Abdul Wahab

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Head of Finance & Administration – Cecilia Chooi

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Email: ceciliachooi@sut.com.sg

Company Secretary – Samantha Chia

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ST Asset Management Ltd

Company Profile

Established in 2002, STAM offers integrated investment management services to institutional and private clients over a broad range of diversified asset classes that include fixed income instruments, loans, derivatives, structured finance, public and private equities.

Staff Strength (in Singapore) 47

Assets under Management

- 1) Managed by Group** (Worldwide) USD 2.63 billion / SGD 3.25 billion
(as at Oct 2013)
- 2) Managed in Singapore** USD 2.63 billion / SGD 3.25 billion
(as at Oct 2013)

Products/Services

- 1) Provided by Group** (Worldwide)
Segregated portfolios, Structured Products and Mutual Fund
- 2) Provided in Singapore**
Segregated portfolios, Structured Products and Mutual Fund

Business Contact/Client Services

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Management

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Managing Director, Fund Management – Jason See Yong Kiat
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Standard & Poor's

Company Profile

Standard & Poor's Ratings Services, S&P Capital IQ and S&P Dow Jones Indices.

Staff Strength (in Singapore) 100

Assets under Management

1) **Managed by Group** (Worldwide) Not Applicable

2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide)

Credit ratings, indices, investment research, risk evaluations and solutions

2) **Provided in Singapore**

Credit ratings, indices, investment research, risk evaluations and solutions

Business Contact/Client Services

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Management

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Director – Anthony Foo

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Managing Director, Financial Services Ratings – Ritesh Maheshwari

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Managing Director, Sovereign Ratings – Elena Okorochenko
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Director, Index Services – Angeline Choo
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Standard Chartered Bank

Company Profile

Standard Chartered – Leading the way in Asia, Africa and the Middle East

Standard Chartered Bank is a world renowned international bank with core markets of Asia, Africa and Middle East. The bank is divided into two distinct units of Consumer Bank and Wholesale Bank. While Consumer Bank handles retail banking products and services (including Private Banking); Wholesale Bank deals with institutional banking products and services under distinct divisions such as Transaction Banking (Cash Management, Trade Finance, Securities and Custody services, Fund Services and Escrow), Financial Markets (FX, Equities, Rates and credit products), Project Finance and Investment Banking.

The bank had a combined Income of USD 19.07 billion as of Dec 31, 2012. With listing in London, Hong Kong and India, Standard Chartered Bank has a market capitalization of USD 64.5 billion as of March 8, 2013. The bank was upgraded to AA- by S&P in 2011 and is the 2nd largest trade finance bank and 6th largest USD clearing bank globally.

Staff Strength (in Singapore) Over 7,000

Assets under Management

1) Managed by Group (Worldwide) (as of Sep 2012)

Over USD 800 billion (Assets under Custody)
USD 100 billion (SCB Fund Administration)

2) Managed in Singapore (as of Sep 2012)

Over USD 45 billion (Assets under Custody)
USD 8 billion (SCB fund Administration)

Products/Services

1) Provided by Group (Worldwide)

Our Business Focus

The Group is committed to building a sustainable business over the long term and is trusted worldwide for upholding high standards of corporate governance, social responsibility, environmental protection and employee diversity. The Bank's heritage and values are expressed in our brand promise, 'Here for good'.

We are a full commercial bank providing products and services to financial institutions, corporate and individuals in the areas:

- Consumer Banking – credit cards, personal loans, mortgages, deposits and wealth management services, to individuals and SMEs; setting us apart from the competition in many of our markets

- Wholesale Banking – provision of securities services, cash management, trade finance, rates and foreign exchange and debt capital markets

2) Provided in Singapore

Standard Chartered Singapore offers the full consumer banking and wholesale banking services.

Specifically, we offer extensive wholesale banking services to Asset Managers and Investors.

- Regional and Direct Custody
- Asset servicing
- Fund Accounting and Net Asset Value calculation
- Compliance Reporting
- Institutional Transfer Agency
- Escrows and Agency services
- Trustee Services
- Cash Management services
- Rates and Foreign Exchanges
- Financial Markets
- Debt Capital Markets
- Principal Finance

Business Contact/Client Services

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Management

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State Street Bank and Trust Company

Company Profile

State Street is a leading financial services provider servicing some of the world's most sophisticated institutions. We offer a flexible suite of services that spans the investment spectrum, including investment management, research and trading, and investment servicing.

State Street's singular focus on serving the needs of institutional investors is one of its key competitive differentiators. With US\$26.03 trillion in assets under custody and administration as of Sep 30 2013, State Street is an industry leader and innovator – setting standards with new products and services, and expanding the depth and breadth of client relationships.

Our office in Singapore serves as State Street's central location for all investment, operations, processing and servicing activities for portfolios of clients domiciled in South East Asia.

Staff Strength (in Singapore) 185

Assets under Management

- | | |
|--|---|
| 1) Managed by Group (Worldwide) | USD 26.03 trillion in assets under custody and administration as of Sep 30 2013 |
| 2) Managed in Singapore | Not Applicable |

Products/Services

1) Provided by Group (Worldwide)

Custody, recordkeeping, valuation and fund administration, shareholder services, trustee and transfer agency services, software solutions, foreign exchange, brokerage and portfolio solutions, securities finance, deposit and short-term investment facilities, investment manager and hedge fund manager operations outsourcing, hedge fund, private equity and real estate servicing, performance, risk and compliance analytics and investment research.

2) Provided in Singapore

Custody, recordkeeping/fund accounting, valuation and fund administration, securities finance, investment manager operations outsourcing, hedge fund, private equity and real estate servicing, investment analytics, foreign exchange, portfolio solutions and investment research.

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Management

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State Street Global Advisors Singapore Limited

Company Profile

State Street Global Advisors (SSgA) is the asset management business of State Street Corporation, one of the world's leading providers of financial services to institutional investors, SSgA's global reach extends to 10 global investment centers and offices in 27 cities worldwide. SSgA combines a depth of expertise with advanced research capabilities to manage client-focused solutions, including broad based to highly specialized passive and active strategies. SSgA has also attained ETF industry leadership, with SPDR®, including first-to-market launches with gold, international real estate and fixed income and sector ETFs.

Staff Strength (in Singapore) 11 in Singapore and 2400 Globally

Assets under Management

1) Managed by Group (Worldwide)

USD 2.24 trillion (as of 30 Sep 2013)

**This AUM includes the assets of the SPDR Gold Trust (approx. US\$38.6 billion as of September 30, 2013), for which State Street Global Markets, LLC, an affiliate of State Street Global Advisors, serves as the marketing agent. Please note that AUM totals are unaudited.*

2) Managed in Singapore

USD 7.69 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

SSgA is a global investment platform that gives clients access to every major asset class, capitalization range and style, with strategies spanning investment approaches including passive, active, absolute return and fully customized portfolios.

2) Provided in Singapore

Same as above.

Business Contact/Client Services

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Management

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Vice President, Sales – Sally Choo

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Sundaram Asset Management Singapore Pte. Ltd.

Company Profile

Sundaram Asset Management Singapore (“SAMS”) is a wholly owned subsidiary of the Indian asset management company, **Sundaram Asset Management Company Limited**. SAMS is an India-centric fund management entity based in Singapore. SAMS holds a CMS Licence issued by the MAS for Fund Management, and is registered with SEBI as an FII. SAMS represents the international arm of the asset management business of the group, and will primarily manage global investments into Indian equity and debt securities, and money market instruments.

The ultimate holding company of SAMS is **Sundaram Finance Limited** (*incorporated in 1954, and listed on the National Stock Exchange in India and Bombay Stock Exchange*), which originates from the TVS Group of Companies and is one of the highly diversified financial services groups in India.

Staff Strength (in Singapore) Not Stated

Assets under Management

1) Managed by Group (Worldwide) Equivalent of about USD 3.0 billion
2) Managed in Singapore Not Stated

Products/Services

1) Provided by Group (Worldwide)

- Fund / Investment Management Services;
- Non-Banking Financial Services;
- General Insurance (*through JV with Royal Sun Alliance*);
- Mortgage Finance (*through JV with BNP Paribas*);
- Transfer Agency Services (*through dedicated JV with BNP Paribas*);
- Distribution of Mutual Funds / Insurance;
- Business Process Outsourcing;
- IT Services (*Software Product Development*), and
- Technology & Consulting for logistics industry.

2) Provided in Singapore

Singapore based, India-centric Fund / Investment Management Services

Business Contact/Client Services

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 Singapore 179365

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Management

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Chief Investment Officer – Mr Anish Mathew
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Executive Director – Mr Benoy Philip
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Manager – Compliance & Legal – Mr Dasharath Upadhya
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Tantallon Capital Advisors Pte Ltd

Company Profile

Tantallon Capital Advisors is a Singapore based boutique fund manager. We are the advisory company to the Tantallon Fund, a long-short fund investing in Asia and Asian themes. We combine top-down macroeconomic themes with bottom-up stock picking, based on a concentrated portfolio.

The Company has obtained the Capital Markets Services Licence from MAS since 29 November 2007.

We are a private exempted company and our main principal shareholders are Nick Harbinson and Alex Hill.

Staff Strength (in Singapore) 6

Assets under Management

- 1) **Managed by Group** (Worldwide) Not Applicable
- 2) **Managed in Singapore** USD 36 million

Products/Services

- 1) **Provided by Group** (Worldwide) Not Applicable
- 2) **Provided in Singapore** Fund management services

Business Contact/Client Services

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Singapore 068602

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Management

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COO – Alex Hill
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Templeton Asset Management Ltd

Company Profile

Established in 1990, Templeton Asset Management Ltd is the Singapore-based subsidiary of Franklin Resources, Inc., referred to as Franklin Templeton Investments. Headquartered in San Mateo, California, Franklin Templeton has offices in 35 countries. The firm combines the expertise of three investment management groups – Franklin, Templeton and Mutual Series, to offer a broad range of investment solutions in over 150 countries. Franklin Templeton also has specialised capabilities in multi-asset solutions, real assets and alternatives investments.

Templeton Asset Management Ltd is also Franklin Templeton's global centre for emerging markets fund management and has been the regional hub for Asia since 1999.

Staff Strength (in Singapore) 134 (as at 31 Oct 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 868.9 billion (as at 31 Oct 2013)
2) Managed in Singapore USD 51.7 billion (as at 31 Oct 2013)

Products/Services

1) Provided by Group (Worldwide)

Franklin Templeton Investments manages a wide range of investment vehicles globally across mutual funds, insurance, trust, partnerships, wrap and separate accounts.

2) Provided in Singapore

Templeton Asset Management Ltd offers over 70 recognised offshore funds in Singapore, employing diverse investment strategies across major asset classes including equity, fixed income and money market. The company is also the manager of various institutional funds and separate accounts employing equity (developed and emerging markets), fixed income, hybrid and alternative strategies.

Business Contact/Client Services

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Singapore 038987

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Management

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VP/Dir International Product & Client Services – Eric Tan
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Regional HR Director, Asia – Soumitra Gupta
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Management

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Market Development Manager – ASEAN – Alexander Looijen

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Threadneedle Investments Singapore (Pte.) Ltd

Company Profile

Threadneedle Investments is a leading active investment manager with a strong track record of outperformance across asset classes. Our investment approach is active, client-focused and performance driven.

We are a pure investment management business, which means managing clients' investments is our only business. We believe we are chosen by our clients because of our proven approach to investment, our long-term performance record and our dedication to delivering high quality client service.

Threadneedle is the international investment management arm of Ameriprise Financial (NYSE: AMP), a leading US diversified financial services company and one of the 40 largest asset management firms globally. Ameriprise has US\$771 billion* in total assets under management and administration and is the US leader in financial planning. As its international investment platform, Threadneedle provides Ameriprise and its clients with world class investment solutions.

Staff Strength (in Singapore) 32

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 148 billion (as at 31 Dec 2013)
- 2) **Managed in Singapore** Not Disclosed

Products/Services

1) **Provided by Group** (Worldwide)

Our global investment capabilities encompass Fixed Income, Equities, Commodities and property, including Absolute Return, Multi-Asset, Sharia and Responsible Investment.

2) **Provided in Singapore**

Our offering includes separate accounts (aka segregated mandates), Luxembourg SICAV funds and UK OEIC funds.

Business Contact/Client Services

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Management

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Tokio Marine Asset Management International Pte Ltd

Company Profile

Tokio Marine Asset Management International (“TMAI”) was established in July 1997 in Singapore, wholly owned by Tokio Marine Asset Management Co., Ltd. (“TMA”), a leading Japanese pension manager with AUM of around USD 63 billion as at 30 September 2013. TMA is owned by Tokio Marine & Nichido Fire Insurance Co., Ltd., one of the largest and oldest nonlife insurance companies in Japan. TMAI provides high quality investment management services for institutions and individual clients. We offer both equity and Fixed Income products. TMAI won the AsianInvestor Performance Award in the Asia ex Japan Equity category in 2009 and 2010.

Staff Strength (in Singapore) 30

Assets under Management

1) Managed by Group (Worldwide) USD 63 billion (as of Sep 2013)
2) Managed in Singapore USD 3,482 million (as of Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

1. Equities
 - Japan equities (GARP, Focus, Small Cap)
 - Asian equities (provided in Singapore as mentioned below)
2. Japanese Fixed Income

2) Provided in Singapore

1. Long-only Asian equities (funds and segregated accounts)
 - Asia Pacific/Asia/Far East/ Emerging Asia ex Japan
 - South East Asia
 - Asian Small-Mid Cap
 - Customised Asian equity benchmarks
2. Asian/Singapore Fixed Income

Business Contact/Client Services

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Management

Chief Executive Officer – Kenji Kodama

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Chief Operating Officer – Taketsugu Suzuki

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UBS Global Asset Management (Singapore) Ltd

Company Profile

UBS Global Asset Management is a large-scale asset manager with businesses well-diversified across regions, capabilities and distribution channels. It offers investment capabilities and investment styles across all major traditional and alternative asset classes. These include equity, fixed income, currency, hedge fund, real estate, infrastructure and private equity investment capabilities which can be combined into multi-asset strategies.

The firm is a leading fund house in Europe, the largest mutual fund manager in Switzerland* and one of the largest fund of hedge funds and real estate investment managers in the world. We have around 3,750 personnel located in 24 countries. Our principal offices are in London, Chicago, Frankfurt, Hartford, Hong Kong, New York, Paris, Singapore, Sydney, Tokyo and Zurich.

** Lipper/Swiss Fund Data Swiss Promoters Report (as at 30 September 2013)*

Staff Strength (in Singapore) 100 (as of 30 Sep 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 642 billion (as at 30 Sep 2013)
2) Managed in Singapore USD 34.8 billion (as at 30 Sep 2013)

Products/Services

Provided by Group (Worldwide and Singapore)

UBS Global Asset Management provides a comprehensive range of investment styles and strategies. Global insight and expertise allow us to combine these capabilities in tailored solutions for clients.

We offer a wide range of investment products and services from boutique-like capabilities delivered through a global structure. We provide services including Equities, Fixed Income, Global Investment Solutions (asset allocation, currency, manager research and risk management services), Alternative and Quantitative Investments (multi-manager (or fund of funds) and single manager), Global Real Estate, Infrastructure and Private Equity, Fund Services, Client Relationships and Global Sovereign Markets.

Business Contact/Client Services

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Website: www.ubs.com/sg/en/asset_management.html

Management

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APAC and Global Emerging Markets Equities – Geoffrey Wong

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Head of Operations APAC – Michael Moore

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UOB Asset Management Ltd

Company Profile

UOB Asset Management Limited ("UOBAM") is a wholly-owned subsidiary of United Overseas Bank Limited. Established in 1986, UOBAM has been managing collective investment schemes and discretionary funds in Singapore for more than 27 years and as of 30 November 2013, manages about S\$41.5 billion in clients' assets, including 53 unit trusts.

UOBAM has an extensive presence in Asia with regional business and investment offices in Malaysia, Thailand, Brunei, Japan and Taiwan, as well as two joint ventures, namely Ping An UOB Fund Management Company in China and UOB-SM Asset Management (UOB-SM) based in Singapore. Through this network of offices, UOBAM offers global investment management expertise to individuals, institutions and corporations through a comprehensive suite of products that includes but is not limited to retail unit trusts, exchange-traded funds and customised portfolio management services.

Staff Strength (in Singapore) 126 (as of 30 Nov 2013)

Assets under Management

1) Managed by Group (Worldwide) USD 35.94 billion (30 Nov 2013)
2) Managed in Singapore USD 11.33 billion (30 Nov 2013)

Products/Services

1) Provided by Group (Worldwide)

Equities, Fixed Income, Multi-Asset and Structured Investments

2) Provided in Singapore

Equities, Fixed Income, Multi-Asset and Structured Investments

Business Contact/Client Services

Business contact: 80 Raffles Place
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Management

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Chief Investment Officer (Fixed Income & Structured Investments)
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Executive Director (Head of Business Development) – Faizal M Fazluddin

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UTI International (Singapore) Private Limited

Company Profile

UTI Asset Management Company Limited (“UTI AMC”) is the oldest and among the largest Asset Managers in India. It was established in 1964 as the Unit Trust of India by an Act of Indian Parliament. UTI AMC has the largest market share of domestic retail assets and retail clients in India. UTI AMC is one of the First Pension Fund Managers in India. UTI International (Singapore) Private Limited is 100% subsidiary of UTI International Limited, which in turn is a 100% subsidiary of UTI AMC.

Staff Strength (in Singapore) 14

Assets under Management

1) Managed by Group (Worldwide) USD 12.5 billion
2) Managed in Singapore USD 2 billion

Products/Services

1) Provided by Group (Worldwide)
Asset Management Services and Advisory Services

2) Provided in Singapore
Fund Management and Advisory

Business Contact/Client Services

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Bharat Building
Singapore 048617

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Management

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Head of Legal and Compliance – Ms Shobha Menon

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Vanguard Investments Singapore Pte Ltd

Company Profile

Vanguard Investments Singapore Pte. Ltd. Has been serving investors since 2003. Our clients include pension funds, governments and companies.

Staff Strength (in Singapore) 6

Assets under Management

1) Managed by Group (Worldwide) USD 2.4 trillion (as of 30 Sep 2013)

2) Managed in Singapore USD 36.4 billion (as at 31 Dec 2013)

Products/Services

1) Provided by Group (Worldwide)

Fund management

2) Provided in Singapore

Fund management

Business Contact/Client Services

Business contact: 3 Phillip Street #07-01
Royal Group Building
Singapore 048693

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Website: www.vanguard.sg

Wellington International Management Company Pte Ltd

Company Profile

Wellington International Management Company Pte Ltd ("WIM") is an affiliate of Wellington Management Company, LLP, a Boston-based firm which is registered with the US Securities and Exchange Commission as an investment adviser.

With approximately USD799 billion in client assets under management, Wellington Management serves as an investment adviser to more than 2,100 institutions located in over 50 countries.

Staff Strength (in Singapore) 43

Assets under Management

- 1) **Managed by Group** (Worldwide) USD 799 billion (as of 30 Sep 2013)
 2) **Managed in Singapore** Not Applicable

Products/Services

1) Provided by Group (Worldwide)

We offer a broad range of equity, fixed income, alternative, and multi-asset investment approaches, including but not limited to Global, US, Europe, Asia Pacific and other regional, sector and thematic equity; Global, Emerging Markets, sector specific, and other fixed income; currency; commodities; real assets/inflation hedges; active asset allocation portfolios and multi-strategy hedge funds for institutional clients and mutual funds.

2) Provided in Singapore

As Above

Business Contact/Client Services


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Management

Managing Director – Cheryl Duckworth
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Head, Global Relationship Group, Southeast Asia – Theresa Tan
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Western Asset Management Pte. Ltd.

Company Profile

Western Asset is one of the world's leading investment management firms. Its sole business is managing fixed-income portfolios, an activity the Firm has pursued since 1971. From offices in Pasadena, New York, Sao Paulo, London, Dubai, Singapore, Hong Kong, Tokyo and Melbourne, Western Asset's 838 employees perform investment services for a wide variety of global clients. The Firm's clients include charitable, corporate, health care, insurance, mutual fund, public and union organizations, and client portfolios range across an equally wide variety of mandates, from money markets to emerging markets. As of September 30, 2013, Western Asset's client base totaled 500, representing 41 countries, 1,058 accounts, and \$442.7 billion in assets under management.

Staff Strength (in Singapore) 23

Assets under Management

1) Managed by Group (Worldwide) USD 442.7 billion (as of 30 Sep 2013)
2) Managed in Singapore USD 4.8 billion (as of 30 Sep 2013)

Products/Services

1) Provided by Group (Worldwide)

Broad Market Fixed Income/Liquidity/Global/Emerging Markets/Specialty Credit/Opportunistic

2) Provided in Singapore

Pan Asian and Single Country Local Currency/Asian External Debt/Singapore Bond

Business Contact/Client Services

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White & Case

Company Profile

White & Case is a leading international law firm with lawyers in 39 offices across 27 countries. Our global funds team has established some of the largest, most complex funds around the world. As a global firm with a longstanding presence in Asia, our lawyers have the legal know-how and market awareness to apply the intricacies of new and innovative structures while observing the region's vastly different markets and the constantly evolving regulatory landscape around the world.

In Asia, we have experienced funds lawyers based in our offices in Hong Kong, Shanghai, Tokyo and Singapore who regularly represent various clients in establishing and structuring investment funds, making investments into funds and regulatory requirements in Asia and around the world. White & Case holds a Qualifying Foreign Law Practice License (QFLP) in Singapore which allows us to advise on both Singapore and international laws.

Staff Strength (in Singapore) Over 70

Assets under Management

1) **Managed by Group** (Worldwide) Not Applicable
2) **Managed in Singapore** Not Applicable

Products/Services

1) **Provided by Group** (Worldwide) Legal Services
2) **Provided in Singapore** Legal Services

Business Contact/Client Services

Business contact: 8 Marina View #27-01
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Singapore 018960

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Investment Management Association of Singapore

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